

# ENTROPY, DETERMINANTS, AND $L^2$ -TORSION

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**ABSTRACT.** We show that for any amenable group  $\Gamma$  and any  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  of type FL with vanishing Euler characteristic, the entropy of the natural  $\Gamma$ -action on the Pontryagin dual of  $\mathcal{M}$  is equal to the  $L^2$ -torsion of  $\mathcal{M}$ . As a particular case, the entropy of the principal algebraic action associated with the module  $\mathbb{Z}\Gamma/\mathbb{Z}\Gamma f$  is equal to the logarithm of the Fuglede-Kadison determinant of  $f$  whenever  $f$  is a non-zero-divisor in  $\mathbb{Z}\Gamma$ . This confirms a conjecture of Deninger. As a key step in the proof we provide a general Szegő-type approximation theorem for the Fuglede-Kadison determinant on the group von Neumann algebra of an amenable group.

As a consequence of the equality between  $L^2$ -torsion and entropy, we show that the  $L^2$ -torsion of a non-trivial amenable group with finite classifying space vanishes. This was conjectured by Lück. Finally, we establish a Milnor-Turaev formula for the  $L^2$ -torsion of a finite  $\Delta$ -acyclic chain complex.

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## 1. INTRODUCTION

This article is concerned with the three interacting topics: *entropy*, *determinants*, and  *$L^2$ -torsion*. Let  $\Gamma$  be a countable discrete amenable group (Section 2.3) and let

$$\det_{\mathcal{N}\Gamma} : \mathcal{N}\Gamma \rightarrow \mathbb{R}_{\geq 0}, \quad \det_{\mathcal{N}\Gamma} f = \exp \left( \int_{\mathbb{R}} \log(t) d\mu_{|f|}(t) \right)$$

be the Fuglede-Kadison determinant (Section 2.2), defined on the group von Neumann algebra  $\mathcal{N}\Gamma$ . Let  $f$  be a non-zero-divisor in the integral group ring  $\mathbb{Z}\Gamma$  of  $\Gamma$  and denote the left ideal generated by  $f$  in  $\mathbb{Z}\Gamma$  by  $\mathbb{Z}\Gamma f$ . The Pontryagin dual  $X_f$  of the quotient  $\mathbb{Z}\Gamma/\mathbb{Z}\Gamma f$  is a compact abelian group and admits a natural continuous  $\Gamma$ -action. We call such an action a *principal algebraic action*. In 2006, Deninger [17] started a program to compute the entropy of principal algebraic actions of a countable discrete amenable group in terms of the Fuglede-Kadison determinant. Classical results by Yuzvinskiĭ [72] for  $\Gamma = \mathbb{Z}$  and by Lind-Schmidt-Ward [42, 61] for  $\Gamma = \mathbb{Z}^d$  showed that the entropy of the  $\Gamma$ -action on  $X_f$ , which we denote by  $h(X_f)$ , is equal to  $\log \det_{\mathcal{N}\Gamma} f$ , which can be identified with the logarithm of the Mahler measure of  $f$ . Deninger conjectured that this equality extends to all amenable groups. In order to prove this for general amenable groups, Deninger [17] developed important new techniques and confirmed this equality assuming that  $\Gamma$  is finitely generated and of polynomial growth, and that  $f$  is positive in  $\mathcal{N}\Gamma$  and invertible in  $\ell^1(\Gamma)$ . Later Deninger-Schmidt [20] showed the equality in the case  $\Gamma$  is amenable and residually finite and  $f$  is invertible in  $\ell^1(\Gamma)$ . The first author has weakened the assumptions and proved the equality between the logarithm of the Fuglede-Kadison determinant and the entropy of the associated principal algebraic action for all  $f \in \mathbb{Z}\Gamma$  invertible in  $\mathcal{N}\Gamma$  [39].

More generally, one may want to study the action of  $\Gamma$  on the Pontryagin dual  $\widehat{\mathcal{M}}$  of an arbitrary  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$ . We call such an action an *algebraic action*. In the case  $\Gamma = \mathbb{Z}^d$ , the results of Lind-Schmidt-Ward were enough to determine the entropy

of *any* algebraic  $\mathbb{Z}^d$ -action in terms of determinants [42, §4]. This was essentially due to the fact that  $\mathbb{Z}[\mathbb{Z}^d]$ -modules have a tractable structure theory and decompose nicely. For general amenable groups, this fails to be true. Following Serre, we say that a left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  is of type FL if it admits a finite free resolution:

$$0 \rightarrow (\mathbb{Z}\Gamma)^{d_k} \rightarrow \cdots \rightarrow (\mathbb{Z}\Gamma)^{d_1} \rightarrow (\mathbb{Z}\Gamma)^{d_0} \rightarrow \mathcal{M} \rightarrow 0.$$

Note that modules of the form  $\mathcal{M} = \mathbb{Z}\Gamma/\mathbb{Z}\Gamma f$ , for  $f$  being a non-zero-divisor in  $\mathbb{Z}\Gamma$ , are of type FL since the sequence

$$0 \rightarrow \mathbb{Z}\Gamma \xrightarrow{f} \mathbb{Z}\Gamma \rightarrow \mathcal{M} \rightarrow 0$$

is exact. If the Euler characteristic (see Section 2.5)  $\chi(\mathcal{M}) = \sum_i (-1)^i d_i$  vanishes, then the  $L^2$ -torsion  $\rho^{(2)}(\mathcal{M})$  of  $\mathcal{M}$  – a real number – can be defined, see Section 2.6. The  $L^2$ -torsion is a natural secondary invariant for modules of type FL and is defined in terms of the Fuglede-Kadison determinants of the Laplace operators associated with a resolution as above. The relation between determinants and torsion is classical and has found many nice applications in topology and algebra. Reidemeister torsion and Whitehead torsion are indispensable tools in algebraic topology [15, 51, 66, 67].  $L^2$ -torsion was first introduced in [10, 48] and has further enlarged the range of applications, see [46, Chapter 3] for an overview and a detailed description. Through its relationship with the analytic Ray-Singer torsion it is related to interesting problems in analysis and geometry. In Section 6 we give a self-contained account on  $L^2$ -torsion, and show that it can be viewed as a completely classical torsion theory – much in the spirit of classical Reidemeister torsion. The discussion is based on the ring  $\mathcal{N}\Gamma^\Delta$  introduced by Haagerup-Schultz [29].

For any  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  of type FL with  $\chi(\mathcal{M}) = 0$ , we establish the equality of the entropy of the action of  $\Gamma$  on  $\widehat{\mathcal{M}}$  and the  $L^2$ -torsion of  $\mathcal{M}$ . This is our main result. More precisely, we show the following result; see the definitions in Section 2.

**Theorem 1.1.** *Let  $\Gamma$  be a countable discrete amenable group and let  $\mathcal{M}$  be a left  $\mathbb{Z}\Gamma$ -module of type  $FL_k$  for some  $k \in \mathbb{N}$  with  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$ . Let  $\mathcal{C}_* \rightarrow \mathcal{M}$  be a partial resolution of  $\mathcal{M}$  by based finitely generated free left  $\mathbb{Z}\Gamma$ -modules as in (2.6). Then, we have*

$$(1.1) \quad (-1)^k h(\widehat{\mathcal{M}}) \geq (-1)^k \rho^{(2)}(\mathcal{C}_*).$$

*If furthermore  $\mathcal{M}$  is of type FL with  $\chi(\mathcal{M}) = 0$  and  $\mathcal{C}_* \rightarrow \mathcal{M}$  is a resolution of  $\mathcal{M}$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules as in (2.7), then*

$$(1.2) \quad h(\widehat{\mathcal{M}}) = \rho^{(2)}(\mathcal{M}).$$

We expect that Equality (1.2) in Theorem 1.1 will have numerous applications in both directions. Even though the aim of Deninger's program was to provide tools to compute the entropy of particular actions, it turns out that the final outcome is just as useful to compute the  $L^2$ -torsion of particular  $\mathbb{Z}\Gamma$ -modules. Note that

the different sides of the equation between entropy and  $L^2$ -torsion are of completely different nature. There is for example a priori no reason to think that the  $L^2$ -torsion of a  $\mathbb{Z}\Gamma$ -module is always non-negative; for the entropy, this is obvious from the definition. On the other hand, it is known that the right side of the equation in the case  $\Gamma = \mathbb{Z}^d$  is often given by polylogarithms and special values of  $L$ -functions, and is related to regulators from algebraic geometry [19]. This arithmetic property of the possible values of the  $L^2$ -torsion is well-studied for  $\Gamma = \mathbb{Z}^d$  [16], but remains largely unexplored for non-commutative  $\Gamma$ . Neither in the commutative nor in the non-commutative case, this property is expected a priori for the possible values of the entropy.

A confirmation of the conjectured equality of the entropy of a principal algebraic action and the logarithm of the Fuglede-Kadison determinant arises as a special case of Theorem 1.1. For  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$ , we denote by  $\ker f \subseteq (\ell^2(\Gamma))^d$  the kernel of left-multiplication with  $f$ , see Section 2.1.

**Theorem 1.2.** *Let  $\Gamma$  be a countable discrete amenable group and let  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$  with  $\ker f = \{0\}$ . Let  $X_f$  be the Pontryagin dual of  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} f$  with its natural  $\Gamma$ -action. Then*

$$h(X_f) \leq \log \det_{\text{NT}} f.$$

*If furthermore  $d' = d$ , then*

$$h(X_f) = h(X_{f^*}) = \log \det_{\text{NT}} f.$$

Note that the case  $\ker f \neq \{0\}$  is more pathological. Indeed, for  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$  with  $\ker f \neq \{0\}$ , one has  $h(X_f) = \infty$  [13, Theorem 4.11]. Similarly, in the context of Theorem 1.1, we have that  $\dim_{\text{NT}}(\text{NT} \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) \neq 0$  or  $\chi(\mathcal{M}) \neq 0$  implies  $h(\widehat{\mathcal{M}}) = \infty$ , see Remark 5.2.

One can prove Theorem 1.1 directly, but we choose to prove Theorem 1.2 first, because all the technical difficulties arise already in this simpler special case. We then use Theorem 1.2 to establish Theorem 1.1.

Theorem 1.1 turns out to be very useful for the computation of the  $L^2$ -torsion for specific modules. Throughout,  $B\Gamma$  denotes a CW-complex, whose homotopy groups but the first one are all trivial and  $\pi_1(B\Gamma) = \Gamma$ . Such a space exists and is unique up to homotopy equivalence, see [8, Section I.4] where the notation  $K(\Gamma, 1)$  is used to emphasize that  $B\Gamma$  is an Eilenberg-MacLane space. We say that there is a *finite model for  $B\Gamma$*  if we can choose it to be a CW-complex with finitely many cells. If the group  $\Gamma$  has a finite model for  $B\Gamma$ , then the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL [8, Proposition VIII.6.3]. Indeed, the cellular chain complex of the universal covering space of  $B\Gamma$  is easily seen to be a finite free resolution of  $\mathbb{Z}$ . If  $\Gamma$  is infinite and the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  of type FL, then its  $L^2$ -torsion can be defined. It is called the  $L^2$ -torsion of  $\Gamma$  and denoted by  $\rho^{(2)}(\Gamma)$ . Theorem 1.1 implies the following result:

**Theorem 1.3.** *If  $\Gamma$  is a non-trivial amenable group such that the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL, then  $\rho^{(2)}(\Gamma) = 0$ .*

This confirms a conjecture of Lück, see Conjecture 9.24 in [45], Conjecture 11.3 in [46] and the remark after Corollary 1.11 in [49]. Wegner [71] proved Theorem 1.3 for elementary amenable groups using the structure theory of this class of groups. Our method is completely different and gives this result as part of a much larger picture.

The distinction between having a finite model for  $B\Gamma$  and having the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  of type FL is rather subtle. If  $\Gamma$  has a finite model for  $B\Gamma$ , then  $\Gamma$  is finitely presented [28, Corollary 3.1.17] and the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL [8, Proposition VIII.6.3]. Conversely, Eilenberg-Ganea [23] and Wall [68, 69] proved that if  $\Gamma$  is finitely presented and the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL, then  $\Gamma$  must have a finite model for  $B\Gamma$  [8, Theorem VIII.7.1]. Note that Bestvina and Brady [1] have constructed examples of groups  $\Gamma$  for which the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL but which are not finitely presented. These examples are not amenable. At the same time, [36, Corollary 1.2] says that every elementary amenable group  $\Gamma$  for which the trivial  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL has a finite model for  $B\Gamma$ .

In order to prove Theorem 1.2, we show a Szegő-type approximation theorem for the Fuglede-Kadison determinant on the group von Neumann algebra of an amenable group. We prove a general approximation theorem for the Fuglede-Kadison determinant by determinants of finite-dimensional matrices, arising from a Følner approximation of  $\Gamma$ . The most classical such theorem was proved by Szegő [64] for Toeplitz matrices and generalized as follows [62, Theorem 2.7.14].

**Theorem.** *Let  $f$  be an essentially bounded  $\mathbb{R}_{\geq 0}$ -valued measurable function on the unit circle  $S^1$ . Then,*

$$\exp\left(\int_{S^1} \log f(z) d\mu(z)\right) = \lim_{n \rightarrow \infty} (\det(D_n))^{1/n},$$

where  $\mu$  denotes the Haar probability measure on  $S^1$  and  $D_n$  denotes the  $n \times n$ -matrix with entries  $(D_n)_{i,j} = \int_{S^1} f(z) z^{i-j} d\mu(z)$ .

Following Deninger [18, Section 2], we interpret this result as an approximation of the Fuglede-Kadison determinant on the group von Neumann algebra of the group  $\mathbb{Z}$  by determinants associated with Følner sets  $\{1, \dots, n\} \subseteq \mathbb{Z}$ , see Example 2.2. Our generalization holds for every amenable group  $\Gamma$ , every Følner sequence, and every positive element in the group von Neumann algebra of  $\Gamma$ . We refer to Section 2.3 for the necessary definitions.

**Theorem 1.4.** *Let  $\Gamma$  be a countable discrete amenable group. Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive. Then*

$$(1.3) \quad \det_{\mathcal{N}\Gamma} g = \inf_{F \in \mathcal{F}(\Gamma)} (\det(g_F))^{1/|F|} = \lim_F (\det(g_F))^{1/|F|}.$$

For  $d = 1$ , this is a positive answer to a question of Deninger [18, Question 6]. To the best of our knowledge, this result is even new for  $\Gamma = \mathbb{Z}^2$  and  $d = 1$ . A Szegő-type result for essentially bounded, measurable matrix-valued functions on  $S^1$  was known [62, Theorem 2.13.5].

We can use Theorem 1.1 to define the *torsion* of a countable  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  to be the entropy of its Pontryagin dual; we denote the torsion of  $\mathcal{M}$  by  $\rho(\mathcal{M})$ . If  $\mathcal{M}$  is finitely presented, this number is finite only if  $\dim_{\mathbb{N}\Gamma}(\mathbb{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$  (see Remark 5.2) and thus can be understood as a natural secondary invariant for  $\mathbb{Z}\Gamma$ -modules. We now can study the  $L^2$ -torsion of a  $\Delta$ -acyclic chain complex  $\mathcal{C}_*$  (see Section 6) and prove a Milnor-Turaev formula for  $L^2$ -torsion. Since the  $L^2$ -torsion turns out to be a homotopy invariant of the chain complex  $\mathcal{C}_*$ , it is natural to expect that it can be expressed in terms of the homology  $H_*(\mathcal{C}_*)$  of the chain complex. We show:

**Theorem 1.5.** *Let  $\Gamma$  be a countable discrete amenable group. Let  $\mathcal{C}_*$  be a chain complex of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length. Assume that  $\mathcal{C}_*$  is  $\Delta$ -acyclic (defined in Section 6.3) or, equivalently, that  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic (see Section 2.6). Then  $\rho(H_i(\mathcal{C}_*)) < \infty$  for all  $i \in \mathbb{Z}$  and*

$$\rho^{(2)}(\mathcal{C}_*) = \sum_{i \in \mathbb{Z}} (-1)^i \rho(H_i(\mathcal{C}_*)).$$

We expect that Theorem 1.5 will have interesting applications in algebraic topology. It shows that  $L^2$ -torsion can be thought of as a generalized Euler characteristic where the rôle of the ordinary ( $L^2$ -)Betti numbers is played by the torsion of the homology groups.

Recently, the entropy theory has been extended to actions of countable sofic groups [4, 34], which include all countable amenable groups and countable residually finite groups. The analogue of Theorem 1.2 for countable residually finite groups has been established for some special cases in [5, 6, 34], though the general case is still open.

The paper is organized as follows: Section 2 deals with preliminaries on notation and gives a brief introduction to group rings, the Fuglede-Kadison determinant, amenable groups, entropy, the Euler characteristic, and  $L^2$ -torsion.

Section 3 contains a brief history of the approximation results of the Fuglede-Kadison determinant and our first main result: Theorem 1.4, the approximation theorem for the Fuglede-Kadison determinant. This section also contains a uniform estimate of the spectral measure near zero in case of a non-vanishing determinant, Proposition 3.7.

Section 4 contains our second main result: Theorem 1.2, the computation of the entropy of principal algebraic actions in terms of the Fuglede-Kadison determinant. This section is the most technical one. We give a new formula for the entropy of a finitely generated algebraic action, this is Theorem 4.2. In Section 4.2, we give a proof of Theorem 1.2 in the positive case. Finally, we prove the general case of

Theorem 1.2 on the basis of a formula for entropy that was obtained by Peters, Theorem 4.10.

The first part of Section 5 is concerned with the proof of Theorem 1.1. In Section 5.2 we give applications of Theorem 1.1. We prove Theorem 1.3 and show in Theorem 5.6 that the  $L^2$ -torsion of every  $\mathbb{Z}\Gamma$ -module of type FL, which is finitely generated as an abelian group, vanishes, if  $\Gamma$  contains  $\mathbb{Z}$  as a subgroup of infinite index. Section 5.4 contains the definition of torsion for general  $\mathbb{Z}\Gamma$ -modules. There, we prove the Milnor-Turaev formula; this is Theorem 1.5.

Section 6 is a self-contained introduction to  $L^2$ -torsion, assuming only the classical work of Milnor [51]. We review the definition of Whitehead torsion. After introducing the Haagerup-Schultz algebra we define  $L^2$ -torsion and show its main properties. The paper ends with acknowledgments.

## 2. PRELIMINARIES

Throughout this paper  $\Gamma$  will be a countable discrete group with the identity element  $e$ . For any set  $\mathcal{X}$  and  $d \in \mathbb{N}$ , we write  $\mathcal{X}^{d \times 1}$  (resp.  $\mathcal{X}^{1 \times d}$ ) for the elements of  $\mathcal{X}^d$  written as column (resp. row) vectors. For any set  $\mathcal{X}$ , we denote by  $\ell^2(\mathcal{X})$  the Hilbert space of all complex-valued square-summable functions on  $\mathcal{X}$ .

For a Hilbert space  $H$ , we denote by  $B(H)$  the algebra of bounded linear operators on  $H$ , and by  $\|T\|$  the operator norm of  $T$  for each  $T \in B(H)$ .

**2.1. Group rings.** For a unital ring  $R$ , the *group ring*  $R\Gamma$  is the set of finitely supported functions  $f : \Gamma \rightarrow R$ , written as  $f = \sum_{s \in \Gamma} f_s s$ , with addition and multiplication defined by

$$\sum_{s \in \Gamma} f_s s + \sum_{s \in \Gamma} g_s s = \sum_{s \in \Gamma} (f_s + g_s) s \quad \text{and} \quad \left( \sum_{s \in \Gamma} f_s s \right) \left( \sum_{t \in \Gamma} g_t t \right) = \sum_{s, t \in \Gamma} f_s g_t st.$$

The group  $\Gamma$  has two commuting unitary representations  $l$  and  $r$  on  $\ell^2(\Gamma)$ , called the *left regular representation* and the *right regular representation* respectively and defined by

$$(l_s x)_t = x_{s^{-1}t} \quad \text{and} \quad (r_s x)_t = x_{ts}$$

for  $s, t \in \Gamma$  and  $x \in \ell^2(\Gamma)$ . The *group von Neumann algebra*  $\mathcal{N}\Gamma$  is defined as the sub- $*$ -algebra of  $B(\ell^2(\Gamma))$  consisting of elements commuting with the image of  $r$ . See [65, Section V.7] for detail. Via the left regular representation  $l$ , we identify  $\mathbb{C}\Gamma$  as a sub- $*$ -algebra of  $\mathcal{N}\Gamma$ . Consider the anti-linear isometric involution  $x \mapsto x^*$  on  $\ell^2(\Gamma)$  defined by

$$(x^*)_s = \overline{x_{s^{-1}}}$$

for all  $s \in \Gamma$  and  $x \in \ell^2(\Gamma)$ . Then,  $\ell^2(\Gamma)$  is also a right  $\mathcal{N}\Gamma$ -module with

$$xf := (f^* x^*)^*$$



for all  $x \in \ell^2(\Gamma)$  and  $f \in \mathcal{N}\Gamma$ . This allows an identification of  $\mathcal{N}\Gamma$  with the von Neumann algebra generated by the right regular representation, however, we do not need this fact.

For  $d', d \in \mathbb{N}$ , we think of elements of  $M_{d' \times d}(\mathcal{N}\Gamma)$  as bounded linear operators from  $(\ell^2(\Gamma))^{d \times 1}$  to  $(\ell^2(\Gamma))^{d' \times 1}$ . There is a canonical trace  $\text{tr}_{\mathcal{N}\Gamma}$  on  $M_d(\mathcal{N}\Gamma)$  defined by

$$\text{tr}_{\mathcal{N}\Gamma} f = \sum_{j=1}^d \langle f_{j,j} e, e \rangle$$

for  $f = (f_{j,k})_{1 \leq j,k \leq d} \in M_d(\mathcal{N}\Gamma)$ . One has  $\text{tr}_{\mathcal{N}\Gamma}(fg) = \text{tr}_{\mathcal{N}\Gamma}(gf)$  for all  $f, g \in M_d(\mathcal{N}\Gamma)$ . Furthermore,  $\text{tr}_{\mathcal{N}\Gamma}$  is *faithful* in the sense that  $\text{tr}_{\mathcal{N}\Gamma}(f^*f) > 0$  for every nonzero  $f \in M_d(\mathcal{N}\Gamma)$ .

For a finitely generated projective left  $\mathcal{N}\Gamma$ -module  $\mathcal{M}$ , take  $q \in M_d(\mathcal{N}\Gamma)$  for some  $d \in \mathbb{N}$  such that  $q^2 = q$  and  $(\mathcal{N}\Gamma)^{1 \times d} q$  is isomorphic to  $\mathcal{M}$  as a left  $\mathcal{N}\Gamma$ -module. Then the *dimension*  $\dim_{\mathcal{N}\Gamma} \mathcal{M}$  of  $\mathcal{M}$  is defined as

$$\dim_{\mathcal{N}\Gamma} \mathcal{M} = \text{tr}_{\mathcal{N}\Gamma} q,$$

and is independent of the choice of  $q$ . For a general left  $\mathcal{N}\Gamma$ -module  $\mathcal{M}$ , its dimension  $\dim_{\mathcal{N}\Gamma} \mathcal{M}$  is defined as the supremum of  $\dim_{\mathcal{N}\Gamma} \mathcal{M}'$  for  $\mathcal{M}'$  ranging over finitely generated projective submodules of  $\mathcal{M}$  [46, Section 6.1]. This generalized dimension was introduced by Lück. It has found numerous applications in the computation of  $L^2$ -invariants. We refer to [46, Section 6.1] for its basic properties.

**2.2. Fuglede-Kadison determinant.** For a Hilbert space  $H$ , we say  $T \in B(H)$  is *positive*, written as  $T \geq 0$ , if  $\langle Tx, x \rangle \geq 0$  for all  $x \in H$ .

Let  $f \in M_{d' \times d}(\mathcal{N}\Gamma)$ . Then  $\ker f$  is a closed linear subspace of  $(\ell^2(\Gamma))^{d \times 1}$  invariant under the right regular representation of  $\Gamma$ . Thus the orthogonal projection  $q_f$  from  $(\ell^2(\Gamma))^{d \times 1}$  onto  $\ker f$  lies in  $M_d(\mathcal{N}\Gamma)$ .

Let  $f \in M_d(\mathcal{N}\Gamma)$  be positive. Then there exists a unique Borel measure  $\mu_f$ , called the *spectral measure of  $f$* , on the interval  $[0, \|f\|]$  satisfying

$$(2.1) \quad \int_0^{\|f\|} p(t) d\mu_f(t) = \text{tr}_{\mathcal{N}\Gamma}(p(f))$$

for every one-variable real-coefficients polynomial  $p$ . In particular,  $\mu_f([0, \|f\|]) = d$ . From Theorems 5.2.2 and 5.2.8 of [33] one has  $\mu_f(\{0\}) = \text{tr}_{\mathcal{N}\Gamma}(q_f)$ . Since  $\text{tr}_{\mathcal{N}\Gamma}$  is faithful,  $\mu_f(\{0\}) > 0$  if and only if  $\ker f \neq \{0\}$ .

Let  $f \in M_{d' \times d}(\mathcal{N}\Gamma)$ . Set  $|f| = (f^*f)^{1/2} \in M_d(\mathcal{N}\Gamma)$  [33, page 248]. Then  $f$  and  $|f|$  have the same operator norm, and  $|f|$  is positive. The *Fuglede-Kadison determinant*  $\det_{\mathcal{N}\Gamma} f$  of  $f$  [26] [46, Section 3.2] is defined as

$$\det_{\mathcal{N}\Gamma} f = \exp \left( \int_0^{\|f\|} \log t d\mu_{|f|}(t) \right) \in \mathbb{R}_{\geq 0}.$$



One may describe  $\det_{\mathcal{N}\Gamma} f$  without referring to  $|f|$ . When  $f \in M_d(\mathcal{N}\Gamma)$  is positive, one has  $|f| = f$ , and hence

$$(2.2) \quad \det_{\mathcal{N}\Gamma} f = \exp \left( \int_0^{\|f\|} \log t \, d\mu_f(t) \right).$$

For general  $f \in M_{d' \times d}(\mathcal{N}\Gamma)$ , one has

$$(2.3) \quad \det_{\mathcal{N}\Gamma} f = (\det_{\mathcal{N}\Gamma}(f^* f))^{1/2}.$$

Among the properties of  $\det_{\mathcal{N}\Gamma}$  established by Fuglede and Kadison in [26, Section 5], we mainly need the following

**Theorem 2.1.** *Let  $d \in \mathbb{N}$  and  $f, g \in M_d(\mathcal{N}\Gamma)$ . The following hold:*

- (1)  $\det_{\mathcal{N}\Gamma}(fg) = \det_{\mathcal{N}\Gamma} f \cdot \det_{\mathcal{N}\Gamma} g$ .
- (2)  $\det_{\mathcal{N}\Gamma} f = \det_{\mathcal{N}\Gamma}(f^*)$ .
- (3) *If  $f \geq 0$ , then  $\det_{\mathcal{N}\Gamma} f = \inf_{\varepsilon > 0} \det_{\mathcal{N}\Gamma}(f + \varepsilon)$ .*
- (4) *If  $\ker f \neq \{0\}$ , then  $\mu_{f^* f}(\{0\}) > 0$ , and hence  $\det_{\mathcal{N}\Gamma} f = 0$ .*

We also need the following result of Lück [46, Theorem 3.14.(3)]

$$(2.4) \quad \det_{\mathcal{N}\Gamma}(f^* f + q_f) = \det_{\mathcal{N}\Gamma}(f f^* + q_{f^*})$$

for every  $f \in M_{d' \times d}(\mathcal{N}\Gamma)$ .

**Example 2.2.** It is instructing to consider the case  $\Gamma = \mathbb{Z}^d$  for  $d \in \mathbb{N}$ . Denote by  $\lambda$  the Haar probability measure on the  $d$ -dimensional torus  $\mathbb{T}^d$ . Fourier transform makes everything transparent:  $\ell^2(\mathbb{Z}^d) = L^2(\mathbb{T}^d, \lambda)$ ,  $\mathcal{N}(\mathbb{Z}^d) = L^\infty(\mathbb{T}^d, \lambda)$ , and

$$\mathrm{tr}_{\mathcal{N}(\mathbb{Z}^d)} f = \int_{\mathbb{T}^d} f(z) \, d\lambda(z), \quad \det_{\mathcal{N}(\mathbb{Z}^d)} f = \exp \left( \int_{\mathbb{T}^d} \log |f(z)| \, d\lambda(z) \right)$$

for all  $f \in \mathcal{N}(\mathbb{Z}^d)$ .

**2.3. Amenable groups.** Denote by  $\mathcal{F}(\Gamma)$  the set of all nonempty finite subsets of  $\Gamma$ . For  $K \in \mathcal{F}(\Gamma)$  and  $\delta > 0$  write  $\mathcal{B}(K, \delta)$  for the collection of all  $F \in \mathcal{F}(\Gamma)$  such that  $|\{t \in F : Kt \subseteq F\}| \geq (1 - \delta)|F|$ . The group  $\Gamma$  is called *amenable* if  $\mathcal{B}(K, \delta)$  is nonempty for every  $(K, \delta)$  [12, Section 4.9]. Let  $\Gamma$  be a countable discrete amenable group.

The pairs  $(K, \delta)$  form a net  $\Lambda$  where  $(K', \delta') \succ (K, \delta)$  means that  $K' \supseteq K$  and  $\delta' \leq \delta$ . For a  $\mathbb{R}$ -valued function  $\varphi$  defined on  $\mathcal{F}(\Gamma)$ , we say  $\varphi(F)$  converges to  $c \in \mathbb{R}$  as the nonempty finite subset  $F$  of  $\Gamma$  becomes more and more left invariant, denoted by  $\lim_F \frac{\varphi(F)}{|F|} = c$ , if for any  $\varepsilon > 0$ , there exist  $K \in \mathcal{F}(\Gamma)$  and  $\delta > 0$  such that

$$|\varphi(F) - c| < \varepsilon$$

for all  $F \in \mathcal{B}(K, \delta)$ . Similarly, we define  $\varphi(F)$  converges to  $-\infty$  or  $+\infty$  as the nonempty finite subset  $F$  of  $\Gamma$  becomes more and more left invariant. In general, we define

$$\limsup_F \varphi(F) := \lim_{(K, \delta) \in \Lambda} \sup_{F \in \mathcal{B}(K, \delta)} \varphi(F).$$

Let  $d \in \mathbb{N}$ . For  $F \in \mathcal{F}(\Gamma)$ , we denote by  $\iota_F$  the embedding  $(\ell^2(F))^{d \times 1} \rightarrow (\ell^2(\Gamma))^{d \times 1}$  and by  $p_F$  the orthogonal projection  $(\ell^2(\Gamma))^{d \times 1} \rightarrow (\ell^2(F))^{d \times 1}$ . For  $g \in M_d(\mathcal{N}\Gamma)$ , we set

$$(2.5) \quad g_F := p_F \circ g \circ \iota_F \in B((\ell^2(F))^{d \times 1}).$$

For many purposes, properties of  $g \in M_d(\mathcal{N}\Gamma)$  can be captured by properties of  $g_F$  for  $F \in \mathcal{F}(\Gamma)$ , as  $F$  becomes more and more invariant. The following striking result was proved by Elek [24].

**Lemma 2.3.** *Let  $g \in M_d(\mathbb{C}\Gamma) \subseteq B((\ell^2(\Gamma))^{d \times 1})$ . Then*

$$\mathrm{tr}_{\mathcal{N}\Gamma}(q_g) = \lim_F \frac{\dim_{\mathbb{C}} \ker(g_F)}{|F|} = \lim_F \frac{\dim_{\mathbb{C}} (\ker g \cap (\ell^2(F))^{d \times 1})}{|F|},$$

where  $q_g$  denotes the orthogonal projection from  $(\ell^2(\Gamma))^{d \times 1}$  onto  $\ker g$ . In particular, if  $\ker g \neq \{0\}$ , then  $\ker g \cap (\mathbb{C}\Gamma)^{d \times 1} \neq \{0\}$ .

**2.4. Entropy.** We recall briefly the definition of entropy for actions of amenable groups. For more detail, see [53, 54, 70]. Let  $\Gamma$  a countable discrete amenable group.

Consider a continuous action of  $\Gamma$  on a compact metrizable space  $X$ . For each finite open cover  $\mathcal{U}$  of  $X$  and  $F \in \mathcal{F}(\Gamma)$ , denote by  $N(\mathcal{U})$  the minimal cardinality of subcovers of  $\mathcal{U}$  and by  $\mathcal{U}^F$  the cover of  $X$  consisting of  $\bigcap_{s \in F} s^{-1}U_s$  for all maps  $F \rightarrow \mathcal{U}$  sending  $s$  to  $U_s$ . By the Ornstein-Weiss lemma [43, Theorem 6.1], the limit  $\lim_F \frac{\log N(\mathcal{U}^F)}{|F|}$  exists for every finite open cover  $\mathcal{U}$  of  $X$ . The *topological entropy* of the action  $\Gamma \curvearrowright X$ , denoted by  $h_{\mathrm{top}}(X)$ , is defined as

$$h_{\mathrm{top}}(X) = \sup_{\mathcal{U}} \lim_F \frac{\log N(\mathcal{U}^F)}{|F|}$$

for  $\mathcal{U}$  ranging over finite open covers of  $X$ .

For any measurable and measure-preserving action of  $\Gamma$  on a probability measure space  $(X, \mathcal{B}, \mu)$ , one can also define the measure-theoretic entropy, denoted by  $h_{\mu}(X)$ . We omit the definition, and just mention that the variational principle says that for any continuous action of  $\Gamma$  on a compact metrizable space  $X$ , one has  $h_{\mathrm{top}}(X) = \sup_{\mu} h_{\mu}(X)$  for  $\mu$  ranging over all the  $\Gamma$ -invariant Borel probability measures on  $X$ .

Let  $\Gamma$  act on a compact metrizable group  $X$  by (continuous) automorphisms. It is a theorem of Deninger that the topological entropy  $h_{\mathrm{top}}(X)$  and the measure-theoretic entropy  $h_{\nu}(X)$  for the Haar probability measure  $\nu$  of  $X$  coincide [17, Theorem 2.2]. We call this common value *the entropy of this action*, and denote it by  $h(X)$ .

**2.5. Euler characteristic.** Let  $R$  be a unital ring and  $k \in \mathbb{N}$ . A left  $R$ -module  $\mathcal{M}$  is said to be of *type  $FL_k$*  [8, page 193] if there exists a partial resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$  by finitely generated free left  $R$ -modules of the form:

$$(2.6) \quad \mathcal{C}_k \xrightarrow{\partial_k} \dots \xrightarrow{\partial_2} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \rightarrow \mathcal{M} \rightarrow 0,$$

i.e. this is an exact sequence of left  $R$ -modules and each  $\mathcal{C}_j$  for  $0 \leq j \leq k$  is a finitely generated free left  $R$ -module.

We say that a left  $R$ -module is of *type FL* [8, page 199] if, for some  $k$ , it admits a resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$  by finitely generated free left  $R$ -modules of the form

$$(2.7) \quad 0 \rightarrow \mathcal{C}_k \xrightarrow{\partial_k} \cdots \xrightarrow{\partial_3} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \rightarrow \mathcal{M} \rightarrow 0.$$

Now we assume that

$$(2.8) \quad \text{the free left } R\text{-modules } R^k \text{ and } R^l \text{ are non-isomorphic for distinct } k, l \in \mathbb{N}.$$

For any left  $R$ -module of type FL, its *Euler characteristic*  $\chi(\mathcal{M})$  is defined as  $\sum_{j=0}^k (-1)^j d_j$  for any resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$  by finitely generated free left  $R$ -modules as in (2.7), where  $d_j$  is the rank of  $\mathcal{C}_j$ . The assumption (2.8) and the generalized Schanuel's lemma [8, Lemma VIII.4.4] imply that  $\chi(\mathcal{M})$  does not depend on the choice of the resolution.

Note that every field satisfies the condition (2.8). For any discrete group  $\Gamma$ , using the unital ring homomorphism  $\mathbb{Z}\Gamma \rightarrow \mathbb{Q}$  sending  $\sum_{s \in \Gamma} f_s s$  to  $\sum_{s \in \Gamma} f_s$  one concludes that  $\mathbb{Z}\Gamma$  also satisfies the condition (2.8).

**2.6.  $L^2$ -torsion.** The definition of  $L^2$ -torsion is due to Carey-Mathai [10, 11] and Lück-Rothenberg [48]. All technical ingredients and properties are developed in great detail in [46, Chapter 3], see also [7, 9]. We concentrate on what is called *cellular* or *combinatorial*  $L^2$ -torsion. Even in the combinatorial setup, the study of  $L^2$ -torsion involves complicated functional analysis.

For a finitely generated free left  $\mathbb{Z}\Gamma$ -module  $\mathcal{C}$  with rank  $d$ , by choosing an ordered basis of  $\mathcal{C}$ , we may identify  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}$  with the Hilbert space  $(\ell^2(\Gamma))^{d \times 1}$ . Though the inner product depends on the choice of the ordered basis of  $\mathcal{C}$ , the resulting topology on  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}$  is independent of the choice of the ordered basis. For a (not necessarily exact) chain complex  $\mathcal{C}_*$  of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of the form

$$\mathcal{C}_k \xrightarrow{\partial_k} \cdots \xrightarrow{\partial_2} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \xrightarrow{\partial_0} 0,$$

we say that the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$ , i.e.

$$(2.9) \quad \ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_k \xrightarrow{1 \otimes \partial_k} \cdots \xrightarrow{1 \otimes \partial_2} \ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_1 \xrightarrow{1 \otimes \partial_1} \ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_0 \xrightarrow{1 \otimes \partial_0} 0,$$

is *weakly acyclic* [46, Definition 3.29] if  $\ker(1 \otimes \partial_j)$  is equal to the closure of  $\text{im}(1 \otimes \partial_{j+1})$  for all  $0 \leq j < k$ . In such case, we choose an ordered basis for each  $\mathcal{C}_j$ , and identify  $\mathcal{C}_j$  with  $(\mathbb{Z}\Gamma)^{1 \times d_j}$ . For each  $1 \leq j \leq k$ , let  $f_j \in M_{d_j \times d_{j-1}}(\mathbb{Z}\Gamma)$  such that  $\partial_j(x) = x f_j$  for all  $x \in (\mathbb{Z}\Gamma)^{1 \times d_j}$ . The  $L^2$ -torsion of  $\mathcal{C}_*$  is defined as [46, Definition 3.29]

$$\rho^{(2)}(\mathcal{C}_*) := \frac{1}{2} \sum_{j=1}^k (-1)^{j+1} \log \det_{\mathbb{N}\Gamma}(f_j^* f_j + q_{f_j}),$$

where  $q_{f_j}$  denotes the orthogonal projection from  $(\ell^2(\Gamma))^{d_{j-1} \times 1}$  onto  $\ker f_j$ . For a chain complex  $\mathcal{C}_*$  of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of the form

$$0 \rightarrow \mathcal{C}_k \xrightarrow{\partial_k} \cdots \xrightarrow{\partial_2} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \xrightarrow{\partial_0} 0,$$

the weak acyclicity of the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  also requires that  $1 \otimes \partial_k$  is injective.

If a left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  is of type FL and some resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$  of  $\mathcal{M}$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules as in (2.7) is weakly acyclic, we define the  $L^2$ -torsion of  $\mathcal{M}$  to be  $\rho^{(2)}(\mathcal{M}) := \rho^{(2)}(\mathcal{C}_*)$ . The results in [46, Chapter 3] imply that when  $\Gamma$  satisfies the determinant condition (Definition 6.3),  $\rho^{(2)}(\mathcal{M})$  does not depend on the choice of the resolution  $\mathcal{C}_*$  and the ordered basis for each  $\mathcal{C}_j$ . In Section 6 we shall give a more algebraic proof of this fact. In the case  $\Gamma$  is amenable, this follows directly from Theorem 1.1.

### 3. APPROXIMATION OF THE DETERMINANT

**3.1. Review of known results.** The approximation properties of the Fuglede-Kadison determinant (and its ancestors) by determinants of associated matrices have attracted a lot of attention over the last century.

The most interesting applications arise if for  $f \in \mathbb{Z}\Gamma$  with  $\Gamma$  residually finite,  $\det_{\mathbb{N}\Gamma} f$  can be approximated by determinants of the image of  $f$  in the group ring of finite quotient groups of  $\Gamma$  [46, Question 13.52]. Unfortunately, positive results are rare and only known in the simplest cases, where they are already non-trivial to prove. Denote by  $\pi_n: \mathbb{C}[\mathbb{Z}] \rightarrow \mathbb{C}[\mathbb{Z}/n\mathbb{Z}]$  the natural map induced by reduction modulo  $n$ . Schmidt showed that, in terms of the notation of Section 2.2 and Example 2.2,

$$\lim_{n \rightarrow \infty} \det_{\mathbb{N}(\mathbb{Z}/n\mathbb{Z})} (\pi_n(f) + q_{\pi_n(f)}) = \det_{\mathbb{N}\mathbb{Z}} f$$

for elements in the group ring  $\bar{\mathbb{Q}}[\mathbb{Z}]$  of  $\mathbb{Z}$  with coefficients in the field of algebraic numbers [61, Lemma 21.8], see also [46, Lemma 13.53]. The proof relies on a theorem of Gelfond in number theory. Later, Lück gave an example of some element in  $\mathbb{C}[\mathbb{Z}]$  for which the corresponding approximation fails [46, Example 13.69]. The corresponding number theoretic results for  $\Gamma = \mathbb{Z}^d$  have been identified and are open, see [40, Section 9]. For the most recent progress in the case  $\Gamma = \mathbb{Z}^d$ , see [41]. Given Lück's example, the approximation of the Fuglede-Kadison determinant by determinants of matrices was considered to be difficult in general. General approximation results with respect to finite quotients exist – and are easy to prove – if one assumes invertibility in the universal group  $C^*$ -algebra [34, Theorem 7.1]. For  $\Gamma$  being a finitely generated residually finite group and  $f \in \mathbb{Z}\Gamma$  being a generalized Laplace operator, the approximation result was proved by Lyons [50].

The approximation of the Fuglede-Kadison determinant by restrictions on Følner sets has an even longer history and dates – in the case  $\Gamma = \mathbb{Z}$  – back to Szegő [64]. Szegő's original result assumed invertibility of the positive function  $f \in L^\infty(S^1)$ ,

which makes computations much easier. However, Szegő's Theorem was extended [62, Theorem 2.7.14] to *all* non-negative essentially bounded functions on  $S^1$ . We refer to Simon's book [62, Chapter 3] for the complete history. This opened up the possibility and expectation that the approximation by restriction on Følner sets could behave much better than one would expect at first. Theorem 1.4 confirms this expectation and generalizes this result from the case  $\Gamma = \mathbb{Z}$  to all countable discrete amenable groups.

**3.2. Approximation using Følner sequences.** In the rest of this section  $\Gamma$  will be a countable discrete amenable group. We prove four lemmas in order to prepare for the proof of Theorem 1.4. First of all, we need the following simple observation of Deninger [18, Lemma 3].

**Lemma 3.1.** *Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive. Suppose that  $\ker g \cap (\mathbb{C}\Gamma)^{d \times 1} = \{0\}$ . Then, for every  $F \in \mathcal{F}(\Gamma)$ ,  $g_F: (\ell^2(F))^{d \times 1} \rightarrow (\ell^2(F))^{d \times 1}$  is invertible.*

The key observation for us is the following classical result of Gantmacher and Kreĭn [27, page 96] (see also [30, 35]). For convenience, we include a proof of this lemma following [35].

**Lemma 3.2.** *Let  $\mathcal{X}$  and  $\mathcal{Y}$  be finite sets. Let  $g \in B(\ell^2(\mathcal{X} \cup \mathcal{Y}))$  be positive and invertible. For any nonempty finite subset  $\mathcal{E}$  of  $\mathcal{X} \cup \mathcal{Y}$ , define  $g_{\mathcal{E}} = p_{\mathcal{E}} \circ g \circ \iota_{\mathcal{E}} \in B(\ell^2(\mathcal{E}))$ , where  $p_{\mathcal{E}}$  denotes the orthogonal projection  $\ell^2(\mathcal{X} \cup \mathcal{Y}) \rightarrow \ell^2(\mathcal{E})$  and  $\iota_{\mathcal{E}}$  denotes the embedding  $\ell^2(\mathcal{E}) \rightarrow \ell^2(\mathcal{X} \cup \mathcal{Y})$ . Set  $\det(g_{\emptyset}) = 1$ . Then*

$$\det(g_{\mathcal{X} \cup \mathcal{Y}}) \cdot \det(g_{\mathcal{X} \cap \mathcal{Y}}) \leq \det(g_{\mathcal{X}}) \cdot \det(g_{\mathcal{Y}}).$$

*Proof.* First of all, we note that for a positive definite matrix

$$t = \begin{pmatrix} a & b \\ b^* & c \end{pmatrix} \in M_{n+m}(\mathbb{C})$$

we have that  $a \in M_n(\mathbb{C})$  and  $c \in M_m(\mathbb{C})$  are invertible. Indeed, if  $t \geq \varepsilon$  for some constant  $\varepsilon > 0$ , then  $a, c \geq \varepsilon$ . Moreover, we have:

$$(3.1) \quad \det(t) = \det(a) \det(c - b^* a^{-1} b) \leq \det(a) \det(c).$$

Indeed, the equality in (3.1) follows from

$$\begin{pmatrix} 1 & 0 \\ -b^* a^{-1} & 1 \end{pmatrix} \begin{pmatrix} a & b \\ b^* & c \end{pmatrix} \begin{pmatrix} 1 & -a^{-1} b \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & c - b^* a^{-1} b \end{pmatrix}$$

and the inequality in (3.1) follows since  $c \geq c - b^* a^{-1} b \geq 0$ . Consider the positive definite matrix

$$g_{\mathcal{X} \cup \mathcal{Y}} = \begin{pmatrix} a & b & c \\ b^* & d & e \\ c^* & e^* & f \end{pmatrix}$$

with

$$g_{x \cap y} = a, \quad g_x = \begin{pmatrix} a & b \\ b^* & d \end{pmatrix}, \text{ and } g_y = \begin{pmatrix} a & c \\ c^* & f \end{pmatrix}.$$

Now, the equality

$$\begin{pmatrix} 1 & 0 & 0 \\ -b^*a^{-1} & 1 & 0 \\ -c^*a^{-1} & 0 & 1 \end{pmatrix} \begin{pmatrix} a & b & c \\ b^* & d & e \\ c^* & e^* & f \end{pmatrix} \begin{pmatrix} 1 & -a^{-1}b & -a^{-1}c \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} a & 0 & 0 \\ 0 & d - b^*a^{-1}b & e - b^*a^{-1}c \\ 0 & e^* - c^*a^{-1}b & f - c^*a^{-1}c \end{pmatrix}$$

shows that

$$\det(g_{x \cup y}) = \det(g_{x \cap y}) \cdot \det \begin{pmatrix} d - b^*a^{-1}b & e - b^*a^{-1}c \\ e^* - c^*a^{-1}b & f - c^*a^{-1}c \end{pmatrix}.$$

But, using (3.1) three times, we see

$$\begin{aligned} \det \begin{pmatrix} d - b^*a^{-1}b & e - b^*a^{-1}c \\ e^* - c^*a^{-1}b & f - c^*a^{-1}c \end{pmatrix} &\leq \det(d - b^*a^{-1}b) \cdot \det(f - c^*a^{-1}c) \\ &= \frac{\det(g_x)}{\det(g_{x \cap y})} \cdot \frac{\det(g_y)}{\det(g_{x \cap y})}. \end{aligned}$$

This finishes the proof.  $\square$

We also need the following result from [53], see Definitions 2.2.10 and 3.1.5, Remark 3.1.7, and Proposition 3.1.9 of [53]. For convenience, we include a proof following [14].

**Lemma 3.3.** *Let  $\varphi$  be a  $\mathbb{R}$ -valued function defined on  $\mathcal{F}(\Gamma) \cup \{\emptyset\}$  such that*

- (1)  $\varphi(\emptyset) = 0$ ;
- (2)  $\varphi(Fs) = \varphi(F)$  for all  $F \in \mathcal{F}(\Gamma)$  and  $s \in \Gamma$ ;
- (3)  $\varphi(F_1 \cup F_2) + \varphi(F_1 \cap F_2) \leq \varphi(F_1) + \varphi(F_2)$  for all  $F_1, F_2 \in \mathcal{F}(\Gamma)$ .

Then

$$\lim_F \frac{\varphi(F)}{|F|} = \inf_{F \in \mathcal{F}(\Gamma)} \frac{\varphi(F)}{|F|}.$$

*Proof.* We show first that if  $F, F_1, \dots, F_m \in \mathcal{F}(\Gamma)$  and  $\lambda_1, \dots, \lambda_m \in (0, 1]$  with  $1_F = \sum_{j=1}^m \lambda_j 1_{F_j}$ , then

$$(3.2) \quad \varphi(F) \leq \sum_{j=1}^m \lambda_j \varphi(F_j).$$

Consider the partition of  $F$  generated by  $F_1, \dots, F_m$ . Take

$$\emptyset = K_0 \subsetneq K_1 \subsetneq \dots \subsetneq K_n = F$$

such that  $K_i \setminus K_{i-1}$  is an atom of this partition for each  $1 \leq i \leq n$ . For each  $1 \leq i \leq n$  take  $s_i \in K_i \setminus K_{i-1}$ . For any  $1 \leq i \leq n$  and  $1 \leq j \leq m$ , either  $F_j \cap (K_i \setminus K_{i-1}) = \emptyset$  or  $K_{i-1} \cup (K_i \cap F_j) = K_i$ , and hence from the condition (3) one always has

$$(3.3) \quad 1_{F_j}(s_i)(\varphi(K_i) - \varphi(K_{i-1})) \leq 1_{F_j}(s_i)(\varphi(K_i \cap F_j) - \varphi(K_{i-1} \cap F_j)).$$

Then

$$\begin{aligned}
\varphi(F) &= \sum_{i=1}^n (\varphi(K_i) - \varphi(K_{i-1})) \\
&= \sum_{i=1}^n \left( \sum_{j=1}^m \lambda_j 1_{F_j}(s_i) \right) (\varphi(K_i) - \varphi(K_{i-1})) \\
&= \sum_{j=1}^m \lambda_j \sum_{i=1}^n 1_{F_j}(s_i) (\varphi(K_i) - \varphi(K_{i-1})) \\
&\stackrel{(3.3)}{\leq} \sum_{j=1}^m \lambda_j \sum_{i=1}^n 1_{F_j}(s_i) (\varphi(K_i \cap F_j) - \varphi(K_{i-1} \cap F_j)) \\
&= \sum_{j=1}^m \lambda_j \varphi(F_j)
\end{aligned}$$

as desired.

To prove the lemma, it suffices to show

$$(3.4) \quad \limsup_F \frac{\varphi(F)}{|F|} \leq \frac{\varphi(K)}{|K|}$$

for every  $K \in \mathcal{F}(\Gamma)$ . Let  $K \in \mathcal{F}(\Gamma)$ . By the condition (2) we may assume that  $e \in K$ . Denote by  $C$  the maximum of  $\varphi(K')$  for  $K'$  ranging through the nonempty subsets of  $K$ . For any  $F \in \mathcal{F}(\Gamma)$  we have

$$1_F = \frac{1}{|K|} \sum_{Ks \cap F \neq \emptyset} 1_{Ks \cap F},$$

and hence by (3.2) we get

$$\begin{aligned}
\varphi(F) &\leq \frac{1}{|K|} \sum_{Ks \cap F \neq \emptyset} \varphi(Ks \cap F) \\
&= \frac{1}{|K|} \sum_{Ks \subseteq F} \varphi(Ks \cap F) + \frac{1}{|K|} \sum_{Ks \subsetneq F, Ks \cap F \neq \emptyset} \varphi(Ks \cap F) \\
&\leq \frac{\varphi(K)}{|K|} \cdot |\{s \in \Gamma \mid Ks \subseteq F\}| + \frac{C}{|K|} \cdot |\{s \in \Gamma : Ks \subsetneq F, Ks \cap F \neq \emptyset\}|.
\end{aligned}$$

When  $F \in \mathcal{F}(\Gamma)$  becomes more and more left invariant, we have  $\frac{1}{|F|} |\{s \in \Gamma : Ks \subseteq F\}| \rightarrow 1$  and  $\frac{1}{|F|} |\{s \in \Gamma : Ks \subsetneq F, Ks \cap F \neq \emptyset\}| \rightarrow 0$ . It follows that (3.4) holds.  $\square$

The following result of Deninger [17, Theorem 3.2] is also needed for the proof. Though he proved it only for the case  $d = 1$ , his argument works for general  $d \in \mathbb{N}$ . For a proof see Remark 3.9.



**Lemma 3.4.** *Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive and invertible. Then*

$$\det_{\mathcal{N}\Gamma} g = \lim_F (\det(g_F))^{\frac{1}{|F|}}.$$

We are ready to prove Theorem 1.4.

*Proof of Theorem 1.4.* Let  $h \in M_d(\mathcal{N}\Gamma)$  be positive such that  $\ker h \cap (\mathbb{C}\Gamma)^{d \times 1} = \{0\}$ . Define  $\varphi : \mathcal{F}(\Gamma) \cup \{\emptyset\} \rightarrow \mathbb{R}$  by  $\varphi(F) = \log \det(h_F)$ , where we set  $\det(h_\emptyset) = 1$ . Then  $\varphi(\emptyset) = 0$  and  $\varphi(Fs) = \varphi(F)$  for all  $F \in \mathcal{F}(\Gamma)$  and  $s \in \Gamma$ . By Lemmas 3.1 and 3.2 we have  $\varphi(F_1 \cup F_2) + \varphi(F_1 \cap F_2) \leq \varphi(F_1) + \varphi(F_2)$  for all  $F_1, F_2 \in \mathcal{F}(\Gamma)$ . Thus by Lemma 3.3 we have

$$\lim_F \frac{\log \det(h_F)}{|F|} = \inf_{F \in \mathcal{F}(\Gamma)} \frac{\log \det(h_F)}{|F|},$$

equivalently,

$$(3.5) \quad \lim_F (\det(h_F))^{\frac{1}{|F|}} = \inf_{F \in \mathcal{F}(\Gamma)} (\det(h_F))^{\frac{1}{|F|}}.$$

For any  $\varepsilon > 0$ , since  $g + \varepsilon$  is invertible in  $\mathcal{N}\Gamma$ , by Lemma 3.4 we have

$$(3.6) \quad \det_{\mathcal{N}\Gamma}(g + \varepsilon) = \lim_F (\det((g + \varepsilon)_F))^{\frac{1}{|F|}}.$$

From Theorem 2.1 we have

$$\begin{aligned} \det_{\mathcal{N}\Gamma} g &= \inf_{\varepsilon > 0} \det_{\mathcal{N}\Gamma}(g + \varepsilon) \\ &\stackrel{(3.6)}{=} \inf_{\varepsilon > 0} \lim_F (\det((g + \varepsilon)_F))^{\frac{1}{|F|}} \\ &\stackrel{(3.5)}{=} \inf_{\varepsilon > 0} \inf_{F \in \mathcal{F}(\Gamma)} (\det((g + \varepsilon)_F))^{\frac{1}{|F|}} \\ &= \inf_{F \in \mathcal{F}(\Gamma)} \inf_{\varepsilon > 0} (\det((g + \varepsilon)_F))^{\frac{1}{|F|}} \\ &= \inf_{F \in \mathcal{F}(\Gamma)} (\det(g_F))^{\frac{1}{|F|}}, \end{aligned}$$

establishing the first equality in (1.3).

If  $\ker g \cap (\mathbb{C}\Gamma)^{d \times 1} = \{0\}$ , then taking  $h = g$  in (3.5) we get the second equality in (1.3). Thus assume  $gx = 0$  for some nonzero  $x \in (\mathbb{C}\Gamma)^{d \times 1}$ . Since  $\ker g \neq \{0\}$ , by Theorem 2.1 we have  $\det_{\mathcal{N}\Gamma}(g) = 0$ . Denote by  $K$  the support of  $x$  as a  $\mathbb{C}^{d \times 1}$ -valued function on  $\Gamma$ . Then  $K \in \mathcal{F}(\Gamma)$ . Let  $F \in \mathcal{B}(K, 1/2)$ . Then we can find some  $s \in F$  such that  $Ks \subseteq F$ . Now  $xs$  is a nonzero element of  $(\ell^2(F))^{d \times 1}$ , and  $g(xs) = (gx)s = 0$ . It follows that  $g_F(xs) = p_F(g(xs)) = 0$ . Thus  $g_F$  is not injective, and hence  $\det(g_F) = 0$ . In particular,  $\lim_F (\det(g_F))^{\frac{1}{|F|}} = 0 = \det_{\mathcal{N}\Gamma} g$ .  $\square$

**Remark 3.5.** Denote by  $S^1$  the unit circle in the complex plane. A *normalized unitary 2-cocycle* of  $\Gamma$  is a map  $\alpha : \Gamma \times \Gamma \rightarrow S^1$ , such that

$$\alpha(s_1, s_2)\alpha(s_1 s_2, s_3) = \alpha(s_1, s_2 s_3)\alpha(s_2, s_3), \quad \alpha(s_1, e) = \alpha(e, s_1) = 1, \quad \forall s_1, s_2, s_3 \in \Gamma.$$

Let  $\alpha$  be a normalized unitary 2-cocycle of  $\Gamma$ . Then one has the twisted left and right unitary representations  $l_\alpha$  and  $r_\alpha$  of  $\Gamma$  on  $\ell^2(\Gamma)$  defined by

$$(l_{\alpha,s}x)_t = \alpha(s, s^{-1}t)x_{s^{-1}t} \text{ and } (r_{\alpha,s}x)_t = \alpha(ts, s^{-1})x_{ts}$$

for  $s, t \in \Gamma$  and  $x \in \ell^2(\Gamma)$ . The *twisted group von Neumann algebra*  $\mathcal{N}_\alpha\Gamma$  is defined as the sub- $*$ -algebra of  $B(\ell^2(\Gamma))$  consisting of elements commuting with  $r_\alpha$ . The *twisted group algebra*  $\mathbb{C}_\alpha\Gamma$  is the ring with underlying vector space  $\mathbb{C}\Gamma$  and associative multiplication determined by  $s \cdot t := \alpha(s, t)st$  for  $s, t \in \Gamma$ . Via  $l_\alpha$ , we identify  $\mathbb{C}_\alpha\Gamma$  as a sub- $*$ -algebra of  $\mathcal{N}_\alpha\Gamma$ . Taking  $s_1 = s_3 = s$  and  $s_2 = s^{-1}$  one obtains  $\alpha(s, s^{-1}) = \alpha(s^{-1}, s)$  for all  $s \in \Gamma$ . Then one still has the trace  $\text{tr}_{\mathcal{N}_\alpha\Gamma}$  and the Fuglede-Kadison determinant  $\det_{\mathcal{N}_\alpha\Gamma}$  defined, in exactly the same way as  $\text{tr}_{\mathcal{N}\Gamma}$  and  $\det_{\mathcal{N}\Gamma}$  are.

When  $\alpha$  is the constant function 1,  $\mathcal{N}_\alpha\Gamma$  is simply  $\mathcal{N}\Gamma$ . An interesting example of a twisted group von Neumann algebra arises already for  $\mathbb{Z}^2$  and the cocycle  $\alpha_\theta : \mathbb{Z}^2 \times \mathbb{Z}^2 \rightarrow S^1$  given by  $\alpha_\theta((n_1, n_2), (m_1, m_2)) := \exp(2\pi i \theta(n_1 m_2 - n_2 m_1))$  for  $\theta \in \mathbb{R}$ . When  $\theta$  is irrational,  $\mathcal{N}_{\alpha_\theta}\mathbb{Z}^2$  is the hyperfinite  $\text{II}_1$ -factor [3, Corollary 1.16].

Lemmas 3.1 and 3.4 and Theorems 2.1 and 1.4, and their proofs all work with  $\mathcal{N}\Gamma$  replaced by  $\mathcal{N}_\alpha\Gamma$ .

### 3.3. Estimates on the spectrum near zero.

**Notation 3.6.** For any positive  $g \in M_d(\mathcal{N}\Gamma)$ ,  $F \in \mathcal{F}(\Gamma)$ , and  $\kappa > 0$ , we denote by  $\mathcal{D}_{g,F,\kappa}$  the product of the eigenvalues of  $g_F$  in the interval  $(0, \kappa]$  counted with multiplicity. If  $g_F$  has no eigenvalue in  $(0, \kappa]$ , we set  $\mathcal{D}_{g,F,\kappa} = 1$ .

Using Theorem 1.4 we shall prove the following result, describing the asymptotic behavior of  $\mathcal{D}_{g,F,\kappa}$  under the condition that  $\det_{\mathcal{N}\Gamma} g > 0$ .

**Proposition 3.7.** *Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive with  $\det_{\mathcal{N}\Gamma} g > 0$ . Let  $\lambda > 1$ . Then there exists  $0 < \kappa < \min(1, \|g\|)$  such that*

$$\limsup_F (\mathcal{D}_{g,F,\kappa})^{-\frac{1}{|F|}} \leq \lambda.$$

To prove Proposition 3.7 we need some preparation.

Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive and  $F \in \mathcal{F}(\Gamma)$ . Denote by  $\text{tr}_F$  the  $\mathbb{C}$ -valued trace on  $B((\ell^2(F))^{d \times 1})$  normalized by  $\text{tr}_F(1) = d$ . Then there exists a unique Borel measure  $\mu_{g,F}$ , called the *spectral measure of  $g_F$* , on the interval  $[0, \|g_F\|] \subseteq [0, \|g\|]$  satisfying

$$(3.7) \quad \int_0^{\|g\|} p(t) d\mu_{g,F}(t) = \text{tr}_F(p(g_F))$$

for every one-variable real-coefficients polynomial  $p$ . In particular,  $\mu_{g,F}([0, \|g\|]) = d$ . More explicitly, for any  $t \in [0, \|g\|]$ , one has

$$(3.8) \quad \mu_{g,F}(\{t\}) = \frac{\text{multiplicity of } t \text{ as an eigenvalue of } g_F}{|F|}.$$

**Lemma 3.8.** *Let  $g \in M_d(\mathcal{N}\Gamma)$  be positive and non-zero. Let  $0 < \kappa < \min(\|g\|, 1)$ . Then*

$$\limsup_F \int_{\kappa+}^{\|g\|} \log t \, d\mu_{g,F}(t) \leq \int_{\kappa+}^{\|g\|} \log t \, d\mu_g(t).$$

*Proof.* By a result of Lück, Dodziuk-Mathai, and Schick [44] [22, Lemma 2.3] [60, Lemma 4.6] [46, Lemma 13.42], one has

$$\mathrm{tr}_{\mathcal{N}\Gamma}(p(g)) = \lim_F \mathrm{tr}_F(p(g_F))$$

for every one-variable real-coefficients polynomial  $p$ . In view of (2.1) and (3.7), this means

$$(3.9) \quad \int_0^{\|g\|} p(t) \, d\mu_g(t) = \lim_F \int_0^{\|g\|} p(t) \, d\mu_{g,F}(t).$$

By the Stone-Weierstraß approximation theorem, the space of one-variable real-coefficients polynomial is dense in the space of real-valued continuous functions on the interval  $[0, \|g\|]$ , under the supremum norm. Thus (3.9) holds for every real-valued continuous function  $p$  on  $[0, \|g\|]$ . That is, the net  $\{\mu_{g,F}\}_F$  converges to  $\mu_g$  weakly, as  $F \in \mathcal{F}(\Gamma)$  becomes more and more left invariant. Then one has

$$\int_0^{\|g\|} h(t) \, d\mu_g(t) \geq \limsup_F \int_0^{\|g\|} h(t) \, d\mu_{g,F}(t)$$

for every real-valued upper semicontinuous function  $h$  on  $[0, \|g\|]$  [2, page 24, Exercise 2.6].

Set  $h(t) = 0$  for  $t \in [0, \kappa]$  and  $h(t) = \log t$  for  $t \in (\kappa, \|g\|]$ . Then  $h$  is a real-valued upper semicontinuous function on  $[0, \|g\|]$ . Therefore

$$\begin{aligned} \int_{\kappa+}^{\|g\|} \log t \, d\mu_g(t) &= \int_0^{\|g\|} h(t) \, d\mu_g(t) \\ &\geq \limsup_F \int_0^{\|g\|} h(t) \, d\mu_{g,F}(t) \\ &= \limsup_F \int_{\kappa+}^{\|g\|} \log t \, d\mu_{g,F}(t). \end{aligned}$$

□

**Remark 3.9.** Note that once the weak convergence  $\lim_F \mu_{g,F} = \mu_g$  has been established, Lemma 3.4 is an immediate consequence. Indeed, if  $g \geq \varepsilon$  for some constant  $\varepsilon > 0$ , then the support of  $\mu_{g,F}$  is contained in  $[\varepsilon, \|g\|]$  for all  $F$ . This implies the lemma, since the function  $t \mapsto \log t$  is continuous and bounded on this interval.

We are ready to prove Proposition 3.7.

*Proof of Proposition 3.7.* Because  $\int_0^{\|g\|} \log t d\mu_g(t) = \log \det_{\mathcal{N}\Gamma} g > -\infty$ , we can find some  $0 < \kappa < \min(1, \|g\|)$  such that

$$\int_0^\kappa \log t d\mu_g(t) \geq -\log \lambda.$$

Since  $\det_{\mathcal{N}\Gamma} g > 0$ , by Theorem 2.1 we have  $\ker g = \{0\}$ . Let  $F \in \mathcal{F}(\Gamma)$ . By Lemma 3.1 we get that  $g_F$  is injective. From (3.8) we have

$$-\frac{\log \mathcal{D}_{g,F,\kappa}}{|F|} = -\frac{\log \det(g_F)}{|F|} + \int_{\kappa+}^{\|g\|} \log t d\mu_{g,F}(t).$$

From Theorem 1.4 and Lemma 3.8 we get

$$\begin{aligned} \limsup_F \left( -\frac{\log \mathcal{D}_{g,F,\kappa}}{|F|} \right) &= \limsup_F \left( -\frac{\log \det(g_F)}{|F|} + \int_{\kappa+}^{\|g\|} \log t d\mu_{g,F}(t) \right) \\ &= \lim_F \left( -\frac{\log \det(g_F)}{|F|} \right) + \limsup_{n \rightarrow \infty} \int_{\kappa+}^{\|g\|} \log t d\mu_{g,F}(t) \\ &\leq -\log \det_{\mathcal{N}\Gamma} g + \int_{\kappa+}^{\|g\|} \log t d\mu_g(t) \\ &= -\int_0^{\|g\|} \log t d\mu_g(t) + \int_{\kappa+}^{\|g\|} \log t d\mu_g(t) \\ &= -\int_0^\kappa \log t d\mu_g(t) \leq \log \lambda. \end{aligned}$$

□

**Remark 3.10.** Proposition 3.7 and its proof also work in the twisted case.

In order to apply Proposition 3.7, we need to know which  $g \in M_d(\mathcal{N}\Gamma)$  has strictly positive determinant. Schick showed that this is the case for  $g \in M_d(\mathbb{Z}\Gamma)$  with  $\ker g = \{0\}$  [46, Theorem 1.21] [46, Theorem 13.3]:

**Lemma 3.11.** *For any  $g \in M_d(\mathbb{Z}\Gamma)$  with  $\ker g = \{0\}$ , one has  $\det_{\mathcal{N}\Gamma} g \geq 1$ .*

Though Lemma 3.11 is sufficient for our proof of Theorems 1.2 and 1.1, we note by passing a slightly more general result from [21]. Denote by  $\bar{\mathbb{Q}}$  the algebraic closure of  $\mathbb{Q}$  in  $\mathbb{C}$ , i.e. the field of algebraic numbers.

**Lemma 3.12.** *For any  $g \in M_d(\bar{\mathbb{Q}}\Gamma)$  with  $\ker g = \{0\}$ , one has  $\det_{\mathcal{N}\Gamma} g > 0$ .*

*Proof.* Since  $\det_{\mathcal{N}\Gamma} g = (\det_{\mathcal{N}\Gamma}(g^*g))^{1/2}$ ,  $\ker(g^*g) = \ker g = \{0\}$ , and  $g^*g \in M_d(\bar{\mathbb{Q}}\Gamma)$ , we may assume that  $g \geq 0$ .

Multiplying  $g$  by a suitable positive integer, we may assume further that  $g \in M_d(\mathcal{O}\Gamma)$ , where  $\mathcal{O} \subseteq \bar{\mathbb{Q}}$  denotes the ring of algebraic integers in  $\bar{\mathbb{Q}}$ . The coefficients of  $g$  are contained in a finite Galois field extension of  $\mathbb{Q}$  and we denote by  $G$  its Galois group. We write  $g = \sum_{s \in K} g_s s$  with  $g_s \in M_d(\mathcal{O})$ , where  $K \subset \Gamma$  is the support

of  $g$ . Let  $C > 0$  be an upper bound for  $\|\sigma(g_s)\|$  for all  $s \in K$  and  $\sigma \in G$ . Note that  $\|\sigma(g_F)\| \leq C|K|$  for all  $F \in \mathcal{F}(\Gamma)$ , and hence  $|\det(\sigma(g_F))| \leq (C|K|)^{d|F|}$ .

Note that  $\prod_{\sigma \in G} \sigma(\det(g_F)) \in \mathbb{Z}$  as it is a Galois invariant algebraic integer. Since  $g_F$  is invertible by Lemma 3.1, one has  $\det(g_F) \neq 0$  and hence  $\det(\sigma(g_F)) = \sigma(\det(g_F)) \neq 0$  for all  $\sigma \in G$ . Then  $\prod_{\sigma \in G} \sigma(\det(g_F)) \neq 0$ , and we conclude

$$\prod_{\sigma \in G} |\sigma(\det(g_F))| \geq 1.$$

Now,  $|\sigma(\det(g_F))| \leq (C|K|)^{d|F|}$  for all  $\sigma \in G$  and we get

$$\det(g_F) \geq (C|K|)^{-d|F|(|G|-1)}.$$

From Theorem 1.4 we conclude that

$$\det_{\text{NT}} g = \inf_{F \in \mathcal{F}(\Gamma)} \det(g_F)^{\frac{1}{|F|}} \geq (C|K|)^{-d(|G|-1)} > 0.$$

This finishes the proof.  $\square$

We are unable to settle the following question:

**Question 3.13.** Do we have  $\det_{\text{NT}} g > 0$  for all  $g \in M_d(\mathbb{C}\Gamma)$  with  $\ker g = \{0\}$ ?

#### 4. ENTROPY AND DETERMINANT

In this section we prove Theorem 1.2. Throughout this section  $\Gamma$  will be a countable discrete amenable group.

**4.1. A formula for entropy of finitely generated algebraic actions.** In this subsection we prove Theorem 4.2, giving a formula for the entropy of finitely generated algebraic actions in terms of approximate solutions to the equations defining the algebraic action.

Let  $\theta$  be a continuous pseudometric on a compact metrizable space  $X$ . For  $\varepsilon > 0$ , we say that  $\mathcal{W} \subseteq X$  is  $(\theta, \varepsilon)$ -separated if  $\theta(x, y) > \varepsilon$  for all distinct  $x, y \in \mathcal{W}$ . Denote by  $N_\varepsilon(X, \theta)$  the maximal cardinality of  $(\theta, \varepsilon)$ -separated subsets of  $X$ .

Let  $\Gamma$  act continuously on  $X$ . We say that  $\theta$  is *dynamically generating* if for any distinct  $x, y \in X$  one has  $\theta(sx, sy) > 0$  for some  $s \in \Gamma$ . For each  $F \in \mathcal{F}(\Gamma)$ , we define continuous pseudometrics  $\theta_{F,2}$  and  $\theta_{F,\infty}$  on  $X$  by

$$(4.1) \quad \theta_{F,2}(x, y) = \left( \frac{1}{|F|} \sum_{s \in F} (\theta(sx, sy))^2 \right)^{1/2},$$

$$(4.2) \quad \theta_{F,\infty}(x, y) = \max_{s \in F} \theta(sx, sy).$$

The following result says that the topological entropy of the action can be calculated using  $N_\varepsilon(X, \theta_{F,2})$  or  $N_\varepsilon(X, \theta_{F,\infty})$ , for any dynamically generating continuous pseudometric  $\theta$  on  $X$ . The formula in terms of  $N_\varepsilon(X, \theta_{F,\infty})$  was proved by Deninger [17, Proposition 2.3], and the formula in terms of  $N_\varepsilon(X, \theta_{F,2})$  is in [39, Theorem 4.2].

**Lemma 4.1.** *Let  $\Gamma$  act on a compact metrizable space  $X$  continuously, and let  $\theta$  be a dynamically generating continuous pseudometric on  $X$ . Then*

$$h_{\text{top}}(X) = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X, \theta_{F,2})}{|F|} = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X, \theta_{F,\infty})}{|F|}.$$

For any countable left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$ , we denote by  $\widehat{\mathcal{M}}$  the Pontryagin dual of the discrete abelian group  $\mathcal{M}$ . The left  $\mathbb{Z}\Gamma$ -module structure on  $\mathcal{M}$  corresponds to an action of  $\Gamma$  on the discrete abelian group  $\mathcal{M}$  by automorphisms, and induces a natural action of  $\Gamma$  on the compact metrizable abelian group  $\widehat{\mathcal{M}}$  by (continuous) automorphisms.

Let  $d \in \mathbb{N}$ . We may identify  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$  with  $\widehat{(\mathbb{Z}\Gamma)^{1 \times d}}$  naturally through the pairing  $(\mathbb{Z}\Gamma)^{1 \times d} \times ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma \rightarrow \mathbb{R}/\mathbb{Z}$  given by

$$\langle f, x \rangle = (fx)_e,$$

where  $fx \in (\mathbb{R}/\mathbb{Z})^\Gamma$  is defined similar to the product in  $\mathbb{Z}\Gamma$ :

$$(fx)_t = \sum_{s \in \Gamma, 1 \leq j \leq d} f_{s,j} x_{s^{-1}t,j}.$$

It is easy to check that the induced natural  $\Gamma$ -action on  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , denoted by  $\sigma$ , is given by

$$(\sigma_s(x))_t = x_{ts}$$

for  $x \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$  and  $s, t \in \Gamma$ .

Consider the following metric  $\vartheta$  on  $\mathbb{R}/\mathbb{Z}$ :

$$\vartheta(x + \mathbb{Z}, y + \mathbb{Z}) = \min_{z \in \mathbb{Z}} |x - y - z|.$$

Using  $\vartheta$  we define two metrics  $\vartheta_2$  and  $\vartheta_\infty$  on  $(\mathbb{R}/\mathbb{Z})^{d \times 1}$  by

$$\vartheta_2(x, y) = \left( \frac{1}{d} \sum_{j=1}^d (\vartheta(x_j, y_j))^2 \right)^{1/2},$$

$$\vartheta_\infty(x, y) = \max_{1 \leq j \leq d} \vartheta(x_j, y_j),$$

for  $x = (x_j)_{1 \leq j \leq d}$  and  $y = (y_j)_{1 \leq j \leq d}$  in  $(\mathbb{R}/\mathbb{Z})^{d \times 1}$ . Via the coordinate map at  $e$ , we shall also think of both  $\vartheta_2$  and  $\vartheta_\infty$  as continuous pseudometrics on  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , i.e.

$$\vartheta_2(x, y) = \vartheta_2(x_e, y_e), \quad \vartheta_\infty(x, y) = \vartheta_\infty(x_e, y_e).$$

It is clear that both  $\vartheta_2$  and  $\vartheta_\infty$  are dynamically generating on  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ . For any  $F \in \mathcal{F}(\Gamma)$ , we shall write  $\vartheta_{F,2}$  and  $\vartheta_{F,\infty}$  for  $(\vartheta_2)_{F,2}$  and  $(\vartheta_\infty)_{F,\infty}$  respectively. Explicitly, for  $x = (x_{s,j})_{s \in \Gamma, 1 \leq j \leq d}$  and  $y = (y_{s,j})_{s \in \Gamma, 1 \leq j \leq d}$  in  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , one has

$$(4.3) \quad \vartheta_{F,2}(x, y) = \left( \frac{1}{d|F|} \sum_{s \in F, 1 \leq j \leq d} (\vartheta(x_{s,j}, y_{s,j}))^2 \right)^{1/2},$$

$$(4.4) \quad \vartheta_{F,\infty}(x, y) = \max_{s \in F, 1 \leq j \leq d} \vartheta(x_{s,j}, y_{s,j}).$$

If  $X$  is a closed  $\Gamma$ -invariant subgroup of  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , then from Lemma 4.1 we get

$$(4.5) \quad h(X) = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X, \vartheta_{F,2})}{|F|} = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X, \vartheta_{F,\infty})}{|F|}.$$

Let  $J$  be a (possibly infinite) subset of  $(\mathbb{Z}\Gamma)^{1 \times d}$ . We denote by  $\mathcal{M}_J$  the  $\mathbb{Z}\Gamma$ -submodule of  $(\mathbb{Z}\Gamma)^{1 \times d}$  generated by  $J$ , and set

$$(4.6) \quad X_J = \widehat{(\mathbb{Z}\Gamma)^{1 \times d} / \mathcal{M}_J} = \{x \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma : fx = 0 \text{ for all } f \in J\}.$$

For  $F \in \mathcal{F}(\Gamma)$ , we set

$$(4.7) \quad X_{J,F} = \{x \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma : fx = 0 \text{ on } F \text{ for all } f \in J\}.$$

The following theorem is the main result in this subsection.

**Theorem 4.2.** *Let  $J \subseteq (\mathbb{Z}\Gamma)^{1 \times d}$ . Then*

$$h(X_J) = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X_{J,F}, \vartheta_{F,\infty})}{|F|}.$$

Theorem 4.2 follows from (4.5) and the lemma below.

**Lemma 4.3.** *Let  $J \subseteq (\mathbb{Z}\Gamma)^{1 \times d}$  and  $\varepsilon > 0$ . Then*

$$(4.8) \quad \limsup_F \frac{\log N_{4\varepsilon}(X_{J,F}, \vartheta_{F,\infty})}{|F|} \leq \limsup_F \frac{\log N_\varepsilon(X_J, \vartheta_{F,\infty})}{|F|} \leq \limsup_F \frac{\log N_\varepsilon(X_{J,F}, \vartheta_{F,\infty})}{|F|}.$$

In order to prove the previous lemma, we need the following quasitiling result of Ornstein and Weiss [54, page 24, Theorem 6] [39, Theorem 8.3 and Remark 8.4].

**Lemma 4.4.** *Let  $\varepsilon > 0$  and  $K \in \mathcal{F}(\Gamma)$ . Then there exist  $\delta > 0$  and  $K', F_1, \dots, F_m \in \mathcal{F}(\Gamma)$  such that*

- (1)  $F_j \in \mathcal{B}(K, \varepsilon)$  for each  $1 \leq j \leq m$ ;
- (2) for any  $A \in \mathcal{B}(K', \delta)$ , there are finite subsets  $D_1, \dots, D_m$  of  $\Gamma$  such that  $\bigcup_{1 \leq j \leq m} F_j D_j \subseteq A$ , the family  $\{F_j c_j : 1 \leq j \leq m, c_j \in D_j\}$  of subsets of  $\Gamma$  are pairwise disjoint, and  $|\bigcup_{1 \leq j \leq m} F_j D_j| \geq (1 - \varepsilon)|A|$ .

We are now ready to prove Lemma 4.3. Let us first sketch the idea of the proof of Lemma 4.3 briefly. While the second inequality is obvious, the first relies on a quasi-tiling argument using Lemma 4.4. The limit superior on the left side is almost realized by sets which become more and more left invariant and can all be tiled with a fixed precision by finitely many fixed tiles. There are plenty of approximate solutions on certain right translation of the more and more left invariant set, being sufficiently separated on one of the tiles. We arrange the right translation of the more and more left invariant sets to exhaust the group  $\Gamma$ . Then the approximate solutions converge to precise solutions.



*Proof of Lemma 4.3.* Since  $X_J \subseteq X_{J,F}$  for every  $F \in \mathcal{F}(\Gamma)$ , clearly the second inequality in (4.8) holds.

Note that

$$(4.9) \quad N_\varepsilon((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma, \vartheta_{F,\infty}) \leq (1 + \varepsilon^{-1})^{d|F|}$$

for all  $\varepsilon > 0$  and  $F \in \mathcal{F}(\Gamma)$ .

Set  $C = \limsup_F \frac{\log N_{4\varepsilon}(X_{J,F}, \vartheta_{F,\infty})}{|F|} < +\infty$ . To show the first inequality in (4.8) it suffices to show that for any  $\eta > 0$ ,  $K \in \mathcal{F}(\Gamma)$  and  $\delta > 0$  there exists  $F \in \mathcal{B}(K, \delta)$  such that

$$(4.10) \quad \frac{\log N_\varepsilon(X_J, \vartheta_{F,\infty})}{|F|} \geq C - 2\eta.$$

Let  $\eta > 0$ ,  $K \in \mathcal{F}(\Gamma)$  and  $\delta > 0$ . We may assume that  $C - 2\eta > 0$ . Take  $\delta_1 > 0$  such that  $(1 + (2\varepsilon)^{-1})^{2\delta_1 d} \leq \exp(\eta)$ .

By Lemma 4.4 there exist  $\delta' > 0$  and  $K', F_1, \dots, F_m \in \mathcal{F}(\Gamma)$  such that

- (1)  $F_j \in \mathcal{B}(K, \delta)$  for each  $1 \leq j \leq m$ ;
- (2) for any  $A \in \mathcal{B}(K', \delta')$ , there are finite subsets  $D_1, \dots, D_m$  of  $\Gamma$  such that  $\bigcup_{1 \leq j \leq m} F_j D_j \subseteq A$ , the family  $\{F_j c_j : 1 \leq j \leq m, c_j \in D_j\}$  of subsets of  $\Gamma$  are pairwise disjoint, and  $|\bigcup_{1 \leq j \leq m} F_j D_j| \geq (1 - \delta_1)|A|$ .

Enlarging  $K'$  if necessary, we may assume that  $e \in K'$ .

Take an increasing sequence  $\{e\} \in K_1 \subseteq K_2 \subseteq \dots$  of finite subsets of  $\Gamma$  such that their union is  $\Gamma$ .

Fix  $n \in \mathbb{N}$  and take  $B \in \mathcal{B}(K_n K', \min(\delta_1, \delta'))$  such that

$$(4.11) \quad \frac{\log N_{4\varepsilon}(X_{J,B}, \vartheta_{B,\infty})}{|B|} \geq C - \eta.$$

Set  $A = \{s \in B : K_n s \subseteq B\}$ . Since  $K_n K' \supseteq K_n$ , one has  $|A| \geq (1 - \delta_1)|B|$ . Furthermore,

$$|\{s \in A : K' s \subseteq A\}| = |\{s \in B : K_n K' s \subseteq B\}| \geq (1 - \delta')|B| \geq (1 - \delta')|A|.$$

Thus  $A \in \mathcal{B}(K', \delta')$ . Then we have finite subsets  $D_1, \dots, D_m$  of  $\Gamma$  as above.

Set  $W = B \setminus \bigcup_{1 \leq j \leq m} F_j D_j$ . Then

$$|W| = |B \setminus A| + \left| A \setminus \bigcup_{1 \leq j \leq m} F_j D_j \right| \leq \delta_1 |B| + \delta_1 |A| \leq 2\delta_1 |B|.$$

From (4.9) we have

$$(4.12) \quad N_{2\varepsilon}(X_{J,B}, \vartheta_{W,\infty}) \leq (1 + (2\varepsilon)^{-1})^{d|W|} \leq (1 + (2\varepsilon)^{-1})^{2\delta_1 d|B|} \leq \exp(|B|\eta).$$

Let  $\mathcal{W}_{j,c_j}$  (resp.  $\mathcal{W}_W$ ) be a  $2\varepsilon$ -separated subset of  $X_{J,B}$  under  $\vartheta_{F_j c_j, \infty}$  for every  $1 \leq j \leq m$  and  $c_j \in D_j$  (resp. under  $\vartheta_{W, \infty}$ ). Also let  $\mathcal{W}_B$  be a  $4\varepsilon$ -separated subset of  $X_{J,B}$

under  $\vartheta_{B,\infty}$ . For each  $x \in \mathcal{W}_B$ , we can find a point  $\varphi(x)$  in  $\mathcal{W}_W \times \prod_{1 \leq j \leq m, c_j \in D_j} \mathcal{W}_{j,c_j}$  such that

$$\vartheta_{W,\infty}(x, \varphi(x)_W) \leq 2\varepsilon, \text{ and } \vartheta_{F_j c_j, \infty}(x, \varphi(x)_{j,c_j}) \leq 2\varepsilon, \forall 1 \leq j \leq m, c_j \in D_j,$$

where  $\varphi(x)_W$  denotes the coordinate of  $\varphi(x)$  in  $\mathcal{W}_W$  and  $\varphi(x)_{j,c_j}$  denotes the coordinate of  $\varphi(x)$  in  $\mathcal{W}_{j,c_j}$ . Since  $B$  is the union of  $F_j c_j$  for  $1 \leq j \leq m, c_j \in D_j$  and  $W$ , we have

$$\vartheta_{B,\infty}(x, y) = \max \left\{ \vartheta_{W,\infty}(x, y), \max_{1 \leq j \leq m} \max_{c_j \in D_j} \vartheta_{F_j c_j, \infty}(x, y) \right\}$$

for all  $x, y \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ . It follows that the map  $\varphi : \mathcal{W}_B \rightarrow \mathcal{W}_W \times \prod_{1 \leq j \leq m, c_j \in D_j} \mathcal{W}_{j,c_j}$  is injective. Therefore

$$(4.13) \quad \begin{aligned} N_{4\varepsilon}(X_{J,B}, \vartheta_{B,\infty}) &\leq N_{2\varepsilon}(X_{J,B}, \vartheta_{W,\infty}) \prod_{1 \leq j \leq m} \prod_{c_j \in D_j} N_{2\varepsilon}(X_{J,B}, \vartheta_{F_j c_j, \infty}) \\ &\stackrel{(4.12)}{\leq} \exp(|B|\eta) \prod_{1 \leq j \leq m} \prod_{c_j \in D_j} N_{2\varepsilon}(X_{J,B}, \vartheta_{F_j c_j, \infty}). \end{aligned}$$

From (4.13) and (4.11) we get

$$\begin{aligned} \prod_{1 \leq j \leq m} \prod_{c_j \in D_j} N_{2\varepsilon}(X_{J,B}, \vartheta_{F_j c_j, \infty}) &\geq \exp(|B|(C - 2\eta)) \\ &\geq \exp \left( (C - 2\eta) \sum_{1 \leq j \leq m} \sum_{c_j \in D_j} |F_j c_j| \right), \end{aligned}$$

and hence we can find some  $1 \leq j_n \leq m$  and  $c_{(n)} \in D_{j_n}$  such that

$$N_{2\varepsilon}(X_{J,B}, \vartheta_{F_{j_n} c_{(n)}, \infty}) \geq \exp((C - 2\eta)|F_{j_n} c_{(n)}|) = \exp((C - 2\eta)|F_{j_n}|).$$

Note that  $\sigma_s(X_{J,Fs}) = X_{J,F}$  and  $\vartheta_{F,\infty}(\sigma_s(x), \sigma_s(y)) = \vartheta_{Fs,\infty}(x, y)$  for all  $s \in \Gamma$ ,  $F \in \mathcal{F}(\Gamma)$  and  $x, y \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ . It follows that

$$N_{2\varepsilon}(X_{J, Bc_{(n)}^{-1}}, \vartheta_{F_{j_n}, \infty}) = N_{2\varepsilon}(X_{J,B}, \vartheta_{F_{j_n} c_{(n)}, \infty}) \geq \exp((C - 2\eta)|F_{j_n}|).$$

Note that if  $B_1 \subseteq B_2$  are in  $\mathcal{F}(\Gamma)$ , then  $X_{J,B_2} \subseteq X_{J,B_1}$ . Since  $Bc_{(n)}^{-1} \supseteq K_n F_{j_n}$ , we have  $X_{J, Bc_{(n)}^{-1}} \subseteq X_{J, K_n F_{j_n}}$ . Therefore

$$N_{2\varepsilon}(X_{J, K_n F_{j_n}}, \vartheta_{F_{j_n}, \infty}) \geq N_{2\varepsilon}(X_{J, Bc_{(n)}^{-1}}, \vartheta_{F_{j_n}, \infty}) \geq \exp((C - 2\eta)|F_{j_n}|).$$

Passing to a subsequence of  $\{K_n\}_{n \in \mathbb{N}}$  if necessary, we may assume that  $j_n$  does not depend on  $n$ . Set  $F = F_{j_n}$ . Denote by  $M$  the smallest integer no less than  $\exp((C - 2\eta)|F|)$ . Then  $F \in \mathcal{B}(K, \delta)$  and

$$N_{2\varepsilon}(X_{J, K_n F}, \vartheta_{F, \infty}) \geq M$$

for all  $n \in \mathbb{N}$ .

For each  $n \in \mathbb{N}$ , take  $x_{n,1}, \dots, x_{n,M}$  in  $X_{J, K_n F}$  such that

$$\vartheta_{F,\infty}(x_{n,i}, x_{n,j}) \geq 2\varepsilon$$

for all  $1 \leq i < j \leq M$ . Then  $fx_{n,i} = 0$  on  $K_n F$  for all  $n \in \mathbb{N}$ ,  $1 \leq i \leq M$ , and  $f \in J$ . Since  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$  is a compact metrizable space, passing to a subsequence of  $\{K_n\}_{n \in \mathbb{N}}$  if necessary, we may assume that, for each  $1 \leq i \leq M$ , the sequence  $x_{n,i}$  converges to some  $x_i$  in  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$  as  $n \rightarrow \infty$ . Since  $\vartheta_{F,\infty}$  is a continuous pseudometric on  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , we have

$$\vartheta_{F,\infty}(x_i, x_j) = \lim_{n \rightarrow \infty} \vartheta_{F,\infty}(x_{n,i}, x_{n,j}) \geq 2\varepsilon$$

for all  $1 \leq i < j \leq M$ . Note that the map  $((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma \rightarrow (\mathbb{R}/\mathbb{Z})^\Gamma$  sending  $x$  to  $fx$  is continuous for every  $f \in (\mathbb{Z}\Gamma)^{1 \times d}$ . Thus for each  $1 \leq i \leq M$  one has

$$fx_i = \lim_{n \rightarrow \infty} fx_{n,i} = 0$$

for all  $f \in J$ , and hence  $x_i \in X_J$ . Therefore

$$N_\varepsilon(X_J, \vartheta_{F,\infty}) \geq M \geq \exp((C - 2\eta)|F|),$$

establishing (4.10).  $\square$

**4.2. The positive case.** In this subsection we prove Theorem 1.2 in the case  $f \in M_d(\mathbb{Z}\Gamma)$  is positive in  $M_d(\mathbb{N}\Gamma)$  with  $\ker f = \{0\}$ .

**Lemma 4.5.** *Let  $f \in M_d(\mathbb{Z}\Gamma)$  be positive in  $M_d(\mathbb{N}\Gamma)$  with  $\ker f = \{0\}$ . Then*

$$h(X_f) = \log \det_{\mathbb{N}\Gamma} f.$$

To show  $h(X_f) \leq \log \det_{\mathbb{N}\Gamma} f$ , we apply the method used first in [6] for the case  $\Gamma$  is a finitely generated residually finite group and  $f$  is a generalized Laplace operator. For any set  $\mathcal{X}$ , we denote by  $\ell_{\mathbb{R}}^2(\mathcal{X})$  the Hilbert space of all real-valued square-summable functions on  $\mathcal{X}$ .

**Lemma 4.6.** *Let  $\mathcal{X}$  be a nonempty finite set, and  $T \in B(\ell_{\mathbb{R}}^2(\mathcal{X}))$  be injective and positive. For each  $\eta > 0$  denote by  $B_\eta$  the closed unit ball in  $\ell_{\mathbb{R}}^2(\mathcal{X})$  with center 0 and radius  $\eta|\mathcal{X}|^{1/2}$ . Let  $0 < \kappa \leq 1/2$ . Denote by  $\mathcal{D}_\kappa$  the product of the eigenvalues of  $T$  in  $(0, \kappa]$  counted with multiplicity. If  $T$  has no eigenvalue in  $(0, \kappa]$ , we set  $\mathcal{D}_\kappa = 1$ . Then  $N_1(T^{-1}(B_{\kappa/4}), \|\cdot\|_2/|\mathcal{X}|^{1/2}) \leq 1/\mathcal{D}_\kappa$ .*

*Proof.* Denote by  $V$  the linear span of the eigenvectors of  $T$  in  $\ell_{\mathbb{R}}^2(\mathcal{X})$  with eigenvalue no bigger than  $\kappa$ , and by  $P$  the orthogonal projection of  $\ell_{\mathbb{R}}^2(\mathcal{X})$  onto  $V$ .

Note that for each  $x \in \ell_{\mathbb{R}}^2(\mathcal{X})$  one has

$$\|Tx\|_2^2 = \|T(Px)\|_2^2 + \|T(x - Px)\|_2^2 \geq \|T(x - Px)\|_2^2 \geq \kappa^2 \|x - Px\|_2^2.$$

Thus  $\|x - Px\|_2/|\mathcal{X}|^{1/2} \leq 1/4$  for every  $x \in T^{-1}(B_{\kappa/4})$ .

Let  $\mathcal{W}$  be a 1-separated subset of  $T^{-1}(B_{\kappa/4})$  under  $\|\cdot\|_2/|\mathcal{X}|^{1/2}$  with

$$N_1(T^{-1}(B_{\kappa/4}), \|\cdot\|_2/|\mathcal{X}|^{1/2}) = |\mathcal{W}|.$$

For any distinct  $x, y$  in  $\mathcal{W}$ , one has  $\|(x - y) - P(x - y)\|_2/|\mathcal{X}|^{1/2} \leq 1/2$ , and hence  $\|Px - Py\|_2/|\mathcal{X}|^{1/2} > 1/2$ .

For each  $z \in P(\mathcal{W})$ , denote by  $B_z$  the closed ball in  $V$  centered at  $z$  with radius  $1/4$  under  $\|\cdot\|_2/|\mathcal{X}|^{1/2}$ . For each  $z \in P(\mathcal{W})$ , say  $z = Px$  for some  $x \in \mathcal{W}$ , one has

$$\|Tz\|_2/|\mathcal{X}|^{1/2} = \|TPx\|_2/|\mathcal{X}|^{1/2} = \|PTx\|_2/|\mathcal{X}|^{1/2} \leq \|Tx\|_2/|\mathcal{X}|^{1/2} \leq \kappa/4.$$

Note that  $\|Tx\|_2 \leq \kappa\|x\|_2$  for all  $x \in V$ . Thus every element in  $T(\bigcup_{z \in P(\mathcal{W})} B_z)$  has  $\|\cdot\|_2/|\mathcal{X}|^{1/2}$ -norm at most  $\kappa/2$ .

Denote by  $E$  the multiset of all eigenvalues of  $T$  in  $(0, \kappa]$  listed with multiplicity. Then we can find a basis of  $V$  under which the matrix of  $T|_V$  is diagonal with the diagonal entries being exactly the elements of  $E$ . Thus the volume of  $T(\bigcup_{z \in P(\mathcal{W})} B_z)$  is  $\det(T|_V) = \prod_{t \in E} t$  times the volume of  $\bigcup_{z \in P(\mathcal{W})} B_z$ . Since the balls  $B_z$  for  $z \in P(\mathcal{W})$  are pairwise disjoint, we have

$$|\mathcal{W}| \prod_{t \in E} t \leq \left(\frac{\kappa/2}{1/4}\right)^{\dim_{\mathbb{R}}(V)} = (2\kappa)^{\dim_{\mathbb{R}}(V)} \leq 1.$$

Therefore

$$N_1(T^{-1}(B_{\kappa/4}), \|\cdot\|_2/|\mathcal{X}|^{1/2}) = |\mathcal{W}| \leq \prod_{t \in E} t^{-1} = 1/\mathcal{D}_{\kappa}.$$

□

We need the following well-known fact (see [63, Lemma 4] or [39, Lemma 3.1]).

**Lemma 4.7.** *Let  $n \in \mathbb{N}$  and let  $T : \mathbb{C}^n \rightarrow \mathbb{C}^n$  be an invertible linear map, preserving  $\mathbb{Z}^n$ . Then  $|\det T| = |\mathbb{Z}^n/T\mathbb{Z}^n|$ .*

For  $f = (f_{s,j,k})_{s \in \Gamma, 1 \leq j \leq d', 1 \leq k \leq d} \in M_{d' \times d}(\mathbb{Z}\Gamma)$ , we set

$$\|f\|_1 = \sum_{s \in \Gamma} \sum_{1 \leq j \leq d'} \sum_{1 \leq k \leq d} |f_{s,j,k}|.$$

For  $g = (g_{s,j})_{s \in \Gamma, 1 \leq j \leq d} \in (\mathbb{R}^{d \times 1})^{\Gamma}$ , we set

$$\|g\|_{\infty} = \sup_{s \in \Gamma, 1 \leq j \leq d} |g_{s,j}|,$$

and

$$\|g\|_2 = \left(\sum_{s \in \Gamma} \sum_{1 \leq j \leq d} |g_{s,j}|^2\right)^{1/2}.$$

For a finite set  $\mathcal{X}$ , we denote by  $\mathbb{Z}[\mathcal{X}]$  the set of all  $\mathbb{Z}$ -valued functions on  $\mathcal{X}$ . For any subset  $K$  of  $\Gamma$ , we identify  $(\mathbb{R}^{d \times 1})^K$  with the set of elements in  $(\mathbb{R}^{d \times 1})^{\Gamma}$  with support contained in  $K$ .

For any  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$ , let  $J$  be the set of rows of  $f$ , then from (4.6) we have

$$(4.14) \quad X_f = X_J = \{x \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^{\Gamma} : fx = 0\}.$$

**Lemma 4.8.** *Let  $f \in M_d(\mathbb{Z}\Gamma)$  be positive in  $M_d(\mathcal{N}\Gamma)$  with  $\ker f = \{0\}$ . Then*

$$h(X_f) \leq \log \det_{\mathcal{N}\Gamma} f.$$

The idea of the proof is to lift elements of some  $\varepsilon$ -separated subset of  $X_f$  to elements in  $(\mathbb{R}^{d \times 1})^\Gamma$  and restrict them to elements in  $(\ell^2(F))^{d \times 1}$ . These are mapped by  $f_F$  to  $(\mathbb{Z}[F])^{d \times 1}/f_F(\mathbb{Z}[F])^{d \times 1}$ . We use Lemma 4.6 to control the cardinality of the fiber of this map by spectral information about  $f_F$ . Finally, this implies the inequality using Lemma 3.8.

*Proof.* Denote by  $K$  the support of  $f$  as a  $M_d(\mathbb{Z})$ -valued function on  $\Gamma$ . For each  $x \in ((\mathbb{R}/\mathbb{Z})^{d \times 1})^\Gamma$ , take  $\tilde{x} \in ([-1/2, 1/2]^{d \times 1})^\Gamma$  such that  $x_s = \tilde{x}_s + \mathbb{Z}^{d \times 1}$  for all  $s \in \Gamma$ . Then for each  $x \in X_f$  one has  $f\tilde{x} \in (\mathbb{Z}^{d \times 1})^\Gamma$ .

Let  $0 < \varepsilon < 1$ , and  $0 < \kappa \leq 1/2$ . Set  $\eta = \varepsilon\kappa/4$ . Let  $F \in \mathcal{F}(\Gamma)$  such that  $\|f\| \cdot |K^{-1}F \setminus F|^{1/2}/2 \leq \eta|F|^{1/2}$ . Recall the linear map  $f_F : (\ell^2(F))^{d \times 1} \rightarrow (\ell^2(F))^{d \times 1}$  defined by (2.5). Define a map  $\Phi_F : X_f \rightarrow (\mathbb{Z}[F])^{d \times 1}/f_F(\mathbb{Z}[F])^{d \times 1}$  sending  $x$  to  $(f\tilde{x})|_F + f_F(\mathbb{Z}[F])^{d \times 1}$ . By Lemma 3.1 we know that  $f_F$  is invertible. From Lemma 4.7 we get

$$(4.15) \quad |(\mathbb{Z}[F])^{d \times 1}/f_F(\mathbb{Z}[F])^{d \times 1}| = \det(f_F).$$

Let  $\mathcal{W}$  be a  $(\vartheta_{F,2}, \varepsilon)$ -separated subset of  $X_f$  with  $|\mathcal{W}| = N_\varepsilon(X_f, \vartheta_{F,2})$ . Then we can find a subset  $\mathcal{W}_1$  of  $\mathcal{W}$  such that

$$|\mathcal{W}| \leq |\mathcal{W}_1| \cdot |(\mathbb{Z}[F])^{d \times 1}/f_F(\mathbb{Z}[F])^{d \times 1}|$$

and  $\Phi_F$  takes the same value on  $\mathcal{W}_1$ .

Let  $x \in \mathcal{W}_1$ . Then  $f\tilde{x} \in (\mathbb{Z}^{d \times 1})^\Gamma$  and  $\|f\tilde{x}\|_\infty \leq \|f\|_1/2$ . Since  $f_F$  is bijective and has real coefficients under the natural basis of  $(\ell^2(F))^{d \times 1}$ , it restricts to an invertible linear map  $T : (\ell_{\mathbb{R}}^2(F))^{d \times 1} \rightarrow (\ell_{\mathbb{R}}^2(F))^{d \times 1}$ . Thus we can find a unique  $x' \in (\ell_{\mathbb{R}}^2(F))^{d \times 1}$  such that  $fx' = f(\tilde{x}|_{\Gamma \setminus F})$  on  $F$ . Then  $fx' + f(\tilde{x}|_F) = f\tilde{x}$  on  $F$ . Note that  $f(\tilde{x}|_{\Gamma \setminus F}) = f(\tilde{x}|_{K^{-1}F \setminus F})$  on  $F$ . Thus

$$\begin{aligned} \|f_F x'\|_2 &= \|(fx')|_F\|_2 \\ &= \|(f(\tilde{x}|_{\Gamma \setminus F}))|_F\|_2 \\ &= \|(f(\tilde{x}|_{K^{-1}F \setminus F}))|_F\|_2 \\ &\leq \|f(\tilde{x}|_{K^{-1}F \setminus F})\|_2 \\ &\leq \|f\| \cdot \|\tilde{x}|_{K^{-1}F \setminus F}\|_2 \\ &\leq \|f\| \cdot (d|K^{-1}F \setminus F|)^{1/2}/2 \leq \eta(d|F|)^{1/2}. \end{aligned}$$

For  $r > 0$  denote by  $B_{F,r}$  the closed ball in  $(\ell_{\mathbb{R}}^2(F))^{d \times 1}$  with center 0 and radius  $r(d|F|)^{1/2}$ . Then  $x' \in T^{-1}(B_{F,\eta})$ .

Recall  $\mathcal{D}_{f,F,\kappa}$  in Notation 3.6. Taking  $\mathcal{X} = F \times \{1, \dots, d\}$  in Lemma 4.6 we have

$$\begin{aligned} N_\varepsilon(T^{-1}(B_{F,\eta}), \|\cdot\|_2/(d|F|)^{1/2}) &= N_1(T^{-1}(B_{F,\kappa/4}), \|\cdot\|_2/(d|F|)^{1/2}) \\ &\leq 1/\mathcal{D}_{f,F,\kappa}. \end{aligned}$$

Thus we can find some  $\mathcal{W}_2 \subseteq \mathcal{W}_1$  and  $y \in \mathcal{W}_2$  such that

$$|\mathcal{W}_1| \leq |\mathcal{W}_2|/\mathcal{D}_{f,F,\kappa}$$

and for every  $x \in \mathcal{W}_2$  one has  $\|x' - y'\|_2 \leq \varepsilon(d|F|)^{1/2}$ . We fix such an element  $y$  of  $\mathcal{W}_2$ .

Let  $x \in \mathcal{W}_2$ . Then  $\Phi_F(x) = \Phi_F(y)$ . Thus there exists  $w_x \in (\mathbb{Z}[F])^{d \times 1}$  such that  $f w_x = f \tilde{x} - f \tilde{y}$  on  $F$ . Then  $f w_x = f(x' - y') + f(\tilde{x}|_F - \tilde{y}|_F)$  on  $F$ . Since  $f_F$  is injective, we get  $w_x = x' - y' + \tilde{x}|_F - \tilde{y}|_F$ . From (4.3) we get

$$\vartheta_{F,2}(x, y) \leq \|\tilde{x}|_F - \tilde{y}|_F - w_x\|_2 / (d|F|)^{1/2} = \|x' - y'\|_2 / (d|F|)^{1/2} \leq \varepsilon.$$

As  $\mathcal{W}$  is  $(\vartheta_{F,2}, \varepsilon)$ -separated, we get  $x = y$ . Thus  $|\mathcal{W}_2| = 1$ , and hence

$$\begin{aligned} (4.16) \quad N_\varepsilon(X_f, \vartheta_{F,2}) &= |\mathcal{W}| \\ &\leq |\mathcal{W}_1| \cdot |(\mathbb{Z}[F])^{d \times 1} / f_F(\mathbb{Z}[F])^{d \times 1}| \\ &\leq |\mathcal{W}_2| \cdot |(\mathbb{Z}[F])^{d \times 1} / f_F(\mathbb{Z}[F])^{d \times 1}| / \mathcal{D}_{f,F,\kappa} \\ &= |(\mathbb{Z}[F])^{d \times 1} / f_F(\mathbb{Z}[F])^{d \times 1}| / \mathcal{D}_{f,F,\kappa} \\ &\stackrel{(4.15)}{=} \det(f_F) / \mathcal{D}_{f,F,\kappa} \\ &\stackrel{(3.8)}{=} \exp \left( |F| \int_{\kappa+}^{\|f\|} \log t \, d\mu_{f,F}(t) \right). \end{aligned}$$

Taking  $g = f$  in Lemma 3.8 we get

$$\limsup_F \frac{\log N_\varepsilon(X_f, \vartheta_{F,2})}{|F|} \stackrel{(4.16)}{\leq} \limsup_F \int_{\kappa+}^{\|f\|} \log t \, d\mu_{f,F}(t) \leq \int_{\kappa+}^{\|f\|} \log t \, d\mu_f(t).$$

Letting  $\kappa \rightarrow 0+$ , we obtain

$$\limsup_F \frac{\log N_\varepsilon(X_f, \vartheta_{F,2})}{|F|} \leq \int_{0+}^{\|f\|} \log t \, d\mu_f(t).$$

Since  $\ker f = \{0\}$ , from Section 2.2 we have  $\mu_f(\{0\}) = 0$ . Thus

$$\limsup_F \frac{\log N_\varepsilon(X_f, \vartheta_{F,2})}{|F|} \leq \int_{0+}^{\|f\|} \log t \, d\mu_f(t) = \int_0^{\|f\|} \log t \, d\mu_f(t) \stackrel{(2.2)}{=} \log \det_{\mathcal{N}\Gamma} f.$$

From (4.5) we get

$$h(X_f) = \sup_{\varepsilon > 0} \limsup_F \frac{\log N_\varepsilon(X_f, \vartheta_{F,2})}{|F|} \leq \log \det_{\mathcal{N}\Gamma} f.$$

□

To show  $h(X_f) \geq \log \det_{\mathcal{N}\Gamma} f$ , we use Theorem 4.2 to prove the following lemma and then apply Theorem 1.4.

**Lemma 4.9.** *Let  $f \in M_d(\mathbb{Z}\Gamma)$  be positive in  $M_d(\mathcal{N}\Gamma)$  with  $\ker f = \{0\}$ . Then*

$$h(X_f) \geq \limsup_F \frac{\log \det(f_F)}{|F|}.$$

*Proof.* Set  $\varepsilon = 1/(2\|f\|_1)$ . Denote by  $P$  the natural quotient map  $(\ell_{\mathbb{R}}^{\infty}(\Gamma))^{d \times 1} \rightarrow ((\mathbb{R}/\mathbb{Z})^{\Gamma})^{d \times 1} = ((\mathbb{R}/\mathbb{Z})^{d \times 1})^{\Gamma}$ . Denote by  $J$  the set of rows of  $f$ . Then  $J \subseteq (\mathbb{Z}\Gamma)^{d \times 1}$  and  $X_f = X_J$ .

Let  $F \in \mathcal{F}(\Gamma)$ . Denote by  $Y_F$  the set of  $x \in ([0, 1)^F)^{d \times 1}$  satisfying  $f_F x \in (\mathbb{Z}[F])^{d \times 1}$ . Then  $P(Y_F) \subseteq X_{J,F}$ . Let  $x, y \in Y_F$ . Suppose that  $\vartheta_{F,\infty}(P(x), P(y)) \leq \varepsilon$ . From (4.4) we can find  $z \in (\mathbb{Z}[F])^{d \times 1}$  such that  $\|x - y - z\|_{\infty} \leq \varepsilon$ . Note that  $f(x - y - z) = fx - fy - fz$  takes values in  $\mathbb{Z}^{d \times 1}$  on  $F$ , and  $\|f(x - y - z)\|_{\infty} \leq \|f\|_1 \|x - y - z\|_{\infty} \leq 1/2$ . Thus  $f(x - y - z) = 0$  on  $F$ , i.e.,  $f_F(x - y - z) = 0$ . Since  $f_F$  is injective by Lemma 3.1, we get  $x - y - z = 0$ . Because  $x - y$  takes values in  $(-1, 1)^{d \times 1}$  on  $F$  and  $z$  takes values in  $\mathbb{Z}^{d \times 1}$  on  $F$ , we get  $x = y$ . Therefore

$$N_{\varepsilon}(X_{J,F}, \vartheta_{F,\infty}) \geq |Y_F|.$$

From Lemma 4.7 one has

$$|Y_F| = \det(f_F).$$

By Theorem 4.2 we get

$$h(X_f) = h(X_J) \geq \limsup_F \frac{\log N_{\varepsilon}(X_{J,F}, \vartheta_{F,\infty})}{|F|} \geq \limsup_F \frac{\log \det(f_F)}{|F|}.$$

□

Now Lemma 4.5 follows from Lemmas 4.8 and 4.9, and Theorem 1.4.

**4.3. Proof of Theorem 1.2.** In this subsection we prove Theorem 1.2. Let  $\Gamma$  act on a compact metrizable abelian group  $X$  by automorphisms. For any nonempty finite subset  $\mathcal{E}$  of the Pontryagin dual  $\widehat{X}$  of  $X$ , the function  $F \mapsto \log |\sum_{s \in F} s^{-1} \mathcal{E}|$  defined on  $\mathcal{F}(\Gamma)$  satisfies the conditions of the Ornstein-Weiss lemma [43, Theorem 6.1], thus the limit

$$\lim_F \frac{\log |\sum_{s \in F} s^{-1} \mathcal{E}|}{|F|}$$

exists and is a nonnegative real number. We need the following result of Peters [57, Theorem 6]:

**Theorem 4.10.** *Let  $\Gamma$  act on a compact metrizable abelian group  $X$  by automorphisms. Then*

$$h(X) = \sup_{\mathcal{E}} \lim_F \frac{\log |\sum_{s \in F} s^{-1} \mathcal{E}|}{|F|},$$

where  $\mathcal{E}$  ranges over all nonempty finite subsets of  $\widehat{X}$ .

In [57], Theorem 4.10 was stated and proved only for the case  $\Gamma = \mathbb{Z}$ , but the proof there works for general countable discrete amenable groups. It was used in [13] first to study entropy properties of algebraic actions.

We specialize Theorem 4.10 to the case of finitely presented algebraic actions. The following lemma shows that we may restrict attention to a specific family of finite



subsets of the Pontrjagin dual if  $X = X_g$ . We need to introduce some notations. For  $n \in \mathbb{N}$  and  $F \in \mathcal{F}(\Gamma)$ , we denote by  $\mathbb{Z}[F, n]$  the set of  $x \in \mathbb{Z}[F]$  satisfying  $\|x\|_\infty \leq n$ . For  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$  and  $W \subseteq (\mathbb{Z}\Gamma)^{d \times 1}$  (resp.  $W \subseteq (\mathbb{Z}\Gamma)^{1 \times d}$ ), we denote by  $W + f^*(\mathbb{Z}\Gamma)^{d' \times 1}$  (resp.  $W + (\mathbb{Z}\Gamma)^{1 \times d'} f$ ) the subset of  $(\mathbb{Z}\Gamma)^{d \times 1} / f^*(\mathbb{Z}\Gamma)^{d' \times 1}$  (resp.  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} f$ ) consisting of elements of the form  $x + f^*(\mathbb{Z}\Gamma)^{d' \times 1}$  (resp.  $x + (\mathbb{Z}\Gamma)^{1 \times d'} f$ ) with  $x \in W$ .

**Lemma 4.11.** *Let  $g \in M_{d' \times d}(\mathbb{Z}\Gamma)$ . For each  $n \in \mathbb{N}$ , the limit*

$$\lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|}$$

*exists, and is a nonnegative real number. Furthermore,*

$$h(X_g) = \sup_{n \in \mathbb{N}} \lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|}.$$

*Proof.* The adjoint map  $(\mathbb{Z}\Gamma)^{1 \times d} \rightarrow (\mathbb{Z}\Gamma)^{d \times 1}$  sending  $h$  to  $h^*$  induces an abelian group isomorphism  $\Phi$  from  $\widehat{X_g} = (\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} g$  onto  $(\mathbb{Z}\Gamma)^{d \times 1} / g^*(\mathbb{Z}\Gamma)^{d' \times 1}$ .

Let  $n \in \mathbb{N}$ . Denote by  $\mathcal{E}$  the finite subset  $\{k + (\mathbb{Z}\Gamma)^{1 \times d'} g : k \in \mathbb{Z}^{1 \times d}, \|k\|_\infty \leq n\}$  of  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} g$ . For each  $F \in \mathcal{F}(\Gamma)$ , we have  $\sum_{s \in F} s^{-1} \mathcal{E} = (\mathbb{Z}[F^{-1}, n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g$ , and hence  $\Phi(\sum_{s \in F} s^{-1} \mathcal{E}) = (\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}$ . Thus

$$\lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|} = \lim_F \frac{\log |\sum_{s \in F} s^{-1} \mathcal{E}|}{|F|} \in [0, +\infty).$$

From Theorem 4.10 we get

$$h(X_g) \geq \sup_{n \in \mathbb{N}} \lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|}.$$

Let  $\mathcal{E}$  be a nonempty finite subset of  $\widehat{X_g} = (\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} g$ . Then there exist  $n \in \mathbb{N}$  and  $K \in \mathcal{F}(\Gamma)$  such that  $\mathcal{E} \subseteq (\mathbb{Z}[K, n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g$ . Let  $F \in \mathcal{F}(\Gamma)$ . Note that each element of  $\Gamma$  can be written as  $s^{-1}t$  with  $s \in F$  and  $t \in K$  for at most  $|K|$  ways. Thus

$$\sum_{s \in F} s^{-1} \mathcal{E} \subseteq \left( \sum_{s \in F} s^{-1} \mathbb{Z}[K, n] \right)^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g \subseteq (\mathbb{Z}[F^{-1}K, |K|n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g.$$

When  $F$  becomes more and more left invariant,  $K^{-1}F$  also becomes more and more left invariant, and  $|K^{-1}F|/|F| \rightarrow 1$ . Therefore

$$\begin{aligned} \lim_F \frac{\log |\sum_{s \in F} s^{-1} \mathcal{E}|}{|F|} &\leq \limsup_F \frac{\log |(\mathbb{Z}[F^{-1}K, |K|n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g|}{|F|} \\ &= \limsup_F \frac{\log |(\mathbb{Z}[F^{-1}K, |K|n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g|}{|K^{-1}F|} \end{aligned}$$

$$\begin{aligned}
&= \lim_F \frac{\log |(\mathbb{Z}[F^{-1}, |K|n])^{1 \times d} + (\mathbb{Z}\Gamma)^{1 \times d'} g|}{|F|} \\
&= \lim_F \frac{\log |(\mathbb{Z}[F, |K|n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|}.
\end{aligned}$$

Since  $\mathcal{E}$  is an arbitrary nonempty finite subset of  $\widehat{X_g}$ , from Theorem 4.10 we get

$$h(X_g) \leq \sup_{n \in \mathbb{N}} \lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + g^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|}.$$

□

We need the following result:

**Lemma 4.12** (Lemma 5.1 in [39]). *There exists some universal constant  $C > 0$  such that for any  $\lambda > 1$ , there is some  $0 < \delta < 1$  so that for any nonempty finite set  $\mathcal{X}$ , any positive integer  $m$  with  $|\mathcal{X}| \leq \delta m$ , and any  $M \geq 1$  one has*

$$|\{x \in \mathbb{Z}[\mathcal{X}] : \|x\|_2 \leq M \cdot m^{1/2}\}| \leq C \lambda^m M^{|\mathcal{X}|}.$$

Now, we establish a relationship between the cardinality of  $(\mathbb{Z}[F, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}$  and  $N_\varepsilon(X_{J,F}, \vartheta_{F,\infty})$ . This will be the key to prove Lemma 4.14. Once we have established Lemma 4.14, Theorem 1.2 will basically follow from an application of Yuzvinskii's addition formula.

**Lemma 4.13.** *Let  $\lambda > 1$ ,  $0 < \kappa \leq 1/2$ , and  $n \in \mathbb{N}$ . Let  $C$  and  $\delta$  be as in Lemma 4.12. Let  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$  such that  $\ker f = \{0\}$ . Denote by  $J$  the subset of  $(\mathbb{Z}\Gamma)^{1 \times d'}$  consisting of the rows of  $f^*$  and  $g \in (\mathbb{Z}\Gamma)^{1 \times d'}$  satisfying  $gf = 0$ . Set  $M = (8n\|f\|^2/\kappa) + 2\|f\|(d'/d)^{1/2}$ . Denote by  $K$  the support of  $f$  as a  $M_{d' \times d}(\mathbb{Z})$ -valued function on  $\Gamma$  union with  $\{e\}$ . Then for any  $F \in \mathcal{F}(\Gamma)$  satisfying  $|K^{-1}KF \setminus F| \leq \delta|F|$ , one has*

$$\begin{aligned}
(4.17) \quad |(\mathbb{Z}[F, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}| &\leq C \lambda^{d|F|} M^{d|K^{-1}KF \setminus F|} (1 + 4\|f\|_1)^{d'|KF \setminus F|} \\
&\quad N_{1/(4\|f\|_1)}(X_{J,F}, \vartheta_{F,\infty}) (\mathcal{D}_{f^*f, F, \kappa})^{-1},
\end{aligned}$$

where  $X_{J,F}$  and  $\mathcal{D}_{f^*f, F, \kappa}$  are defined by (4.7) and Notation 3.6 respectively. If furthermore  $\Gamma$  is finite, then

$$(4.18) \quad |(\mathbb{Z}[\Gamma, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}| \leq N_{1/(2\|f\|_1)}(X_{J,\Gamma}, \vartheta_{\Gamma,\infty}) (\mathcal{D}_{f^*f, \Gamma, \kappa})^{-1}.$$

*Proof.* Take  $\mathcal{W} \subseteq (\mathbb{Z}[F, n])^{d \times 1}$  such that

$$(4.19) \quad |\mathcal{W}| = |(\mathbb{Z}[F, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}|$$

and  $x - y \notin f^*(\mathbb{Z}\Gamma)^{d' \times 1}$  for all distinct  $x, y \in \mathcal{W}$ .

Note that  $\ker(f^*f) = \ker f = \{0\}$ . Thus by Lemma 3.1 the linear map  $(f^*f)_F$  defined by (2.5) is invertible. Since  $(f^*f)_F$  is bijective and has real coefficients

under the natural basis of  $(\ell^2(F))^{d \times 1}$ , it restricts to an invertible linear map  $T : (\ell_{\mathbb{R}}^2(F))^{d \times 1} \rightarrow (\ell_{\mathbb{R}}^2(F))^{d \times 1}$ .

For each  $x \in \mathcal{W}$ , take  $x' \in (\ell_{\mathbb{R}}^2(F))^{d \times 1}$  such that  $f^* f x' = x$  on  $F$ . Set  $\mathcal{W}' = \{x' : x \in \mathcal{W}\}$ . For  $r > 0$  denote by  $B_{F,r}$  the closed ball in  $(\ell_{\mathbb{R}}^2(F))^{d \times 1}$  with center 0 and radius  $r(d|F|)^{1/2}$ . For each  $x \in \mathcal{W}$ , one has

$$\|(f^* f x')|_F\|_2 / (d|F|)^{1/2} = \|x\|_2 / (d|F|)^{1/2} \leq \|x\|_{\infty} \leq n.$$

Thus  $\mathcal{W}' \subseteq T^{-1}(B_{F,n})$ . Taking  $\mathcal{X} = F \times \{1, \dots, d\}$  in Lemma 4.6 we get

$$\begin{aligned} N_{4n/\kappa}(\mathcal{W}', \|\cdot\|_2 / (d|F|)^{1/2}) &\leq N_{4n/\kappa}(T^{-1}(B_{F,n}), \|\cdot\|_2 / (d|F|)^{1/2}) \\ &= N_1(T^{-1}(B_{F,\kappa/4}), \|\cdot\|_2 / (d|F|)^{1/2}) \\ &\leq 1/\mathcal{D}_{f^* f, F, \kappa}. \end{aligned}$$

Thus we can find some  $\mathcal{W}_1 \subseteq \mathcal{W}$  and  $y \in \mathcal{W}_1$  such that

$$(4.20) \quad |\mathcal{W}| \leq |\mathcal{W}_1| / \mathcal{D}_{f^* f, F, \kappa}$$

and for every  $x \in \mathcal{W}_1$  one has

$$(4.21) \quad \|x' - y'\|_2 \leq (4n/\kappa)(d|F|)^{1/2}.$$

We fix such an element  $y$  of  $\mathcal{W}_1$ .

Denote by  $P$  the natural quotient map  $(\ell_{\mathbb{R}}^{\infty}(\Gamma))^{d' \times 1} \rightarrow ((\mathbb{R}/\mathbb{Z})^{\Gamma})^{d' \times 1} = ((\mathbb{R}/\mathbb{Z})^{d' \times 1})^{\Gamma}$ . For each  $x \in \mathcal{W}_1$ , the function  $f^* f(x' - y') = x - y$  takes values in  $\mathbb{Z}^{d \times 1}$  on  $F$ , and it follows that  $P(f(x' - y')) \in X_{J,F}$ . Then we can find some  $\mathcal{W}_2 \subseteq \mathcal{W}_1$  and  $z \in \mathcal{W}_2$  such that

$$(4.22) \quad |\mathcal{W}_1| \leq |\mathcal{W}_2| N_{1/(2\|f\|_1)}(X_{J,F}, \vartheta_{KF,\infty})$$

and for every  $x \in \mathcal{W}_2$  one has

$$(4.23) \quad \vartheta_{KF,\infty}(P(f(x' - z')), 0) = \vartheta_{KF,\infty}(P(f(x' - y')), P(f(z' - y'))) \leq 1/(2\|f\|_1).$$

We fix such an element  $z$  of  $\mathcal{W}_2$ .

Note that

$$\vartheta_{KF,\infty}(u, v) = \max(\vartheta_{F,\infty}(u, v), \vartheta_{KF \setminus F}(u, v))$$

for all  $u, v \in ((\mathbb{R}/\mathbb{Z})^{d' \times 1})^{\Gamma}$ . Thus

$$\begin{aligned} (4.24) \quad N_{1/(2\|f\|_1)}(X_{J,F}, \vartheta_{KF,\infty}) &\leq N_{1/(4\|f\|_1)}(X_{J,F}, \vartheta_{F,\infty}) \cdot N_{1/(4\|f\|_1)}(X_{J,F}, \vartheta_{KF \setminus F,\infty}) \\ &\leq N_{1/(4\|f\|_1)}(X_{J,F}, \vartheta_{F,\infty}) \cdot N_{1/(4\|f\|_1)}(((\mathbb{R}/\mathbb{Z})^{d' \times 1})^{\Gamma}, \vartheta_{KF \setminus F,\infty}) \\ &\stackrel{(4.9)}{\leq} N_{1/(4\|f\|_1)}(X_{J,F}, \vartheta_{F,\infty}) \cdot (1 + 4\|f\|_1)^{d'|KF \setminus F|}. \end{aligned}$$

Let  $x \in \mathcal{W}_2$ . Note that the support of  $f(x' - z')$  as a  $\mathbb{R}^{d' \times 1}$ -valued function on  $\Gamma$  is contained in  $KF$ . Take  $\tilde{x} \in ([-1/2, 1/2]^{d' \times 1})^{KF}$  such that  $f(x' - z') - \tilde{x} \in (\mathbb{Z}[KF])^{d' \times 1}$ . From (4.4) we have

$$\|\tilde{x}\|_\infty = \vartheta_{KF, \infty}(P(f(x' - z')), 0) \stackrel{(4.23)}{\leq} 1/(2\|f\|_1).$$

Set  $x^\dagger = f(x' - z') - \tilde{x} \in (\mathbb{Z}[KF])^{d' \times 1}$ . Note that both  $x - z$  and  $f^*x^\dagger$  are in  $(\mathbb{Z}\Gamma)^{d \times 1}$ , and  $\|f^*\tilde{x}\|_\infty \leq \|f\|_1\|\tilde{x}\|_\infty \leq 1/2$ . Since

$$x - z = f^*f(x' - z') = f^*\tilde{x} + f^*x^\dagger$$

on  $F$ , we get  $f^*\tilde{x} = 0$  on  $F$  and  $x - z = f^*x^\dagger$  on  $F$ . Also note that

$$\begin{aligned} (4.25) \quad \|f^*x^\dagger\|_2 &\leq \|f^*\| \cdot \|x^\dagger\|_2 \\ &\leq \|f\| \cdot \|f(x' - z')\|_2 + \|f\| \cdot \|\tilde{x}\|_2 \\ &\leq \|f\|^2\|x' - z'\|_2 + \|f\| \cdot \|\tilde{x}\|_\infty(d'|KF|)^{1/2} \\ &\leq \|f\|^2(\|x' - y'\|_2 + \|y' - z'\|_2) + \|f\|(d'|K^{-1}KF|)^{1/2} \\ &\stackrel{(4.21)}{\leq} (8n\|f\|^2/\kappa)(d|F|)^{1/2} + 2\|f\|(d'|F|)^{1/2} \\ &= M(d|F|)^{1/2}. \end{aligned}$$

From (4.25) and Lemma 4.12 we get that the cardinality of the set  $\{(f^*x^\dagger)|_{K^{-1}KF \setminus F} : x \in \mathcal{W}_2\}$  is at most  $C\lambda^{d|F|}M^{d|K^{-1}KF \setminus F|}$ . Thus we can find some  $\mathcal{W}_3 \subseteq \mathcal{W}_2$  such that

$$(4.26) \quad |\mathcal{W}_2| \leq |\mathcal{W}_3|C\lambda^{d|F|}M^{d|K^{-1}KF \setminus F|}$$

and  $(f^*x^\dagger)|_{K^{-1}KF \setminus F}$  is the same for all  $x \in \mathcal{W}_3$ .

Let  $x, w \in \mathcal{W}_3$ . Then

$$x - w = (x - z) - (w - z) = f^*(x^\dagger - w^\dagger)$$

on  $F$ . Also  $f^*(x^\dagger - w^\dagger) = 0$  on  $K^{-1}KF \setminus F$ . Since the supports of  $f^*(x^\dagger - w^\dagger)$  and  $x - w$  as  $\mathbb{Z}^{d \times 1}$ -valued functions on  $\Gamma$  are contained in  $K^{-1}KF$  and  $F$  respectively, we conclude that

$$x - w = f^*(x^\dagger - w^\dagger) \in f^*(\mathbb{Z}\Gamma)^{d' \times 1}.$$

By the choice of  $\mathcal{W}$  we have  $x = w$ . Therefore  $|\mathcal{W}_3| = 1$ .

Now (4.17) follows from the inequalities (4.19), (4.20), (4.22), (4.24), and (4.26).

Suppose that  $\Gamma$  is finite and  $F = \Gamma$ . For any  $x \in \mathcal{W}_2$ , we have  $x - z = f^*x^\dagger \in f^*(\mathbb{Z}\Gamma)^{d' \times 1}$ , and hence  $x = z$  by the choice of  $\mathcal{W}$ . Thus  $|\mathcal{W}_2| = 1$ . Then (4.18) follows from the inequalities (4.19), (4.20), and (4.22).  $\square$

**Lemma 4.14.** *Let  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$  with  $\ker f = \{0\}$ . Denote by  $J$  the subset of  $(\mathbb{Z}\Gamma)^{1 \times d'}$  consisting of the rows of  $f^*$  and  $g \in (\mathbb{Z}\Gamma)^{1 \times d'}$  satisfying  $gf = 0$ . Then*

$$h(X_f) \leq h(X_J) \leq h(X_{f^*}),$$

where  $X_J$  is defined by (4.6).

*Proof.* According to (4.14), we have  $X_J \subseteq X_{f^*}$ . Thus  $h(X_J) \leq h(X_{f^*})$ .

In order to show  $h(X_f) \leq h(X_J)$ , by Lemma 4.11 applied to  $g = f$ , it suffices to show

$$\lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|} \leq h(X_J) + (d+1) \log \lambda$$

for all  $n \in \mathbb{N}$  and  $\lambda > 1$ . Let  $n \in \mathbb{N}$  and  $\lambda > 1$ .

We have  $\ker(f^*f) = \ker f = \{0\}$ . By Lemma 3.11 and Proposition 3.7 applied to  $g = f^*f$  there exists  $0 < \kappa < 1$  such that

$$\limsup_F \frac{\log(\mathcal{D}_{f^*f, F, \kappa})^{-1}}{|F|} \leq \log \lambda.$$

We may assume that  $\kappa \leq 1/2$ .

By Theorem 4.2 we have

$$\limsup_F \frac{\log N_{1/(4\|f\|_1)}(X_{J, F}, \vartheta_{F, \infty})}{|F|} \leq h(X_J).$$

From Lemma 4.13 we get

$$\begin{aligned} \lim_F \frac{\log |(\mathbb{Z}[F, n])^{d \times 1} + f^*(\mathbb{Z}\Gamma)^{d' \times 1}|}{|F|} &\leq d \log \lambda + \limsup_F \frac{\log N_{1/(4\|f\|_1)}(X_{J, F}, \vartheta_{F, \infty})}{|F|} \\ &\quad + \limsup_F \frac{\log(\mathcal{D}_{f^*f, F, \kappa})^{-1}}{|F|} \\ &\leq (d+1) \log \lambda + h(X_J) \end{aligned}$$

as desired.  $\square$

**Lemma 4.15.** *Let  $f \in M_{d' \times d}(\mathbb{Z}\Gamma)$ . Denote by  $J$  the subset of  $(\mathbb{Z}\Gamma)^{1 \times d'}$  consisting of the rows of  $f^*$  and  $g \in (\mathbb{Z}\Gamma)^{1 \times d'}$  satisfying  $gf = 0$ . Then one has a  $\Gamma$ -equivariant short exact sequence of compact metrizable groups*

$$1 \rightarrow X_f \rightarrow X_{f^*f} \rightarrow X_J \rightarrow 1,$$

where the homomorphism  $X_{f^*f} \rightarrow X_J$  is given by left multiplication by  $f$ .

*Proof.* The dual sequence of the above one is the following

$$(4.27) \quad 0 \leftarrow (\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} f \leftarrow (\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d} f^* f \leftarrow (\mathbb{Z}\Gamma)^{1 \times d'} / \mathcal{M}_J \leftarrow 0,$$

where the homomorphism  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d} f^* f \leftarrow (\mathbb{Z}\Gamma)^{1 \times d'} / \mathcal{M}_J$  is given by right multiplication by  $f$ . By Pontryagin duality it suffices to show that (4.27) is exact. Clearly it is exact at  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d'} f$  and  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d} f^* f$ . Suppose that  $x \in (\mathbb{Z}\Gamma)^{1 \times d'} / \mathcal{M}_J$  and  $xf = 0$  in  $(\mathbb{Z}\Gamma)^{1 \times d} / (\mathbb{Z}\Gamma)^{1 \times d} f^* f$ . Say,  $x$  is represented by  $\tilde{x}$  in  $(\mathbb{Z}\Gamma)^{1 \times d'}$ . Then  $\tilde{x}f = \tilde{z}f^*f$  for some  $\tilde{z} \in (\mathbb{Z}\Gamma)^{1 \times d}$ . It follows easily that  $\tilde{x}$  lies in  $\mathcal{M}_J$ . Consequently,  $x = 0$  and hence (4.27) is also exact at  $(\mathbb{Z}\Gamma)^{1 \times d'} / \mathcal{M}_J$ .  $\square$

The following result is well known. For the convenience of the reader, we give a proof.

**Proposition 4.16.** *Let  $f \in M_d(\mathbb{Z}\Gamma)$ . Then the following are equivalent:*

- (1)  $\ker f \neq \{0\}$ ;
- (2)  $\ker f^* \neq \{0\}$ ;
- (3)  $fg = 0$  for some nonzero  $g \in M_d(\mathbb{Z}\Gamma)$ .

*Proof.* (1) $\Rightarrow$ (2): for any  $T \in B(\ell^2(\Gamma)^{d \times 1})$  one has the *polar decomposition* as follows: there exist unique  $U, W \in B((\ell^2(\Gamma))^{d \times 1})$  satisfying that  $S \geq 0$ ,  $\ker U = \ker S = \ker T$ ,  $U$  is an isometry from the orthogonal complement of  $\ker T$  onto the closure of  $\text{im} T$ , and  $T = US$  [32, Theorem 6.1.2]. Take  $T = f \in M_d(\mathbb{N}\Gamma)$ . Since  $M_d(\mathbb{N}\Gamma)$  is the subalgebra of  $B((\ell^2(\Gamma))^{d \times 1})$  consisting of elements commuting with the right representation of  $\Gamma$ , from the uniqueness of the polar decomposition we have  $U, S \in M_d(\mathbb{N}\Gamma)$ . Denote by  $P$  and  $Q$  the orthogonal projections from  $(\ell^2(\Gamma))^{d \times 1}$  onto  $\ker f$  and  $\ker f^*$  respectively. Then both  $P = 1 - U^*U$  and  $Q = 1 - UU^*$  are in  $M_d(\mathbb{N}\Gamma)$ . Since  $\text{tr}_{\mathbb{N}\Gamma}$  is faithful and  $P \neq 0$ , we have  $\text{tr}_{\mathbb{N}\Gamma} P = \text{tr}_{\mathbb{N}\Gamma}(P^*P) > 0$ . Then

$$\text{tr}_{\mathbb{N}\Gamma} Q = \text{tr}_{\mathbb{N}\Gamma}(1 - UU^*) = \text{tr}_{\mathbb{N}\Gamma}(1 - U^*U) = \text{tr}_{\mathbb{N}\Gamma} P > 0.$$

Thus  $Q \neq 0$ , which means that  $\ker f^* \neq \{0\}$ .

(2) $\Rightarrow$ (1) follows from (1) $\Rightarrow$ (2) by symmetry.

(1) $\Rightarrow$ (3): by Lemma 2.3 we have  $fx = 0$  for some nonzero  $x \in (\mathbb{C}\Gamma)^{d \times 1}$ . Taking the real or imaginary part of  $x$ , we may assume that  $x \in (\mathbb{R}\Gamma)^{d \times 1}$ . By [13, Theorem 4.11] we may furthermore assume that  $x \in (\mathbb{Z}\Gamma)^{d \times 1}$ . Take  $g \in M_d(\mathbb{Z}\Gamma)$  to be the square matrix with every column being  $x$ . Then  $fg = 0$ .

(3) $\Rightarrow$ (1) is trivial. □

We need the Yuzvinskiĭ addition formula:

**Lemma 4.17** (Corollary 6.3 in [39]). *For any  $\Gamma$ -equivariant exact sequence of compact metrizable groups*

$$1 \rightarrow Y_1 \rightarrow Y_2 \rightarrow Y_3 \rightarrow 1,$$

*one has*

$$h(Y_2) = h(Y_1) + h(Y_3).$$

We are ready to prove Theorem 1.2.

*Proof of Theorem 1.2.* Note that  $\ker(f^*f) = \ker f = \{0\}$ , and  $f^*f$  is positive in  $M_d(\mathbb{N}\Gamma)$ . From Lemma 4.5 we have

$$\frac{1}{2}h(X_{f^*f}) = \frac{1}{2}\log \det_{\mathbb{N}\Gamma}(f^*f) \stackrel{(2.3)}{=} \log \det_{\mathbb{N}\Gamma} f.$$

Denote by  $J$  the subset of  $(\mathbb{Z}\Gamma)^{1 \times d'}$  consisting of the rows of  $f^*$  and  $g \in (\mathbb{Z}\Gamma)^{1 \times d'}$  satisfying  $gf = 0$ . From Lemma 4.17 and the short exact sequence in Lemma 4.15

we get

$$(4.28) \quad h(X_{f*f}) = h(X_J) + h(X_f),$$

where  $X_J$  is defined by (4.6). From Lemma 4.14 we have

$$h(X_f) \leq h(X_J).$$

Therefore

$$h(X_f) \leq \frac{1}{2}h(X_{f*f}) = \log \det_{\mathcal{N}\Gamma} f.$$

Now assume that  $d' = d$ . From Lemma 4.14 we have  $h(X_f) \leq h(X_{f*})$ . By Proposition 4.16 we have  $\ker f^* = \{0\}$ . Thus we also have  $h(X_{f*}) \leq h(X_f)$ . Therefore  $h(X_f) = h(X_{f*})$ . Note that  $X_J = X_{f*}$ . Thus from (4.28) we get

$$h(X_f) = \frac{1}{2}h(X_{f*f}) = \log \det_{\mathcal{N}\Gamma} f.$$

□

## 5. ENTROPY AND $L^2$ -TORSION

Throughout this section  $\Gamma$  will be a countable discrete amenable group.

**5.1. Proof of Theorem 1.1.** In this subsection we prove Theorem 1.1. Throughout this subsection we let  $\mathcal{M}$  be a left  $\mathbb{Z}\Gamma$ -module of type  $\text{FL}_k$  for some  $k \in \mathbb{N}$  with a partial resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules as in (2.6). We choose an ordered basis for each  $\mathcal{C}_j$ , identify  $\mathcal{C}_j$  with  $(\mathbb{Z}\Gamma)^{1 \times d_j}$ , and take  $f_j \in M_{d_j \times d_{j-1}}(\mathbb{Z}\Gamma)$  so that  $\partial_j(y) = yf_j$  for all  $y \in (\mathbb{Z}\Gamma)^{1 \times d_j}$ .

We show first that the conditions  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$  and  $\chi(\mathcal{M}) = 0$  in Theorem 1.1 are equivalent to  $\ker f_1 = \{0\}$ . Indeed the latter condition is the one we really use in the proof of Theorem 1.1. We choose to use  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M})$  and  $\chi(\mathcal{M})$  in the statement of Theorem 1.1 because they are well-known intrinsic invariants of  $\mathcal{M}$ .

**Lemma 5.1.** *The following are equivalent:*

- (1)  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$ ,
- (2)  $\ker f_1 = \{0\}$ .

*If furthermore  $\mathcal{M}$  is of type FL and  $\mathcal{C}_* \rightarrow \mathcal{M}$  is a resolution as in (2.7), then  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = \chi(\mathcal{M})$ , and in particular the conditions (1) and (2) are also equivalent to*

- (3)  $\chi(\mathcal{M}) = 0$ .

*Proof.* Let  $g \in M_{d_1 \times d_0}(\mathcal{N}\Gamma)$ . An argument similar to that in Section 2.2 shows that the orthogonal projection  $p_g$  from  $(\ell^2(\Gamma))^{d_0 \times 1}$  onto the closure of  $(\ell^2(\Gamma))^{1 \times d_1}g$  lies in  $M_{d_0}(\mathcal{N}\Gamma)$ . For each left  $\mathcal{N}\Gamma$ -module  $\tilde{\mathcal{M}}$ , denote by  $\mathbf{T}\tilde{\mathcal{M}}$  the submodule of  $\tilde{\mathcal{M}}$  consisting of elements having image 0 under every  $\mathcal{N}\Gamma$ -module homomorphism  $\tilde{\mathcal{M}} \rightarrow \mathcal{N}\Gamma$ , and



denote by  $\mathbf{P}\tilde{\mathcal{M}}$  the quotient module  $\tilde{\mathcal{M}}/\mathbf{T}\tilde{\mathcal{M}}$ . When  $\tilde{\mathcal{M}}$  is finitely generated, one has  $\dim_{\mathcal{N}\Gamma} \tilde{\mathcal{M}} = \dim_{\mathcal{N}\Gamma}(\mathbf{P}\tilde{\mathcal{M}})$  [46, Theorem 6.7]. Also, from [46, Lemma 6.52] one has

$$\mathbf{P}((\mathcal{N}\Gamma)^{1 \times d_0}/(\mathcal{N}\Gamma)^{1 \times d_1}g) = (\mathcal{N}\Gamma)^{1 \times d_0}(I_{d_0} - p_g),$$

where  $I_{d_0}$  denotes the  $d_0 \times d_0$  identity matrix. Thus

$$\dim_{\mathcal{N}\Gamma}((\mathcal{N}\Gamma)^{1 \times d_0}/(\mathcal{N}\Gamma)^{1 \times d_1}g) = \dim_{\mathcal{N}\Gamma}((\mathcal{N}\Gamma)^{1 \times d_0}(I_{d_0} - p_g)) = \mathrm{tr}_{\mathcal{N}\Gamma}(I_{d_0} - p_g).$$

From the exact sequence (2.6) we know that

$$\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_1 \xrightarrow{1 \otimes \partial_1} \mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_0 \rightarrow \mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M} \rightarrow 0$$

is exact. Taking  $g = f_1$ , we get

$$\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = \dim_{\mathcal{N}\Gamma}((\mathcal{N}\Gamma)^{1 \times d_0}/(\mathcal{N}\Gamma)^{1 \times d_1}f_1) = \mathrm{tr}_{\mathcal{N}\Gamma}(I_{d_0} - p_{f_1}).$$

Thus  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$  if and only if  $\mathrm{tr}_{\mathcal{N}\Gamma}(I_{d_0} - p_{f_1}) = 0$ , equivalently  $I_{d_0} = p_{f_1}$ , i.e.  $(\ell^2(\Gamma))^{1 \times d_1}f_1$  is dense in  $(\ell^2(\Gamma))^{1 \times d_0}$ . The latter condition is equivalent to that the map  $(\ell^2(\Gamma))^{1 \times d_0} \rightarrow (\ell^2(\Gamma))^{1 \times d_1}$  sending  $y$  to  $yf_1^*$  is injective. Taking adjoints we find that the last condition is equivalent to  $\ker f_1 = \{0\}$ . This proves (1) $\Leftrightarrow$ (2).

Now we assume further that  $\mathcal{M}$  is of type FL and  $\mathcal{C}_* \rightarrow \mathcal{M}$  is a resolution as in (2.7). Note that  $\mathbb{C} \otimes_{\mathbb{Z}} \mathbb{Z}\Gamma = \mathbb{C}\Gamma$  and hence for any left  $\mathbb{Z}\Gamma$ -module  $\tilde{\mathcal{M}}$  one has

$$\mathbb{C}\Gamma \otimes_{\mathbb{Z}\Gamma} \tilde{\mathcal{M}} = (\mathbb{C} \otimes_{\mathbb{Z}} \mathbb{Z}\Gamma) \otimes_{\mathbb{Z}\Gamma} \tilde{\mathcal{M}} = \mathbb{C} \otimes_{\mathbb{Z}} (\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Gamma} \tilde{\mathcal{M}}) = \mathbb{C} \otimes_{\mathbb{Z}} \tilde{\mathcal{M}}.$$

Since  $\mathbb{C}$  is a torsion-free  $\mathbb{Z}$ -module, the functor  $\mathbb{C} \otimes_{\mathbb{Z}} ?$  from the category of  $\mathbb{Z}$ -modules to the category of  $\mathbb{C}$ -modules is exact [38, Proposition XVI.3.2]. Thus the functor  $\mathbb{C}\Gamma \otimes_{\mathbb{Z}\Gamma} ?$  from the category of left  $\mathbb{Z}\Gamma$ -modules to the category of left  $\mathbb{C}\Gamma$ -modules is exact. Set  $\mathcal{C}'_j = \mathbb{C}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_j$  and  $\mathcal{M}' = \mathbb{C}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}$ . Then from (2.7) we have the exact sequence

$$(5.1) \quad 0 \rightarrow \mathcal{C}'_k \xrightarrow{\partial'_k} \dots \xrightarrow{\partial'_2} \mathcal{C}'_1 \xrightarrow{\partial'_1} \mathcal{C}'_0 \rightarrow \mathcal{M}' \rightarrow 0.$$

The sequence

$$0 \rightarrow \mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{C}'_k \xrightarrow{1 \otimes \partial'_k} \dots \xrightarrow{1 \otimes \partial'_2} \mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{C}'_1 \xrightarrow{1 \otimes \partial'_1} \mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{C}'_0 \rightarrow \mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{M}' \rightarrow 0$$

is exact at  $\mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{C}'_0$  and  $\mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{M}'$ , but may fail to be exact at other places. Note that (5.1) is a resolution of  $\mathcal{M}'$  by free left  $\mathbb{C}\Gamma$ -modules. Lück showed [46, Theorem 6.37] that

$$\dim_{\mathcal{N}\Gamma}(\ker(1 \otimes \partial'_j)/\mathrm{im}(1 \otimes \partial'_{j+1})) = 0$$

for all  $1 \leq j \leq k$ , where we set  $1 \otimes \partial'_{k+1} = 0$ . Since  $\dim_{\mathcal{N}\Gamma}$  is additive in the sense that for any short exact sequence

$$0 \rightarrow \mathcal{M}_1 \rightarrow \mathcal{M}_2 \rightarrow \mathcal{M}_3 \rightarrow 0$$

of left  $\mathcal{N}\Gamma$ -modules one has  $\dim_{\mathcal{N}\Gamma} \mathcal{M}_2 = \dim_{\mathcal{N}\Gamma} \mathcal{M}_1 + \dim_{\mathcal{N}\Gamma} \mathcal{M}_3$  [46, Theorem 6.7], we get

$$\begin{aligned} \dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) &= \dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{M}') = \sum_{j=0}^k (-1)^j \dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{C}\Gamma} \mathcal{C}'_j) \\ &= \sum_{j=0}^k (-1)^j d_j = \chi(\mathcal{M}). \end{aligned}$$

□

**Remark 5.2.** It follows from Lemma 5.1 and [13, Theorem 4.11] that for a finitely presented left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$ ,  $h(\widehat{\mathcal{M}})$  is finite if and only if  $\dim_{\mathcal{N}\Gamma}(\mathcal{N}\Gamma \otimes_{\mathbb{Z}\Gamma} \mathcal{M}) = 0$ . In particular, if  $\mathcal{M}$  is of type FL, then  $h(\widehat{\mathcal{M}})$  is finite if and only if  $\chi(\mathcal{M}) = 0$ .

Next we show that (in the case of amenable groups)  $\ker f_1 = \{0\}$  is the only condition needed to define  $\rho^{(2)}(\mathcal{C}_*)$ . Set  $f_0 = 0$ .

**Lemma 5.3.** *Suppose that  $\ker f_1 = \{0\}$ . Then the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  in (2.9) is weakly acyclic, and  $\ker(f_{j+1}^* f_{j+1} + f_j f_j^*) = \{0\}$  for all  $0 \leq j < k$ .*

*Proof.* We show first that for any  $1 \leq j < k$  the map  $(\mathbb{Z}\Gamma)^{1 \times d_j} \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_j}$  sending  $y$  to  $y(f_{j+1}^* f_{j+1} + f_j f_j^*)$  is injective. Suppose that  $y(f_{j+1}^* f_{j+1} + f_j f_j^*) = 0$ . Computing  $\langle y(f_{j+1}^* f_{j+1} + f_j f_j^*), y \rangle$ , we find that  $y f_{j+1}^* = 0$  and  $y f_j = 0$ . Since (2.6) is exact at  $\mathcal{C}_j = (\mathbb{Z}\Gamma)^{1 \times d_j}$ , we have  $y = z f_{j+1}$  for some  $z \in (\mathbb{Z}\Gamma)^{1 \times d_{j+1}}$ . From  $\langle z f_{j+1}, z f_{j+1} \rangle = \langle z f_{j+1} f_{j+1}^*, z \rangle = 0$  we get  $y = z f_{j+1} = 0$ . This proves our claim.

Since  $f_{j+1}^* f_{j+1} + f_j f_j^*$  is self-adjoint, taking adjoints we find that for each  $1 \leq j < k$  the map  $(\mathbb{Z}\Gamma)^{d_j \times 1} \rightarrow (\mathbb{Z}\Gamma)^{d_j \times 1}$  sending  $y$  to  $(f_{j+1}^* f_{j+1} + f_j f_j^*) y$  is also injective. From Proposition 4.16 we conclude that  $\ker(f_{j+1}^* f_{j+1} + f_j f_j^*) = \{0\}$  for  $1 \leq j < k$ . The assertion  $\ker(f_1^* f_1 + f_0 f_0^*) = \{0\}$  follows directly from  $\ker f_1 = \{0\}$ .

Let  $0 \leq j < k$ . Taking adjoints again, we find that the map  $(\ell^2(\Gamma))^{d_j \times 1} \rightarrow (\ell^2(\Gamma))^{d_j \times 1}$  sending  $y$  to  $y(f_{j+1}^* f_{j+1} + f_j f_j^*)$  is injective. Any  $y$  in the orthogonal complement of the closure of  $\text{im}(1 \otimes \partial_{j+1})$  inside of  $\ker(1 \otimes \partial_j)$  satisfies  $y(f_{j+1}^* f_{j+1} + f_j f_j^*) = 0$  and hence is equal to 0. Therefore  $\ker(1 \otimes \partial_j)$  is equal to the closure of  $\text{im}(1 \otimes \partial_{j+1})$ . That is,  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic. □

In order to prove Theorem 1.1 we need some preparation. For each  $0 < j \leq k$ , we define a left  $\mathbb{Z}\Gamma$ -module homomorphism  $\partial_j^* : \mathcal{C}_{j-1} \rightarrow \mathcal{C}_j$  by  $\partial_j^*(y) = y f_j^*$  for all  $y \in (\mathbb{Z}\Gamma)^{1 \times d_{j-1}} = \mathcal{C}_{j-1}$ . Then  $\partial_{j+1}^* \partial_j^* = 0$  for all  $0 < j < k$ . For each  $0 \leq j \leq k$ , set  $\mathcal{D}_j = \bigoplus_{0 \leq i \leq j, j-i \in 2\mathbb{Z}} \mathcal{C}_i$  and  $d'_j = \sum_{0 \leq i \leq j, j-i \in 2\mathbb{Z}} d_i$ . For each  $0 < j \leq k$ , consider the  $\mathbb{Z}\Gamma$ -module homomorphism  $T_j : \mathcal{D}_j \rightarrow \mathcal{D}_{j-1}$  defined as  $\partial_i + \partial_{i+1}^*$  on  $\mathcal{C}_i$  for all  $0 < i < j$  with  $j-i \in 2\mathbb{Z}$ , as  $\partial_j$  on  $\mathcal{C}_j$ , and also as  $\partial_1^*$  on  $\mathcal{C}_0$  if  $j$  is even. Then the chosen ordered basis of  $\mathcal{C}_i$ 's give rise to an ordered basis for  $\mathcal{D}_j$ , under which  $T_j$  is

represented by the matrix

$$g_j = \begin{pmatrix} f_j & 0 & 0 & \cdots \\ f_{j-1}^* & f_{j-2} & 0 & \cdots \\ 0 & f_{j-3}^* & f_{j-4} & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{pmatrix} \in M_{d'_j \times d'_{j-1}}(\mathbb{Z}\Gamma).$$

**Lemma 5.4.** *Let  $0 < j < k$ . Then one has a  $\Gamma$ -equivariant short exact sequence of compact metrizable groups*

$$(5.2) \quad 1 \rightarrow X_{g_j} \rightarrow X_{g_j^* g_j} \rightarrow X_{g_{j+1}} \rightarrow 1,$$

where the homomorphism  $X_{g_j^* g_j} \rightarrow X_{g_{j+1}}$  is given by left multiplication by  $g_j$ .

*Proof.* Denote by  $J_j$  the subset of  $(\mathbb{Z}\Gamma)^{1 \times d'_j}$  consisting of the rows of  $g_j^*$  and  $h \in (\mathbb{Z}\Gamma)^{1 \times d'_j}$  satisfying  $hg_j = 0$ . Recall that  $\mathcal{M}_{J_j}$  denotes the submodule of  $(\mathbb{Z}\Gamma)^{1 \times d'_j}$  generated by  $J_j$ . We want to show that  $\mathcal{M}_{J_j} = (\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1}$ . Set

$$w = (f_{j+1} \quad 0) \in M_{d_{j+1} \times d'_j}(\mathbb{Z}\Gamma).$$

Then

$$g_{j+1} = \begin{pmatrix} w \\ g_j^* \end{pmatrix},$$

and  $w g_j = 0$ . It follows that  $(\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1} \subseteq \mathcal{M}_{J_j}$ . Moreover, any row of  $g_j^*$  is obviously in  $(\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1}$ . It remains to show that every  $h \in (\mathbb{Z}\Gamma)^{1 \times d'_j}$  satisfying  $hg_j = 0$  lies in  $(\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1}$ .

Note that  $g_j g_j^*$  is a block-diagonal matrix with the diagonal blocks being  $f_j f_j^*$  and  $f_{i+1}^* f_{i+1} + f_i f_i^*$  for  $0 \leq i \leq j-2$  with  $j-i \in 2\mathbb{Z}$ . Since (2.6) is exact and  $\ker f_1 = 0$ , for any  $0 \leq i < j$ , any  $y \in (\mathbb{Z}\Gamma)^{1 \times d'_j}$  satisfying  $y(f_{i+1}^* f_{i+1} + f_i f_i^*)$  must be 0. Thus any  $h \in (\mathbb{Z}\Gamma)^{1 \times d'_j}$  satisfying  $hg_j = 0$  must be of the form  $(x, 0)$  for some  $x \in (\mathbb{Z}\Gamma)^{1 \times d_j}$  satisfying  $x f_j f_j^* = 0$ , equivalently  $x f_j = 0$ . It follows from the exactness of (2.6) that  $(x, 0) = (y f_{j+1}, 0)$  for some  $y \in (\mathbb{Z}\Gamma)^{1 \times d_{j+1}}$ . Thus,  $\mathcal{M}_{J_j} \subseteq (\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1}$ , and hence  $\mathcal{M}_{J_j} = (\mathbb{Z}\Gamma)^{1 \times d'_{j+1}} g_{j+1}$ , which leads to the short exact sequence (5.2) by Lemma 4.15.  $\square$

**Lemma 5.5.** *For any  $0 < j \leq k$  one has  $\ker g_j = 0$ . For  $0 < j < k$  one has*

$$h(X_{g_j}) + h(X_{g_{j+1}}) = \sum_{i=1}^j \log \det_{\mathbb{N}\Gamma}(f_i^* f_i + q_{f_i}) \in \mathbb{R}_{\geq 0},$$

where  $q_{f_i}$  denotes the orthogonal projection from  $(\ell^2(\Gamma))^{d_{j-1} \times 1}$  onto  $\ker f_i$ . We also have

$$h(X_{g_k^* g_k}) = 2 \log \det_{\mathbb{N}\Gamma}(g_k) = \sum_{i=1}^k \log \det_{\mathbb{N}\Gamma}(f_i^* f_i + q_{f_i}) \in \mathbb{R}_{\geq 0}.$$

*Proof.* Let  $0 < j \leq k$ . Note that  $g_j^* g_j$  is a block-diagonal matrix with the diagonal blocks being  $f_{i+1}^* f_{i+1} + f_i f_i^*$  for  $0 \leq i < j$  with  $j - i \notin 2\mathbb{Z}$ . From Lemma 5.3 we get  $\ker g_j = \ker(g_j^* g_j) = \{0\}$ . For any  $0 \leq i < j$ , since  $f_{i+1}^* f_{i+1}$  and  $f_i f_i^*$  are self-adjoint,  $f_{i+1}^* f_{i+1} \cdot f_i f_i^* = f_i f_i^* \cdot f_{i+1}^* f_{i+1} = 0$ , and  $\ker(f_{i+1}^* f_{i+1} + f_i f_i^*) = \{0\}$ , we have  $f_{i+1}^* f_{i+1} + f_i f_i^* = (f_{i+1}^* f_{i+1} + q_{f_{i+1}^* f_{i+1}})(f_i f_i^* + q_{f_i f_i^*}) = (f_{i+1}^* f_{i+1} + q_{f_{i+1}})(f_i f_i^* + q_{f_i^*})$ .

From Lemma 4.5 we have

$$\begin{aligned}
h(X_{g_j^* g_j}) &= \log \det_{\text{NT}}(g_j^* g_j) \\
&= \sum_{0 \leq i < j, j-i \notin 2\mathbb{Z}} \log \det_{\text{NT}}(f_{i+1}^* f_{i+1} + f_i f_i^*) \\
&= \sum_{0 \leq i < j, j-i \notin 2\mathbb{Z}} (\log \det_{\text{NT}}(f_{i+1}^* f_{i+1} + q_{f_{i+1}}) + \log \det_{\text{NT}}(f_i f_i^* + q_{f_i})) \\
&\stackrel{(2.4)}{=} \sum_{i=1}^j \log \det_{\text{NT}}(f_i^* f_i + q_{f_i}).
\end{aligned}$$

Now the lemma follows from (2.3) and the observation that for  $0 < j < k$  from Lemmas 5.4 and 4.17 we have

$$h(X_{g_j}) + h(X_{g_{j+1}}) = h(X_{g_j^* g_j}).$$

□

We are ready to prove Theorem 1.1.

*Proof of Theorem 1.1.* From Lemma 5.5 we have

$$\begin{aligned}
(-1)^k h(X_{g_1}) + h(X_{g_k}) &= \sum_{j=1}^{k-1} (-1)^{k+1+j} (h(X_{g_j}) + h(X_{g_{j+1}})) \\
&= \sum_{j=1}^{k-1} (-1)^{k+1+j} \sum_{i=1}^j \log \det_{\text{NT}}(f_i^* f_i + q_{f_i}).
\end{aligned}$$

From Lemmas 5.5 and Theorem 1.2 we have

$$h(X_{g_k}) \leq \frac{1}{2} \sum_{i=1}^k \log \det_{\text{NT}}(f_i^* f_i + q_{f_i}).$$

Note that  $g_1 = f_1$ . Therefore

$$\begin{aligned}
(-1)^k h(\widehat{\mathcal{M}}) &= (-1)^k h(X_{f_1}) \\
&= (-1)^k h(X_{g_1}) \\
&\geq \sum_{j=1}^{k-1} (-1)^{k+1+j} \sum_{i=1}^j \log \det_{\text{NT}}(f_i^* f_i + q_{f_i}) - \frac{1}{2} \sum_{i=1}^k \log \det_{\text{NT}}(f_i^* f_i + q_{f_i}) \\
&= \frac{(-1)^k}{2} \sum_{i=1}^k (-1)^{i+1} \log \det_{\text{NT}}(f_i^* f_i + q_{f_i})
\end{aligned}$$

$$= (-1)^k \rho^{(2)}(\mathcal{C}_*).$$

When  $\mathcal{C}_* \rightarrow \mathcal{M}$  is a resolution as in (2.7), we may also think of it as a partial resolution with length  $k+1$  by setting  $\mathcal{C}_{k+1} = 0$ . Then we also have  $(-1)^{k+1} h(\widehat{\mathcal{M}}) \geq (-1)^{k+1} \rho^{(2)}(\mathcal{C}_*)$ , and hence  $h(\widehat{\mathcal{M}}) = \rho^{(2)}(\mathcal{C}_*)$ .  $\square$

**5.2. Applications to  $L^2$ -torsion.** The first application of Theorem 1.1 to  $L^2$ -torsion is a proof of Theorem 1.3.

If the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL, then  $\Gamma$  is torsion-free [8, Corollary VIII.2.5], and in particular  $\Gamma$  can not be a non-trivial finite group. The latter fact can also be proved using the following quick argument we learned from a comment of Ian Agol: Suppose that  $\Gamma$  is finite and there exists a resolution

$$0 \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_k} \rightarrow \cdots \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_0} \rightarrow \mathbb{Z} \rightarrow 0.$$

Counting ranks of  $\mathbb{Z}$ -modules we get  $1 = |\Gamma| \cdot \sum_{j=0}^k (-1)^j d_j$ , and hence  $\Gamma$  is trivial.

From the definition of topological entropy one observes easily that the trivial action of an infinite amenable group on a compact metrizable space has topological entropy 0. Now Theorem 1.3 is an immediate consequence of Theorem 1.1 and Remark 5.2.

As a second application, since the entropy of an action is non-negative, we note from Theorem 1.1 that if a left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  is of type FL and  $\chi(\mathcal{M}) = 0$ , then  $\rho^{(2)}(\mathcal{M}) \geq 0$ . This is non-trivial since the  $L^2$ -torsion is defined as an alternating sum of non-negative numbers.

Let us mention one more application.

**Theorem 5.6.** *Let  $\Gamma$  be a countable discrete amenable group which contains  $\mathbb{Z}$  as a subgroup of infinite index. Let  $\mathcal{M}$  be a left  $\mathbb{Z}\Gamma$ -module. If  $\mathcal{M}$  is finitely generated as an abelian group and  $\mathcal{M}$  is of type FL as a left  $\mathbb{Z}\Gamma$ -module, then  $\chi(\mathcal{M}) = 0$  and  $\rho^{(2)}(\mathcal{M}) = 0$ .*

To prove Theorem 5.6 we need the following well-known dynamical fact. For the convenience of the reader, we give a proof. We allow smooth manifolds to have different dimensions for different connected components, including 0 dimension. In particular, compact smooth manifolds could be finite sets. We say that an action of  $\Gamma$  on a compact smooth manifold  $X$  is *differentiable* if the homeomorphism of  $X$  given by each  $s \in \Gamma$  is  $C^{(1)}$ .

**Lemma 5.7.** *Let  $\Gamma$  be a countable discrete amenable group containing  $\mathbb{Z}$  as a subgroup of infinite index. Then every differentiable action of  $\Gamma$  on a compact smooth manifold  $X$  has topological entropy 0.*

*Proof.* Endow  $X$  with a Riemannian metric. Since  $X$  is a compact manifold, it has finitely many connected components. Thus we may take a compatible metric  $\theta$  on  $X$  which restricts to the geodesic distance on each connected component. Take  $0 < \eta < 1$  such that if  $x, y \in X$  have distance less than  $\eta$ , then  $x$  and  $y$  are in

the same connect component of  $X$ . Denote by  $L$  the diameter of  $X$ . Recall that a subset  $Z$  of  $X$  is called  $\delta$ -dense for  $\delta > 0$  if for any  $x \in X$  one has  $\theta(x, z) \leq \delta$  for some  $z \in Z$ .

For each  $p \in \mathbb{N} \cup \{0\}$ , consider the supremum norm  $\|\cdot\|_\infty$  on  $\mathbb{R}^p$  given by  $\|(u_1, \dots, u_p)\|_\infty = \max_{1 \leq j \leq p} |u_j|$ . For  $r > 0$ , denote by  $B(0, r)$  the open ball in  $\mathbb{R}^p$  with center 0 and radius  $r$  in this norm. For a connected component  $Y$  of  $X$  with dimension  $p$ , choose smooth charts  $f_j : B(0, 2) \rightarrow Y$  for  $1 \leq j \leq k_Y$  such that  $\bigcup_{1 \leq j \leq k_Y} f_j(B(0, 1)) = Y$ . Take  $K_Y > 0$  such that  $\theta(f_j(x), f_j(y)) \leq K_Y \|x - y\|_\infty$  for all  $1 \leq j \leq k_Y$  and  $x, y \in B(0, 1)$ . For any  $0 < \delta' < 1$ ,  $B(0, 1)$  has a  $\delta'$ -dense subset with cardinality at most  $(4/\delta')^p$ , and hence  $Y$  has a  $(K_Y \delta')$ -dense subset with cardinality at most  $k_Y (4/\delta')^p$ . Denoting by  $q$  the highest dimension of the connected components of  $X$ , we see that there exist constants  $C_1, C_2 > 1$  such that for any  $0 < \delta' < 1$ ,  $X$  has a  $(C_1 \delta')$ -dense subset with cardinality at most  $C_2 (4/\delta')^q$ .

For each  $s \in \Gamma$  and  $x \in X$ , denote by  $\xi_{s,x}$  the linear map induced by  $s$  from the tangent space of  $X$  at  $x$  to the tangent space of  $X$  at  $sx$ . Denote by  $\|\xi_{s,x}\|$  the operator norm of  $\xi_{s,x}$ . Since  $X$  is compact, one has  $K_s := \sup_{x \in X} \|\xi_{s,x}\| < +\infty$ . Then for any  $x$  and  $y$  in the same connected component of  $X$ , one has  $\theta(sx, sy) \leq K_s \theta(x, y)$ .

Let  $0 < \varepsilon < \eta/2$ . By assumption, we can find  $s_0 \in \Gamma$  with infinite order such that the subgroup  $H$  of  $\Gamma$  generated by  $s_0$  has infinite index. Let  $M, N \in \mathbb{N}$ . Take  $t_1 = e, \dots, t_N$  in  $\Gamma$  such that the left cosets  $t_1 H, \dots, t_N H$  are pairwise distinct. Set  $P = \{t_j s_0^k : 1 \leq j \leq N, 0 \leq k \leq M-1\}$ .

Let  $F \in \mathcal{B}(P, 1/|P|)$ , i.e.  $F$  is a nonempty finite subset of  $\Gamma$  and

$$|\{t \in F : Pt \subseteq F\}| \geq \left(1 - \frac{1}{|P|}\right) |F|.$$

Then

$$|PF| \leq |F| + |P| \cdot |\{t \in F : Pt \not\subseteq F\}| \leq 2|F|.$$

Take a maximal subset  $\Omega$  of  $F$  subject to the condition that for any distinct  $s, t \in \Omega$ , the sets  $Ps$  and  $Pt$  are disjoint. For any  $s \in F$ , one has  $Ps \cap Pt \neq \emptyset$  for some  $t \in \Omega$  and hence  $s \in (P^{-1}P)t$ . That is,  $F \subseteq P^{-1}P\Omega$ . Note that

$$(5.3) \quad N \cdot M \cdot |\Omega| = |P| \cdot |\Omega| = |P\Omega| \leq |PF| \leq 2|F|.$$

Set  $K_1 = \max(K_{s_0}, K_{s_0^{-1}}) \geq 1$  and  $K_2 = \max_{1 \leq j, k \leq N} K_{t_j^{-1}t_k} \geq 1$ . Let  $x, y \in X$ . If  $\theta(tx, ty) \leq \eta/2$  for some  $t \in \Omega$ , then  $tx$  and  $ty$  lie in the same connected component of  $X$ , and hence

$$\theta(stx, sty) \leq K_1^{2M} K_2 \theta(tx, ty)$$

for all  $s \in P^{-1}P$ . Thus, if  $\theta(tx, ty) \leq \min(\eta/2, \varepsilon/(K_1^{2M} K_2)) = (K_1^{2M} K_2)^{-1} \varepsilon$  for all  $t \in \Omega$ , then  $\theta_{F,\infty}(x, y) \leq \varepsilon$ , where  $\theta_{F,\infty}$  is defined by (4.2). Taking  $\delta' = C_1^{-1} (2K_1^{2M} K_2)^{-1} \varepsilon$  in the second paragraph of the proof, we see that  $X$  has a

$(2K_1^{2M}K_2)^{-1}\varepsilon$ -dense subset with cardinality at most  $C_2(8K_1^{2M}K_2C_1/\varepsilon)^q$ . It follows that

$$N_\varepsilon(X, \theta_{F,\infty}) \leq (C_2(8K_1^{2M}K_2C_1/\varepsilon)^q)^{|\Omega|} \stackrel{(5.3)}{\leq} (C_2(8K_1^{2M}K_2C_1/\varepsilon)^q)^{2|F|/MN}.$$

Therefore

$$\limsup_F \frac{\log N_\varepsilon(X, \theta_{F,\infty})}{|F|} \leq \frac{2}{MN} (\log(C_2(8C_1/\varepsilon)^q) + 2Mq \log K_1 + q \log K_2).$$

Fix  $N$  and  $t_1, \dots, t_N$  first. Then  $K_2$  is fixed. Letting  $M \rightarrow \infty$ , we get

$$\limsup_F \frac{\log N_\varepsilon(X, \theta_{F,\infty})}{|F|} \leq \frac{4q}{N} \log K_1.$$

Next letting  $N \rightarrow \infty$ , we get

$$\limsup_F \frac{\log N_\varepsilon(X, \theta_{F,\infty})}{|F|} = 0.$$

Since  $\varepsilon$  is arbitrary, by Lemma 4.1 we conclude that  $h_{\text{top}}(X) = 0$  as desired.  $\square$

Now Theorem 5.6 follows from Lemma 5.7, Theorem 1.1 and Remark 5.2.

**5.3. Application to entropy.** For  $\Gamma = \mathbb{Z}^d$ , the fact that  $\mathbb{Z}[\mathbb{Z}^d]$  is a factorial Noetherian integral domain allows one to apply the tools from commutative algebra. Using such tools Lind, Schmidt and Ward [42, Section 4] showed that the calculation of the entropy for algebraic actions of  $\mathbb{Z}^d$  can be reduced to calculating the entropy of principal algebraic actions, i.e.

$$h\left(\widehat{\mathbb{Z}[\mathbb{Z}^d]/\mathbb{Z}[\mathbb{Z}^d]f}\right) = \log \det_{N(\mathbb{Z}^d)} f$$

for all non-zero  $f \in \mathbb{Z}[\mathbb{Z}^d]$ . For general countable discrete amenable group, it is not clear how to carry out such a reduction. However, we shall see that the calculation of the entropy for algebraic actions of poly- $\mathbb{Z}$  groups can be reduced to calculating the  $L^2$ -torsion.

A group  $\Gamma$  is called *poly- $\mathbb{Z}$*  if there is a sequence of subgroups  $\Gamma = \Gamma_1 \triangleright \Gamma_2 \triangleright \dots \triangleright \Gamma_n = \{e\}$  such that  $\Gamma_j/\Gamma_{j+1} = \mathbb{Z}$  for every  $1 \leq j \leq n-1$ . Let  $\Gamma$  be a poly- $\mathbb{Z}$  group. Then  $\mathbb{Z}\Gamma$  satisfies the following conditions:

- (1)  $\mathbb{Z}\Gamma$  is *left Noetherian*, i.e. every left ideal of  $\mathbb{Z}\Gamma$  is finitely generated.
- (2)  $\mathbb{Z}\Gamma$  has *finite global dimension*, i.e. there exists  $k \in \mathbb{N}$  such that for any left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  and any exact sequence of left  $\mathbb{Z}\Gamma$ -modules as in (2.7) with  $\mathcal{C}_j$  being a projective left  $\mathbb{Z}\Gamma$ -module for each  $0 \leq j < k$ ,  $\mathcal{C}_k$  is also a projective left  $\mathbb{Z}\Gamma$ -module.
- (3) Every finitely generated projective left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  is *stably free*, i.e.  $\mathcal{M} \oplus (\mathbb{Z}\Gamma)^m = (\mathbb{Z}\Gamma)^n$  for some  $m, n \in \mathbb{N}$ .



This was proved in the proof of Theorem 13.4.9 in [56] for  $K\Gamma$  with  $K$  being a field, but the argument there also works for  $\mathbb{Z}\Gamma$ , using that  $\mathbb{Z}$  has finite global dimension [56, page 433]. It follows easily that every finitely generated left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  is of type FL. If  $\chi(\mathcal{M}) > 0$ , then by Lemma 5.1 and [13, Theorem 4.11] one has  $h(\widehat{\mathcal{M}}) = \infty$ . If  $\chi(\mathcal{M}) = 0$ , then by Theorem 1.1 we have  $h(\widehat{\mathcal{M}}) = \rho^{(2)}(\mathcal{M})$ . For any countable left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$ , take an increasing sequence  $\{\mathcal{M}_j\}_{j \in \mathbb{N}}$  of finitely generated submodules of  $\mathcal{M}$  with union  $\mathcal{M}$ , then from Theorem 4.10 or using the fact that  $\widehat{\mathcal{M}}$  is the projective limit of  $\{\widehat{\mathcal{M}_j}\}_{j \in \mathbb{N}}$  one has

$$h(\widehat{\mathcal{M}}) = \lim_{j \rightarrow \infty} h(\widehat{\mathcal{M}_j}) = \sup_{j \in \mathbb{N}} h(\widehat{\mathcal{M}_j}).$$

**5.4. Torsion for arbitrary modules and the Milnor-Turaev formula.** Motivated by Theorem 1.1, we define the  $L^2$ -torsion or just *torsion* of a countable left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  to be  $h(\widehat{\mathcal{M}})$ . Theorem 1.1 shows that this extends the definition of  $L^2$ -torsion for left  $\mathbb{Z}\Gamma$ -modules of type FL with  $\chi(\mathcal{M}) = 0$ . By Remark 5.2, the torsion of  $\mathcal{M}$  is infinite if  $\mathcal{M}$  is of type FL and  $\chi(\mathcal{M}) \neq 0$ . Lemma 4.17 shows that torsion is additive for extensions of countable left  $\mathbb{Z}\Gamma$ -modules and hence serves as a well-behaved invariant. From now on, we use the notation  $\rho(\mathcal{M})$  to denote  $h(\widehat{\mathcal{M}})$ .

*Proof of Theorem 1.5:* First of all, the chain complex  $\mathcal{C}_*$  is  $\Delta$ -acyclic if and only if the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic, see Proposition 6.6. The proof follows closely the proof of Theorem 1.1. We use the notation in Section 5.1 and the proof of Lemma 5.4, and also set  $f_{k+1} = 0$ .

Since the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic, the map  $(\ell^2(\Gamma))^{1 \times d_j} \rightarrow (\ell^2(\Gamma))^{1 \times d_j}$  sending  $y$  to  $y(f_{j+1}^* f_{j+1} + f_j f_j^*)$  is injective for every  $0 \leq j \leq k$ . Let  $0 < j < k$ . Note that if  $z g_j^* g_j = 0$  for some  $z \in (\mathbb{Z}\Gamma)^{1 \times d_{j-1}'}$ , then  $z g_j^* = 0$ . Thus the intersection of  $(\mathbb{Z}\Gamma)^{1 \times d_{j-1}'} g_j^*$  and  $\{y \in (\mathbb{Z}\Gamma)^{1 \times d_j} : y g_j = 0\}$  is  $\{0\}$ . The argument in the proof of Lemma 5.4 then shows that one has a short exact sequence of left  $\mathbb{Z}\Gamma$ -modules

$$0 \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_{j+1}'} g_{j+1} \rightarrow \mathcal{M}_{J_j} \rightarrow H_j(\mathcal{C}_*) \rightarrow 0.$$

Then one has a short exact sequence of left  $\mathbb{Z}\Gamma$ -modules

$$0 \rightarrow H_j(\mathcal{C}_*) \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_j'} / (\mathbb{Z}\Gamma)^{1 \times d_{j+1}'} g_{j+1} \rightarrow (\mathbb{Z}\Gamma)^{1 \times d_j} / \mathcal{M}_{J_j} \rightarrow 0,$$

and its dual  $\Gamma$ -equivariant exact sequence of compact metrizable groups

$$1 \rightarrow X_{J_j} \rightarrow X_{g_{j+1}} \rightarrow \widehat{H_j(\mathcal{C}_*)} \rightarrow 1.$$

From Lemma 4.15 we still have the  $\Gamma$ -equivariant exact sequence of compact metrizable groups

$$1 \rightarrow X_{g_j} \rightarrow X_{g_j^* g_j} \rightarrow X_{J_j} \rightarrow 1.$$

The argument in the proof of Lemma 5.4 also shows that any  $y \in (\ell^2(\Gamma))^{1 \times d_k'}$  satisfying  $y g_k = 0$  must be 0. Taking adjoints we get  $\ker g_k^* = \{0\}$ . The argument in the proof of Lemma 5.5 still shows  $\ker g_k = \{0\}$ . By Lemma 4.14 we have



$h(X_{g_k}) = h(X_{g_k^*})$ . From Lemmas 4.15 and 4.17 we get  $h(X_{g_k}) = \frac{1}{2}h(X_{g_k^*g_k})$ . The rest of the proof is analogous to the proof of Theorem 1.1.  $\square$

**Remark 5.8.** Note that in the trivial case, where  $\Gamma = \{e\}$ , one has

$$\rho(H_j(\mathcal{C}_*)) = \log |H_j(\mathcal{C}_*)|.$$

In the classical cases  $\Gamma = \mathbb{Z}^d$ , Theorem 1.5 is a consequence of the results of Milnor [52, page 131] and Turaev [66, Lemma 2.1.1]. The classical result of Milnor in the case  $\Gamma = \mathbb{Z}$  shows an identity of elements in the reduced  $K_1$ -group of the ring of rational functions  $\mathbb{Q}(z)$ . In this case, the Mahler measure of the determinant computes the  $L^2$ -torsion, which gives the relationship with Theorem 1.5.

## 6. $L^2$ -TORSION OF MODULES

We want to provide a fresh view on  $L^2$ -torsion and show that it is – if set up correctly – a completely classical torsion theory, much in the spirit of classical Reidemeister torsion. All desired properties follow from the work of Milnor [51]. Throughout this section  $\Gamma$  will be a countable discrete (not necessarily amenable) group.

**6.1. Whitehead torsion.** Let  $R$  be a unital ring. For each  $n \in \mathbb{N}$ , we have the multiplicative group  $\mathrm{GL}_n(R)$  consisting of all invertible  $n \times n$  matrices over  $R$ . One may think of  $\mathrm{GL}_n(R)$  as a subgroup of  $\mathrm{GL}_{n+1}(R)$  via identifying  $A \in \mathrm{GL}_n(R)$  with

$$\begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix} \in \mathrm{GL}_{n+1}(R).$$

Denote by  $\mathrm{GL}_\infty(R)$  the union of  $\mathrm{GL}_n(R)$  for all  $n \in \mathbb{N}$ . The  $K_1$ -group of  $R$ , denoted by  $K_1(R)$ , is defined as the abelian quotient group of  $\mathrm{GL}_\infty(R)$  by its commutator subgroup  $[\mathrm{GL}_\infty(R), \mathrm{GL}_\infty(R)]$  [59, Definition 2.1.5]. The *reduced  $K_1$ -group* of  $R$ , denoted by  $\bar{K}_1(R)$ , is the quotient group of  $K_1(R)$  by the image of  $\{1, -1\} \subseteq \mathrm{GL}_1(R)$ . We shall write the abelian group  $\bar{K}_1(R)$  as an additive group.

In the rest of this subsection we assume that  $R$  satisfies the condition (2.8).

For an acyclic (i.e. exact) chain complex  $\mathcal{C}_*$  of finitely generated free left  $R$ -modules of the form

$$(6.1) \quad 0 \rightarrow \mathcal{C}_k \xrightarrow{\partial_k} \dots \xrightarrow{\partial_3} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \rightarrow 0$$

with a chosen unordered basis for each  $\mathcal{C}_j$ , Milnor defined the *Whitehead torsion*  $\tau(\mathcal{C}_*)$  of  $\mathcal{C}_*$ , as an element of  $\bar{K}_1(R)$  [51, Sections 3 and 4]. Instead of recalling Milnor's definition, we recall the equivalent definition in [15, Section 15].

Since  $\mathcal{C}_*$  is a finite acyclic chain complex of free left  $R$ -modules, it has a *contraction*  $\delta$ , i.e. a left  $R$ -module homomorphism  $\delta_j : \mathcal{C}_j \rightarrow \mathcal{C}_{j+1}$  for each  $j \in \mathbb{Z}$ , such that  $\partial_{j+1}\delta_j + \delta_{j-1}\partial_j = \mathrm{id}$  for every  $j \in \mathbb{Z}$  [15, page 47]. Set

$$\mathcal{C}_{\mathrm{odd}} = \sum_{j \notin 2\mathbb{Z}} \mathcal{C}_j,$$

$$\mathcal{C}_{\text{even}} = \sum_{j \in 2\mathbb{Z}} \mathcal{C}_j,$$

$$(\partial + \delta)_{\text{odd}} = (\partial + \delta)|_{\mathcal{C}_{\text{odd}}} : \mathcal{C}_{\text{odd}} \rightarrow \mathcal{C}_{\text{even}}.$$

It turns out that  $(\partial + \delta)_{\text{odd}}$  is an isomorphism from  $\mathcal{C}_{\text{odd}}$  onto  $\mathcal{C}_{\text{even}}$  [15, page 53]. The unions of the chosen unordered basis of each  $\mathcal{C}_j$  give rise to unordered basis of  $\mathcal{C}_{\text{odd}}$  and  $\mathcal{C}_{\text{even}}$  respectively. Under these basis the matrix of  $(\partial + \delta)_{\text{odd}}$  (up to switching rows and columns) is an element of  $\text{GL}_{\infty}(R)$ , whose image in  $\bar{K}_1(R)$  is the Whitehead torsion  $\tau(\mathcal{C}_*)$ .

Let  $(\mathcal{C}_*, \partial)$  be an acyclic chain complex of finitely generated free left  $R$ -module of finite length as in (6.1), with a chosen unordered basis for each  $\mathcal{C}_j$ . Its *suspension* is the chain complex  $(\Sigma\mathcal{C}_*, \Sigma\partial)$  defined by  $(\Sigma\mathcal{C})_j = \mathcal{C}_{j-1}$  and  $(\Sigma\partial)_j = -\partial_{j-1}$  for all  $j \in \mathbb{Z}$  [8, page 5]. Note that  $\Sigma\mathcal{C}_*$  is also acyclic. The chosen unordered basis of  $\mathcal{C}_j$  gives rise to an unordered basis of  $(\Sigma\mathcal{C})_{j+1}$  naturally. One has [15, page 53]

$$(6.2) \quad \tau(\Sigma\mathcal{C}_*) = -\tau(\mathcal{C}_*) \in \bar{K}_1(R).$$

Let

$$0 \rightarrow \mathcal{C}'_* \rightarrow \mathcal{C}_* \rightarrow \mathcal{C}''_* \rightarrow 0$$

be a short exact sequence of chain complexes of finitely generated free left  $R$ -modules of finite length as in (6.1). For each  $j \in \mathbb{Z}$ , denote by  $H_j(\mathcal{C}_*)$  the  $j$ -th homology  $\ker(\partial_j)/\text{im}(\partial_{j+1})$  of  $\mathcal{C}_*$ , which is a left  $R$ -module. Similarly, define  $H_j(\mathcal{C}'_*)$  and  $H_j(\mathcal{C}''_*)$ . Then one has the long exact sequence

$$(6.3) \quad \cdots \rightarrow H_{j+1}(\mathcal{C}'_*) \rightarrow H_{j+1}(\mathcal{C}_*) \rightarrow H_{j+1}(\mathcal{C}''_*) \rightarrow H_j(\mathcal{C}'_*) \rightarrow H_j(\mathcal{C}_*) \rightarrow H_j(\mathcal{C}''_*) \rightarrow \cdots$$

of left  $R$ -modules [55, Theorem 3.3]. It follows that if two of  $\mathcal{C}'_*$ ,  $\mathcal{C}_*$  and  $\mathcal{C}''_*$  are acyclic, then so is the other. Moreover, if this is the case and for chosen unordered basis of  $\mathcal{C}'_j$  and  $\mathcal{C}''_j$  we take a left  $R$ -module lifting  $\mathcal{C}''_j \rightarrow \mathcal{C}_j$  for the quotient map  $\mathcal{C}_j \rightarrow \mathcal{C}''_j$  and endow  $\mathcal{C}_j$  with the unordered basis as the union of the images of the chosen bases of  $\mathcal{C}'_j$  and  $\mathcal{C}''_j$  under  $\mathcal{C}'_j \rightarrow \mathcal{C}_j$  and  $\mathcal{C}''_j \rightarrow \mathcal{C}_j$  for each  $j \in \mathbb{Z}$ , then [51, Theorem 3.1] shows that

$$(6.4) \quad \tau(\mathcal{C}_*) = \tau(\mathcal{C}'_*) + \tau(\mathcal{C}''_*) \in \bar{K}_1(R).$$

**6.2. The Haagerup-Schultz algebra.** Let  $R$  be a unital ring. A subset  $S$  of  $R$  is called *multiplicative* if  $1_R \in S$ ,  $0 \notin S$  and  $ab \in S$  for all  $a, b \in S$ . For a multiplicative set  $S$  consisting of non-zero-divisors of  $R$ , the pair  $(R, S)$  is said to satisfy the *right Ore condition* if for any  $s \in S$  and  $a \in R$  there exist  $t \in S$  and  $b \in R$  with  $sb = at$ . In such case, one can form the *Ore localization* of  $R$  with respect to  $S$ , denoted by  $RS^{-1}$ , which is a unital ring containing  $R$  as a subring such that every  $s \in S$  is invertible in  $RS^{-1}$  and every element of  $RS^{-1}$  is of the form  $as^{-1}$  for some  $a \in R$  and  $s \in S$  [37, Section 10A]. Similarly, when  $(R, S)$  satisfies the left Ore condition,

one can define the Ore localization  $S^{-1}R$ . If  $(R, S)$  satisfies both the left and right Ore conditions, then  $S^{-1}R = RS^{-1}$  [37, Corollary 10.14].

Let  $\Gamma$  be a countable discrete group. Denote by  $S$  the set of elements  $g$  in  $\mathcal{N}\Gamma$  satisfying  $\det_{\mathcal{N}\Gamma} g > 0$ . Then  $S$  is a multiplicative set consisting of non-zero-divisors of  $\mathcal{N}\Gamma$ , and the pair  $(\mathcal{N}\Gamma, S)$  satisfies both the left and right Ore conditions [29, Lemma 2.4]. The *Haagerup-Schultz algebra* of  $\Gamma$ , denoted by  $\mathcal{N}\Gamma^\Delta$ , is defined as the Ore localization  $S^{-1}\mathcal{N}\Gamma = \mathcal{N}\Gamma S^{-1}$ . From Theorem 2.1 we have  $S^* = S$ . Thus  $\mathcal{N}\Gamma^\Delta$  has a unique involution  $b \mapsto b^*$  extending that of  $\mathcal{N}\Gamma$ .

For each  $d \in \mathbb{N}$ , the Fuglede-Kadison determinant  $\det_{\mathcal{N}\Gamma} : M_d(\mathcal{N}\Gamma) \rightarrow \mathbb{R}_{\geq 0}$  has a unique multiplicative extension  $\det_{\mathcal{N}\Gamma} : M_d(\mathcal{N}\Gamma^\Delta) \rightarrow \mathbb{R}_{\geq 0}$  satisfying  $\det_{\mathcal{N}\Gamma}(b^*) = \det_{\mathcal{N}\Gamma} b$  for all  $b \in M_d(\mathcal{N}\Gamma^\Delta)$  [29, Proposition 2.5], since every element of  $M_d(\mathcal{N}\Gamma^\Delta)$  can be written as  $a(tI_d)^{-1}$  for some  $a \in M_d(\mathcal{N}\Gamma)$  and  $t \in S$ , where  $I_d$  denotes the  $d \times d$  identity matrix [37, page 301]. From the latter fact it also follows that for any  $d \in \mathbb{N}$  and  $A \in \mathrm{GL}_d(\mathcal{N}\Gamma^\Delta)$ , one has

$$\det_{\mathcal{N}\Gamma} A = \det_{\mathcal{N}\Gamma} \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}.$$

Also note that  $\det_{\mathcal{N}\Gamma}(-1) = (\det_{\mathcal{N}\Gamma}((-1)^2))^{1/2} = 1$ . Thus  $\det_{\mathcal{N}\Gamma}$  induces a group homomorphism  $\bar{K}_1(\mathcal{N}\Gamma^\Delta) \rightarrow \mathbb{R}_{>0}$ , sending the image of  $A \in \mathrm{GL}_d(\mathcal{N}\Gamma^\Delta)$  in  $\bar{K}_1(\mathcal{N}\Gamma^\Delta)$  to  $\det_{\mathcal{N}\Gamma} A$ , which we still denote by  $\det_{\mathcal{N}\Gamma}$ .

**Remark 6.1.** Lück and Rørdam showed in [47] that  $\det_{\mathcal{N}\Gamma} : \bar{K}_1(\mathcal{N}\Gamma) \rightarrow \mathbb{R}_{>0}$  is an isomorphism if  $\mathcal{N}\Gamma$  is a factor (this happens if and only if  $\Gamma$  has only infinite non-trivial conjugacy classes).

**Lemma 6.2.** *The ring  $\mathcal{N}\Gamma^\Delta$  satisfies the condition (2.8).*

*Proof.* It suffices to show that, for any  $k > l$  in  $\mathbb{N}$ , every homomorphism  $\varphi : (\mathcal{N}\Gamma^\Delta)^k \rightarrow (\mathcal{N}\Gamma^\Delta)^l$  of left  $\mathcal{N}\Gamma^\Delta$ -modules fails to be injective. Choosing an ordered basis, we may identify  $(\mathcal{N}\Gamma^\Delta)^k$  and  $(\mathcal{N}\Gamma^\Delta)^l$  with  $(\mathcal{N}\Gamma^\Delta)^{1 \times k}$  and  $(\mathcal{N}\Gamma^\Delta)^{1 \times l}$  respectively. Then  $\varphi$  is represented by a matrix  $A \in M_{k,l}(\mathcal{N}\Gamma^\Delta)$ . We can write  $A$  as  $B(tI_l)^{-1}$  for some  $B \in M_{k,l}(\mathcal{N}\Gamma)$  and  $t \in \mathcal{N}\Gamma$  satisfying  $\det_{\mathcal{N}\Gamma} t > 0$ , where  $I_l$  denotes the  $l \times l$  identity matrix [37, page 301]. Since  $\dim_{\mathcal{N}\Gamma}((\mathcal{N}\Gamma)^k) = k > l = \dim_{\mathcal{N}\Gamma}((\mathcal{N}\Gamma)^l)$ , the  $\mathcal{N}\Gamma$ -module homomorphism  $(\mathcal{N}\Gamma)^{1 \times k} \rightarrow (\mathcal{N}\Gamma)^{1 \times l}$  represented by  $B$  can not be injective. That is, there exists a nonzero  $y \in (\mathcal{N}\Gamma)^{1 \times k}$  satisfying  $yB = 0$ . Then  $y$  is a nonzero element of  $(\mathcal{N}\Gamma^\Delta)^{1 \times k}$  and  $yA = 0$ . Thus  $\varphi$  is not injective.  $\square$

Thus for every acyclic chain complex  $\mathcal{C}_*$  of finitely generated free left  $\mathcal{N}\Gamma^\Delta$ -modules of finite length as in (6.1) with a chosen unordered basis for each  $\mathcal{C}_j$ , the Whitehead torsion  $\tau(\mathcal{C}_*) \in \bar{K}_1(\mathcal{N}\Gamma^\Delta)$  is defined.

Though we do not need this fact, let us mention that the algebra  $\mathcal{N}\Gamma^\Delta$  can be identified with the algebra of closed and densely defined (possibly unbounded) operators  $T$  on  $\ell^2(\Gamma)$  affiliated with  $\mathcal{N}\Gamma$  satisfying  $\int_1^\infty \log t \, d\mu_{|T|}(t) < \infty$ , where  $\mu_{|T|}$  denotes the spectral measure of  $|T|$  [29, Lemma 2.4]. The phenomenon that the

usage of algebras of unbounded operators affiliated with the group von Neumann algebra simplifies algebraic matters in the theory of  $L^2$ -invariants has already been used successfully in [58].

**6.3. A new view on  $L^2$ -torsion.** Let  $\mathcal{C}_*$  be a (not necessarily acyclic) chain complex of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length as in (6.1). As in [15, Section 18], we may consider the chain complex  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  of left  $\mathcal{N}\Gamma^\Delta$ -modules:

$$0 \rightarrow \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_k \xrightarrow{1 \otimes \partial_k} \dots \xrightarrow{1 \otimes \partial_2} \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_1 \xrightarrow{1 \otimes \partial_1} \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_0 \rightarrow 0.$$

We call the chain complex  $\mathcal{C}_*$   $\Delta$ -acyclic if  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is acyclic.

Assume that  $\mathcal{C}_*$  is  $\Delta$ -acyclic and choose an unordered basis for each  $\mathcal{C}_j$ . The latter gives rise to an unordered basis of  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_j$  naturally. Thus we have the Whitehead torsion  $\tau(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*) \in \bar{K}_1(\mathcal{N}\Gamma^\Delta)$  defined, and hence can define the  $L^2$ -torsion

$$\tilde{\rho}^{(2)}(\mathcal{C}_*) := \log \det_{\mathcal{N}\Gamma}(\tau(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*)) \in \mathbb{R}.$$

In Proposition 6.6 below we shall show that  $\tilde{\rho}^{(2)}(\mathcal{C}_*)$  coincides with  $\rho^{(2)}(\mathcal{C}_*)$  defined in Section 2.6. We see the main advantage of our approach in the fact that it is more algebraic; all the analysis has been put into the properties of the ring  $\mathcal{N}\Gamma^\Delta$ .

**Definition 6.3.** We say that  $\Gamma$  satisfies the *determinant condition* if for any  $d \in \mathbb{N}$  and any  $g \in M_d(\mathbb{Z}\Gamma)$  with  $\ker g = \{0\}$  one has  $\det_{\mathcal{N}\Gamma} g \geq 1$ .

**Remark 6.4.** Lück's determinant conjecture [46, Conjecture 13.2] says that for every group  $\Gamma$ , every  $d \in \mathbb{N}$ , and every self-adjoint  $f \in M_d(\mathbb{Z}\Gamma)$ , one has  $\det_{\mathcal{N}\Gamma}(f + q_f) \geq 1$ , where  $q_f$  denotes the orthogonal projection from  $(\ell^2(\Gamma))^{d \times 1}$  onto  $\ker f$ . If a group  $\Gamma$  satisfies the determinant conjecture, then clearly it satisfies the determinant condition. We refer to [46, Theorem 13.3] for a class of groups satisfying the determinant conjecture, see also Lemma 3.11 for amenable groups. It was shown by Elek and Szabó [25, page 439] that all sofic groups satisfy the determinant conjecture. So far there are no examples of groups known to fail the determinant condition.

In the rest of this section, we assume that  $\Gamma$  satisfies the determinant condition. Then for any  $A \in \text{GL}_\infty(\mathbb{Z}\Gamma)$ , we have  $\det_{\mathcal{N}\Gamma} A, \det_{\mathcal{N}\Gamma}(A^{-1}) \geq 1$ , and

$$1 = \det_{\mathcal{N}\Gamma}(A \cdot A^{-1}) = \det_{\mathcal{N}\Gamma}(A) \cdot \det_{\mathcal{N}\Gamma}(A^{-1}),$$

and hence  $\det_{\mathcal{N}\Gamma} A = 1$ . It follows that for any  $\Delta$ -acyclic  $\mathcal{C}_*$ , the  $L^2$ -torsion  $\tilde{\rho}^{(2)}(\mathcal{C}_*)$  does not depend on the choice of the unordered basis for each  $\mathcal{C}_j$ .

Let  $\mathcal{C}_*$  be an acyclic chain complex of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length as in (6.1). We show that  $\mathcal{C}_*$  is  $\Delta$ -acyclic and

$$(6.5) \quad \tilde{\rho}^{(2)}(\mathcal{C}_*) = 0.$$

Indeed,  $\mathcal{C}_*$  has a contraction [15, page 47]. It follows that  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  has an induced contraction, and hence  $\mathcal{C}_*$  is  $\Delta$ -acyclic. Choose an unordered basis for  $\mathcal{C}_j$  and

endow  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_j$  with the corresponding unordered basis for each  $j \in \mathbb{Z}$ . In Section 2.5 we have observed that  $\mathbb{Z}\Gamma$  satisfies the condition (2.8). Thus the Whitehead torsions  $\tau(\mathcal{C}_*) \in \bar{K}_1(\mathbb{Z}\Gamma)$  and  $\tau(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*) \in \bar{K}_1(\mathcal{N}\Gamma^\Delta)$  are defined. Moreover, clearly  $\tau(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*)$  is the image of  $\tau(\mathcal{C}_*)$  under the natural group homomorphism  $\bar{K}_1(\mathbb{Z}\Gamma) \rightarrow \bar{K}_1(\mathcal{N}\Gamma^\Delta)$  induced by the embedding  $\mathbb{Z}\Gamma \rightarrow \mathcal{N}\Gamma^\Delta$ . Therefore

$$\tilde{\rho}^{(2)}(\mathcal{C}_*) = \log \det_{\mathcal{N}\Gamma}(\tau(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*)) = \log \det_{\mathcal{N}\Gamma}(\tau(\mathcal{C}_*)) = 0.$$

Let

$$0 \rightarrow \mathcal{C}'_* \rightarrow \mathcal{C}_* \rightarrow \mathcal{C}''_* \rightarrow 0$$

be a short exact sequence of chain complexes of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length as in (6.1). Since each  $\mathcal{C}''_j$  is a free left  $\mathbb{Z}\Gamma$ -module, the short sequence

$$0 \rightarrow \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}'_* \rightarrow \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_* \rightarrow \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}''_* \rightarrow 0$$

is also exact. Thus, if two of  $\mathcal{C}'_*$ ,  $\mathcal{C}_*$  and  $\mathcal{C}''_*$  are  $\Delta$ -acyclic, then by the discussion in Section 6.1 so is the other one. Moreover, if this is the case, then from (6.4) we have

$$(6.6) \quad \tilde{\rho}^{(2)}(\mathcal{C}_*) = \tilde{\rho}^{(2)}(\mathcal{C}'_*) + \tilde{\rho}^{(2)}(\mathcal{C}''_*) \in \mathbb{R}.$$

We say that a left  $\mathbb{Z}\Gamma$ -module  $\mathcal{M}$  of type FL is  $\Delta$ -acyclic if for some resolution  $(\mathcal{C}_*, \partial) \rightarrow \mathcal{M}$  of  $\mathcal{M}$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules as in (2.7), the chain complex  $\mathcal{C}_*$  is  $\Delta$ -acyclic. In such case, we want to show that for every finite resolution  $(\mathcal{C}'_*, \partial') \rightarrow \mathcal{M}$  of  $\mathcal{M}$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules, the chain complex  $\mathcal{C}'_*$  is  $\Delta$ -acyclic, and the  $L^2$ -torsions  $\tilde{\rho}^{(2)}(\mathcal{C}'_*)$  and  $\tilde{\rho}^{(2)}(\mathcal{C}_*)$  are the same. Indeed, there exists a homotopy equivalence  $\varphi : \mathcal{C}_* \rightarrow \mathcal{C}'_*$  of chain complexes (index by  $\mathbb{Z}$ ) of left  $\mathbb{Z}\Gamma$ -modules [8, Theorem I.7.5]. Then  $1 \otimes \varphi : \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_* \rightarrow \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}'_*$  is a homotopy equivalence of chain complexes of  $\mathcal{N}\Gamma^\Delta$ -modules. Thus  $1 \otimes \varphi$  induces an isomorphism from the homology groups of  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  to those of  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}'_*$ . Since  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is acyclic, so is  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}'_*$ . That is,  $\mathcal{C}'_*$  is also  $\Delta$ -acyclic. Consider the *mapping cone* of  $\varphi$ , which is the chain complex  $(\text{cone}(\varphi)_*, \partial'')$  defined by  $\text{cone}(\varphi)_j := \mathcal{C}'_j \oplus (\Sigma \mathcal{C})_j$  and  $\partial''_j(x, y) = (\partial'_j(x) + \varphi_{j-1}(y), -\partial_{j-1}(y))$ . Since  $\varphi$  is a homotopy equivalence,  $\text{cone}(\varphi)_*$  is acyclic [8, Proposition I.0.6]. Thus  $\text{cone}(\varphi)_*$  is  $\Delta$ -acyclic and from (6.5) we have  $\tilde{\rho}^{(2)}(\text{cone}(\varphi)_*) = 0$ . Note that  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \Sigma \mathcal{C}_*$  is exactly the suspension of  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$ . Thus from (6.2) we have  $\tilde{\rho}^{(2)}(\Sigma \mathcal{C}_*) = -\tilde{\rho}^{(2)}(\mathcal{C}_*)$ . Also note that we have a short exact sequence

$$(6.7) \quad 0 \rightarrow \mathcal{C}'_* \rightarrow \text{cone}(\varphi)_* \rightarrow \Sigma \mathcal{C}_* \rightarrow 0$$

of chain complexes of left  $\mathbb{Z}\Gamma$ -modules. Therefore

$$0 = \tilde{\rho}^{(2)}(\text{cone}(\varphi)_*) \stackrel{(6.6)}{=} \tilde{\rho}^{(2)}(\mathcal{C}'_*) + \tilde{\rho}^{(2)}(\Sigma \mathcal{C}_*) = \tilde{\rho}^{(2)}(\mathcal{C}'_*) - \tilde{\rho}^{(2)}(\mathcal{C}_*)$$

as desired. Therefore we can define the  $L^2$ -torsion of  $\mathcal{M}$ , denoted by  $\tilde{\rho}^{(2)}(\mathcal{M})$ , as  $\tilde{\rho}^{(2)}(\mathcal{C}_*)$ .

**Lemma 6.5.** *Let  $0 \rightarrow \mathcal{M}' \rightarrow \mathcal{M} \rightarrow \mathcal{M}'' \rightarrow 0$  be a short exact sequence of left  $\mathbb{Z}\Gamma$ -modules. If two  $\mathbb{Z}\Gamma$ -modules out of the set  $\{\mathcal{M}', \mathcal{M}, \mathcal{M}''\}$  are of type FL and  $\Delta$ -acyclic, then so is the third and*

$$\tilde{\rho}^{(2)}(\mathcal{M}) = \tilde{\rho}^{(2)}(\mathcal{M}') + \tilde{\rho}^{(2)}(\mathcal{M}'').$$

*Proof.* Let us first assume that  $\mathcal{M}'$  and  $\mathcal{M}''$  are of type FL. By the Horseshoe lemma [55, Proposition 6.5], any two resolutions  $\mathcal{C}'_* \rightarrow \mathcal{M}'$  and  $\mathcal{C}''_* \rightarrow \mathcal{M}''$  consisting of free left  $\mathbb{Z}\Gamma$ -modules, can be combined to a free resolution  $\mathcal{C}_* \rightarrow \mathcal{M}$ , such that  $\mathcal{C}_*$  fits into an exact sequence

$$(6.8) \quad 0 \rightarrow \mathcal{C}'_* \rightarrow \mathcal{C}_* \rightarrow \mathcal{C}''_* \rightarrow 0$$

of chain complexes of left  $\mathbb{Z}\Gamma$ -modules. This shows that  $\mathcal{M}$  is of type FL if  $\mathcal{M}'$  and  $\mathcal{M}''$  are. If  $\mathcal{M}'$  and  $\mathcal{M}$  are of type FL, then consider resolutions  $\mathcal{C}'_* \rightarrow \mathcal{M}'$  and  $\mathcal{C}_* \rightarrow \mathcal{M}$  consisting of free left  $\mathbb{Z}\Gamma$ -modules. The inclusion  $\mathcal{M}' \rightarrow \mathcal{M}$  lifts to a map of chain complexes  $\varphi: \mathcal{C}'_* \rightarrow \mathcal{C}_*$  [8, Lemma I.7.4]. Now, the mapping cone  $\text{cone}(\varphi)_*$  of  $\varphi$  fits in a short exact sequence

$$0 \rightarrow \mathcal{C}_* \rightarrow \text{cone}(\varphi)_* \rightarrow \Sigma \mathcal{C}'_* \rightarrow 0$$

of chain complexes. From the associated long exact sequence (6.3), we see that  $H_j(\text{cone}(\varphi)_*) = \mathcal{M}''$  if  $j = 0$  and  $H_j(\text{cone}(\varphi)_*) = 0$  otherwise. That is,  $\mathcal{C}''_* := \text{cone}(\varphi)_*$  is a resolution of  $\mathcal{M}''$  consisting of free left  $\mathbb{Z}\Gamma$ -modules. This shows that  $\mathcal{M}''$  is of type FL if  $\mathcal{M}$  and  $\mathcal{M}'$  are. Finally, let us assume that  $\mathcal{M}$  and  $\mathcal{M}''$  are of type FL. As in the second case, we obtain in a similar way an extension

$$0 \rightarrow \mathcal{C}''_* \rightarrow \text{cone}(\varphi')_* \rightarrow \Sigma \mathcal{C}_* \rightarrow 0$$

where  $\mathcal{C}_* \rightarrow \mathcal{M}$  and  $\mathcal{C}''_* \rightarrow \mathcal{M}''$  are resolutions consisting of free left  $\mathbb{Z}\Gamma$ -modules and  $\varphi': \mathcal{C}_* \rightarrow \mathcal{C}''_*$  is a chain map lifting  $\mathcal{M} \rightarrow \mathcal{M}''$ . The long exact sequence (6.3) now yields  $H_j(\text{cone}(\varphi')_*) = \mathcal{M}'$  if  $j = 1$  and  $H_j(\text{cone}(\varphi')_*) = 0$  otherwise. In particular, the differential  $\text{cone}(\varphi')_1 \rightarrow \text{cone}(\varphi')_0$  is surjective. Since  $\text{cone}(\varphi')_0$  is a free left  $\mathbb{Z}\Gamma$ -module, we may choose a split of the differential  $\text{cone}(\varphi')_1 \rightarrow \text{cone}(\varphi')_0$  and define a new chain complex  $\mathcal{C}'_j := \text{cone}(\varphi')_{j+1}$  for  $j \geq 2$  or  $j = 0$ , and  $\mathcal{C}'_1 := \text{cone}(\varphi')_2 \oplus \text{cone}(\varphi')_0$ . The differentials are defined in the obvious way using the split. It is easy to see that  $\mathcal{C}'_*$  is a resolution of  $\mathcal{M}'$  consisting of free left  $\mathbb{Z}\Gamma$ -modules. This shows that  $\mathcal{M}'$  is of type FL if  $\mathcal{M}$  and  $\mathcal{M}''$  are.

Now we may assume that  $\mathcal{M}'$  and  $\mathcal{M}''$  are of type FL. The proof is finished in view of the short exact sequence (6.8) using (6.6).  $\square$

**Proposition 6.6.** *Let  $\mathcal{C}_*$  be a chain complex of finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length as in (6.1). Then  $\mathcal{C}_*$  is  $\Delta$ -acyclic if and only if the chain complex  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic. Moreover, in such case one has*

$$(6.9) \quad \tilde{\rho}^{(2)}(\mathcal{C}_*) = \rho^{(2)}(\mathcal{C}_*).$$



*Proof.* Choose an ordered basis for each  $\mathcal{C}_j$ , and denote the rank of  $\mathcal{C}_j$  by  $d_j$ . Then the differential  $\partial_j : \mathcal{C}_j \rightarrow \mathcal{C}_{j-1}$  is represented by a matrix  $f_j \in M_{d_j \times d_{j-1}}(\mathbb{Z}\Gamma)$ . The chosen ordered basis of  $\mathcal{C}_j$  gives rise to an ordered basis of  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_j$  naturally. Thus we may identify  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_j$  with  $(\mathcal{N}\Gamma^\Delta)^{1 \times d_j}$ , and the  $\mathcal{N}\Gamma^\Delta$ -module homomorphism  $1 \otimes \partial_j$  is also represented by  $f_j$ . Note that  $\Delta_j := f_{j+1}^* f_{j+1} + f_j f_j^*$  is a self-adjoint element of  $M_{d_j}(\mathbb{Z}\Gamma)$ .

We prove the “only if” part first. Assume that  $\mathcal{C}_*$  is  $\Delta$ -acyclic. Then  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is an acyclic chain complex of free left  $\mathcal{N}\Gamma^\Delta$ -modules of finite length. Thus it has a contraction  $\delta$  [15, page 47]. Say,  $\delta_j$  is represented by the matrix  $g_j \in M_{d_j \times d_{j+1}}(\mathcal{N}\Gamma^\Delta)$ . Then

$$(6.10) \quad g_j f_{j+1} + f_j g_{j-1} = I_{d_j}$$

whenever  $d_j > 0$ , where  $I_{d_j}$  denotes the  $d_j \times d_j$  identity matrix. Suppose that  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  fails to be weakly acyclic. Then there exists some  $j \in \mathbb{Z}$  with  $d_j > 0$  such that the closed linear subspace  $V := \{y \in (\ell^2(\Gamma))^{1 \times d_j} : y f_j = y f_{j+1}^* = 0\}$  of  $(\ell^2(\Gamma))^{1 \times d_j}$  is nonzero. We may write  $g_j$  and  $g_{j-1}$  as  $a^{-1} h_j$  and  $h_{j-1} b^{-1}$  respectively for some  $h_j \in M_{d_j \times d_{j+1}}(\mathcal{N}\Gamma)$ ,  $h_{j-1} \in M_{d_{j-1} \times d_j}(\mathcal{N}\Gamma)$ , and  $a, b \in \mathcal{N}\Gamma$  satisfying  $\det_{\mathcal{N}\Gamma} a, \det_{\mathcal{N}\Gamma} b > 0$  [37, page 301].

We claim that there exists some nonzero  $y \in (\ell^2(\Gamma))^{1 \times d_j}$  with  $ya \in V$ . An argument similar to that in Section 2.2 shows that the orthogonal projection from  $(\ell^2(\Gamma))^{1 \times d_j}$  onto  $V$  is given by some projection  $q \in M_d(\mathcal{N}\Gamma)$ , i.e.  $P(x) = xq$  for all  $x \in (\ell^2(\Gamma))^{1 \times d_j}$ . Consider the polar decomposition of the operator  $T \in B((\ell^2(\Gamma))^{1 \times d_j})$  sending  $x$  to  $xa(I_{d_j} - q)$ : there exist unique  $U, S \in B((\ell^2(\Gamma))^{1 \times d_j})$  satisfying that  $S \geq 0$ ,  $\ker U = \ker S = \ker T$ ,  $U$  is an isometry from the orthogonal complement of  $\ker T$  onto the closure of  $\text{im} T$ , and  $T = US$  [32, Theorem 6.1.2.]. Another argument similar to that in Section 2.2 shows that there is some  $u \in M_{d_j}(\mathcal{N}\Gamma)$  such that  $U(x) = xu$  for all  $x \in (\ell^2(\Gamma))^{1 \times d_j}$ . Suppose that  $xa \notin V$  for every nonzero  $x \in (\ell^2(\Gamma))^{1 \times d_j}$ . Then  $T$  is injective, and hence  $uu^* = I_{d_j}$ . Note that both  $I_{d_j} - q$  and  $u^*u$  are projections and  $u^*u \leq I_{d_j} - q$ . Thus

$$d_j = \text{tr}_{\mathcal{N}\Gamma}(uu^*) = \text{tr}_{\mathcal{N}\Gamma}(u^*u) \leq \text{tr}_{\mathcal{N}\Gamma}(I_{d_j} - q) = d_j - \text{tr}_{\mathcal{N}\Gamma} q,$$

and therefore  $\text{tr}_{\mathcal{N}\Gamma} q = 0$ . Since  $\text{tr}_{\mathcal{N}\Gamma}$  is faithful, we get  $q = 0$ , which contradicts that  $V$  is nonzero. Therefore there is some nonzero  $y \in (\ell^2(\Gamma))^{1 \times d_j}$  with  $ya \in V$ .

From (6.10) we have

$$h_j f_{j+1} b + a f_j h_{j-1} = ab I_{d_j}.$$

Thus

$$y h_j f_{j+1} b = y(h_j f_{j+1} b + a f_j h_{j-1}) = yab.$$

Since  $\det_{\mathcal{N}\Gamma}(b^*) = \det_{\mathcal{N}\Gamma} b > 0$  (resp.  $\det_{\mathcal{N}\Gamma}(a^*) = \det_{\mathcal{N}\Gamma} a > 0$ ), by Theorem 2.1 the linear map  $\ell^2(\Gamma) \rightarrow \ell^2(\Gamma)$  sending  $z$  to  $b^* z$  (resp.  $a^* z$ ) is injective. Taking adjoints we find that the linear map  $\ell^2(\Gamma) \rightarrow \ell^2(\Gamma)$  sending  $z$  to  $zb$  (resp.  $za$ ) is also injective.

Thus  $yh_j f_{j+1} = ya \in V$ . Then

$$\|yh_j f_{j+1}\|_2^2 = \langle yh_j f_{j+1}, yh_j f_{j+1} \rangle = \langle yh_j f_{j+1} f_{j+1}^*, yh_j \rangle = 0,$$

and hence  $ya = yh_j f_{j+1} = 0$ . Therefore  $y = 0$ , which contradicts our choice of  $y$ . Thus  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic.

Next we prove the “if” part. Assume that  $\ell^2(\Gamma) \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is weakly acyclic. Then the linear map  $(\ell^2(\Gamma))^{1 \times d_j} \rightarrow (\ell^2(\Gamma))^{1 \times d_j}$  sending  $y$  to  $y\Delta_j$  is injective. Taking adjoints, we find that the linear map  $(\ell^2(\Gamma))^{d_j \times 1} \rightarrow (\ell^2(\Gamma))^{d_j \times 1}$  sending  $z$  to  $\Delta_j z$  is also injective. Since  $\Gamma$  satisfies the determinant condition, we have  $\det_{\mathcal{N}\Gamma} \Delta_j \geq 1$ , whenever  $d_j > 0$ . Since  $\Delta_j$  is a self-adjoint element of  $M_{d_j}(\mathcal{N}\Gamma)$ , Kadison showed that there exists  $U_j \in M_{d_j}(\mathcal{N}\Gamma)$  satisfying  $U_j^* U_j = U_j U_j^* = I_{d_j}$  such that  $U_j \Delta_j U_j^{-1}$  is diagonal [31, Theorem 3.19]. Note that  $\det_{\mathcal{N}\Gamma} U_j = (\det_{\mathcal{N}\Gamma} (U_j^* U_j))^{1/2} = 1$ . Thus  $\det_{\mathcal{N}\Gamma} (U_j \Delta_j U_j^{-1}) = \det_{\mathcal{N}\Gamma} \Delta_j \geq 1$ . Since  $U_j \Delta_j U_j^{-1}$  is diagonal, its Fuglede-Kadison determinant is the product of the Fuglede-Kadison determinants of the diagonal entries. It follows that  $U_j \Delta_j U_j^{-1}$  is invertible in  $M_{d_j}(\mathcal{N}\Gamma^\Delta)$ . Then so is  $\Delta_j$ . Define a left  $\mathcal{N}\Gamma^\Delta$ -module homomorphism  $\delta_j : (\mathcal{N}\Gamma^\Delta)^{1 \times d_j} \rightarrow (\mathcal{N}\Gamma^\Delta)^{1 \times d_{j+1}}$  to be the one represented by the matrix  $\Delta_j^{-1} f_{j+1}^*$  when  $d_j > 0$ , and be 0 when  $d_j = 0$ .

We claim that the module map  $(1 \otimes \partial_{j+1})\delta_j + \delta_{j-1}(1 \otimes \partial_j)$  is the identity map on  $(\mathcal{N}\Gamma^\Delta)^{1 \times d_j}$ . Consider first the case  $d_j, d_{j-1} > 0$ . Then  $(1 \otimes \partial_{j+1})\delta_j + \delta_{j-1}(1 \otimes \partial_j)$  is represented by the matrix

$$\Delta_j^{-1} f_{j+1}^* f_{j+1} + f_j \Delta_{j-1}^{-1} f_j^*.$$

Note that

$$\Delta_j f_j = f_j f_j^* f_j = f_j \Delta_{j-1},$$

and hence  $\Delta_j^{-1} f_j = f_j \Delta_{j-1}^{-1}$ . Therefore

$$\Delta_j^{-1} f_{j+1}^* f_{j+1} + f_j \Delta_{j-1}^{-1} f_j^* = \Delta_j^{-1} f_{j+1}^* f_{j+1} + \Delta_j^{-1} f_j f_j^* = I_{d_j}.$$

This proves our claim in the case  $d_j, d_{j-1} > 0$ . The other cases can be dealt with easily. Thus  $\delta$  is a contraction of the chain complex  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$ . Therefore  $\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C}_*$  is acyclic, i.e.  $\mathcal{C}_*$  is  $\Delta$ -acyclic.

Finally we use the contraction  $\delta$  constructed above to prove (6.9). The chosen ordered basis of  $\mathcal{C}_j$  gives rise to ordered basis of  $(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C})_{\text{odd}}$  and  $(\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} \mathcal{C})_{\text{even}}$ . Denote by  $A$  the matrix in  $M_d(\mathcal{N}\Gamma^\Delta)$  representing  $(\partial + \delta)_{\text{odd}}$ , where  $d = \sum_{j \notin 2\mathbb{Z}} d_j$ . Note that  $\Delta_j^{-1} f_{j+1}^* f_j^* = 0$  and  $f_{j+2}(\Delta_j^{-1} f_{j+1}^*)^* = f_{j+2} f_{j+1} \Delta_j^{-1} = 0$  whenever  $d_j > 0$ . It follows that the matrix  $AA^*$  is block-diagonal with the diagonal blocks being  $\Delta_j^{-1} f_{j+1}^* f_{j+1} \Delta_j^{-1} + f_j f_j^*$  for odd  $j$  with  $d_j > 0$ . Therefore

$$\begin{aligned} \tilde{\rho}^{(2)}(\mathcal{C}_*) &= \log \det_{\mathcal{N}\Gamma} A \\ &= \frac{1}{2} \log \det_{\mathcal{N}\Gamma} (AA^*) \end{aligned}$$



$$\begin{aligned}
&= \frac{1}{2} \sum_{j \notin 2\mathbb{Z}, d_j > 0} \log \det_{\mathcal{N}\Gamma}(\Delta_j^{-1} f_{j+1}^* f_{j+1} \Delta_j^{-1} + f_j f_j^*) \\
&= \frac{1}{2} \sum_{j \notin 2\mathbb{Z}, d_j > 0} (\log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + \Delta_j f_j f_j^* \Delta_j) - 2 \log \det_{\mathcal{N}\Gamma} \Delta_j) \\
&= \frac{1}{2} \sum_{j \notin 2\mathbb{Z}, d_j > 0} (\log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + (f_j f_j^*)^3) - 2 \log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + f_j f_j^*)).
\end{aligned}$$

When  $d_j > 0$ , since  $f_{j+1}^* f_{j+1}$  and  $f_j f_j^*$  are self-adjoint,  $f_{j+1}^* f_{j+1} \cdot f_j f_j^* = f_j f_j^* \cdot f_{j+1}^* f_{j+1} = 0$ , and  $\ker(f_{j+1}^* f_{j+1} + f_j f_j^*) = \{0\}$ , we have  $f_{j+1}^* f_{j+1} + (f_j f_j^*)^m = (f_{j+1}^* f_{j+1} + q_{f_{j+1}})(f_j f_j^* + q_{f_j})^m$  for all  $m \in \mathbb{N}$ , and hence

$$\begin{aligned}
&\log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + (f_j f_j^*)^3) - 2 \log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + f_j f_j^*) \\
&= -\log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + q_{f_{j+1}}) + \log \det_{\mathcal{N}\Gamma}(f_j f_j^* + q_{f_j}).
\end{aligned}$$

Therefore

$$\begin{aligned}
\tilde{\rho}^{(2)}(\mathcal{C}_*) &= \frac{1}{2} \sum_{j \notin 2\mathbb{Z}, d_j > 0} (-\log \det_{\mathcal{N}\Gamma}(f_{j+1}^* f_{j+1} + q_{f_{j+1}}) + \log \det_{\mathcal{N}\Gamma}(f_j f_j^* + q_{f_j})) \\
&\stackrel{(2.4)}{=} \frac{1}{2} \sum_{j=1}^{\infty} (-1)^{j+1} \log \det_{\mathcal{N}\Gamma}(f_j^* f_j + q_{f_j}) \\
&= \rho^{(2)}(\mathcal{C}_*).
\end{aligned}$$

□

From now on we shall write  $\tilde{\rho}^{(2)}(\mathcal{C}_*)$  as  $\rho^{(2)}(\mathcal{C}_*)$ . We may consider the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  corresponding to the trivial action of  $\Gamma$  on  $\mathbb{Z}$ . If the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL and  $\Delta$ -acyclic, we may consider the  $L^2$ -torsion of  $\mathbb{Z}$  and call it the  $L^2$ -torsion of  $\Gamma$ , which we shall denote by  $\rho^{(2)}(\Gamma)$ .

When the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL, we define the *Euler characteristic* of  $\Gamma$ , denoted by  $\chi(\Gamma)$ , to be the Euler characteristic of  $\mathbb{Z}$  as a left  $\mathbb{Z}\Gamma$ -module.

For a subgroup  $\Lambda$  of  $\Gamma$ , note that  $\mathcal{N}\Lambda$  is naturally a subalgebra of  $\mathcal{N}\Gamma$ , and  $\det_{\mathcal{N}\Gamma} g = \det_{\mathcal{N}\Lambda} g$  for all  $d \in \mathbb{N}$  and  $g \in M_d(\mathcal{N}\Lambda)$ . It follows that if  $\Gamma$  satisfies the determinant condition, then so does  $\Lambda$ . Furthermore,  $\mathcal{N}\Lambda^\Delta$  is a subalgebra of  $\mathcal{N}\Gamma^\Delta$ , and  $\det_{\mathcal{N}\Gamma} g = \det_{\mathcal{N}\Lambda} g$  for all  $d \in \mathbb{N}$  and  $g \in M_d(\mathcal{N}\Lambda^\Delta)$ .

**Lemma 6.7.** *Let*

$$1 \rightarrow \Lambda \rightarrow \Gamma \rightarrow \Gamma/\Lambda \rightarrow 1$$

*be a short exact sequence of groups. Assume that  $\Gamma$  satisfies the determinant condition, that the trivial left  $\mathbb{Z}\Lambda$ -module  $\mathbb{Z}$  is of type FL and  $\Delta$ -acyclic, and that the trivial left  $\mathbb{Z}[\Gamma/\Lambda]$ -module  $\mathbb{Z}$  is of type FL. Then the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL and  $\Delta$ -acyclic, and*

$$\rho^{(2)}(\Gamma) = \chi(\Gamma/\Lambda) \cdot \rho^{(2)}(\Lambda).$$

*Proof.* Note that  $\mathbb{Z}\Gamma$  is a free right  $\mathbb{Z}\Lambda$ -module. Thus the functor  $\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} ?$  from the category of left  $\mathbb{Z}\Lambda$ -modules to the category of left  $\mathbb{Z}\Gamma$ -modules is exact. Take a resolution  $\mathcal{C}_* \rightarrow \mathbb{Z}$  of  $\mathbb{Z}$  by finitely generated free left  $\mathbb{Z}\Lambda$ -modules of finite length as in (2.7). Then  $\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_* \rightarrow \mathbb{Z}[\Gamma/\Lambda]$  is a resolution of  $\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} \mathbb{Z} = \mathbb{Z}[\Gamma/\Lambda]$  by finitely generated free left  $\mathbb{Z}\Gamma$ -modules of finite length. Moreover, we have

$$\mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Gamma} (\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_*) = \mathcal{N}\Gamma^\Delta \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_* = \mathcal{N}\Gamma^\Delta \otimes_{\mathcal{N}\Lambda^\Delta} (\mathcal{N}\Lambda^\Delta \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_*).$$

Thus, an argument similar to that in the proof of (6.5) shows that  $\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_*$  is  $\Delta$ -acyclic and

$$\rho^{(2)}(\mathbb{Z}\Gamma \otimes_{\mathbb{Z}\Lambda} \mathcal{C}_*) = \rho^{(2)}(\mathcal{C}_*).$$

Therefore  $\rho^{(2)}(\mathbb{Z}[\Gamma/\Lambda]) = \rho^{(2)}(\Lambda)$ .

Take a resolution of the trivial left  $\mathbb{Z}[\Gamma/\Lambda]$ -module  $\mathbb{Z}$  by finitely generated free left  $\mathbb{Z}[\Gamma/\Lambda]$ -modules

$$0 \rightarrow (\mathbb{Z}[\Gamma/\Lambda])^{d_k} \rightarrow \cdots \rightarrow (\mathbb{Z}[\Gamma/\Lambda])^{d_1} \rightarrow (\mathbb{Z}[\Gamma/\Lambda])^{d_0} \rightarrow \mathbb{Z} \rightarrow 0.$$

Treat the above exact sequence as a sequence of left  $\mathbb{Z}\Gamma$ -modules. Using Lemma 6.5 we conclude that the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL and  $\Delta$ -acyclic, and

$$\rho^{(2)}(\Gamma) = \left( \sum_{j=0}^k (-1)^j d_j \right) \cdot \rho^{(2)}(\mathbb{Z}[\Gamma/\Lambda]) = \chi(\Gamma/\Lambda) \cdot \rho^{(2)}(\Lambda).$$

□

**Theorem 6.8.** *Let  $\Gamma$  be a countable discrete group which satisfies the determinant condition and admits a sequence of subgroups*

$$\Gamma_0 \subseteq \Gamma_1 \subseteq \cdots \subseteq \Gamma_{n+1} = \Gamma,$$

*such that  $\Gamma_i$  is normal in  $\Gamma_{i+1}$  for all  $0 \leq i \leq n$ , the trivial left  $\mathbb{Z}\Gamma_0$ -module  $\mathbb{Z}$  and the trivial left  $\mathbb{Z}[\Gamma_{i+1}/\Gamma_i]$ -module  $\mathbb{Z}$  are of type FL for all  $0 \leq i \leq n$ , and  $\Gamma_0$  is non-trivial and amenable. Then, the trivial left  $\mathbb{Z}\Gamma$ -module  $\mathbb{Z}$  is of type FL and  $\Delta$ -acyclic, and  $\rho^{(2)}(\Gamma) = 0$ .*

*Proof.* This follows from a straightforward induction argument using Lemma 6.7 and Theorem 1.3. □

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